



DEFENSE COUNTERINTELLIGENCE AND SECURITY AGENCY

27130 TELEGRAPH ROAD
QUANTICO, VA 22134-2253

November 18, 2020

Ms. Emma Best
MuckRock News
411A Highland Ave
DEPT MR 61844
Somerville, MA 02144

Dear Ms. Best:

This is in reference to your Freedom of Information Act (FOIA) request to the Federal Bureau of Investigation (FBI) for information regarding Steve Garfinkel. On October 9, 2020, the FBI forwarded documents under our purview for our review and response to you.

On September 30, 2019, as authorized by Executive Order 13869, the missions, records, and personnel of the Office of Personnel Management, National Background Investigations Bureau (NBIB) transferred to the Department of Defense, Defense Counterintelligence and Security Agency (DCSA). We received your request in our office on October 20, 2020. Enclosed are records responsive to your request.

We reviewed the enclosed documents pursuant to the provisions of the FOIA. We deleted information that is exempt from disclosure pursuant to title 5, United States Code, sections 552 (b)(6) and (b)(7)(C). These sections exempt information that could reasonably be expected to constitute an unwarranted invasion of privacy.

We withheld Mr. Garfinkel's credit report, documents 54 – 57, pursuant to FOIA exemption (b)(3), which protects those records specifically exempt from release by statute, which in this instance is the Fair Credit Reporting Act, 15 U.S.C. § 1681. Please refer to §1681b for information regarding the release of credit reports to individuals other than the consumer. Should your needs meet these requirements you may submit a request to one of the three credit bureaus.

You have the right to appeal this response by submitting a written request to the: Defense Counterintelligence and Security Agency; ATTN: Office of General Counsel; 27130 Telegraph Road; Quantico, VA 22134. Clearly mark the outside of the envelope and your written appeal letter: "Privacy/FOIA Appeal". Your appeal must be received by DCSA within ninety (90) calendar days from the date of this letter to be considered timely. Your written appeal letter should include the reasons why the requested information should be released and why this action may be in error. Include with your appeal letter a copy of your original request and this response letter. Please reference tracking number DCSA-B 2021-05589.

On behalf of the FBI, we withheld information in pursuant to FOIA exemption (b)(7)(E). Exemption (b)(7)(E) protects information that “would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions if such disclosure could reasonably be expected to risk circumvention of the law.”

The FBI also withheld additional information pursuant to (b)(7)(E) and (b)(6), (b)(7)(C).

You may appeal the redactions may by and on behalf of the FBI directly to the Department of Justice by submitting a written request to the Office of Information Policy, Sixth Floor, 441 G Street, NW, Washington, DC 20530-0001, or you may submit an appeal through OIP’s eFOIA portal at <https://www.justice.gov/oip/submit-and-track-request-or-appeal>. Your appeal must be received by OIP within ninety (90) days from the date of this letter to be considered timely. The envelope and letter should be marked “Freedom of Information Appeal.”

Document(s) 30, 31, and 52 contain(s) information that requires consultation with other federal agencies before the information can be released. We will notify you of the results of our consultation.

You may seek dispute resolution services from the DCSA FOIA Public Liaison or the Office of Government Information Services (OGIS). The FOIA Public Liaison may be contacted by calling (571) 305-6740 or emailing dcsa.quantico.dcsa-hq.mbx.foia@mail.mil. OGIS was created to offer mediation services to resolve disputes between FOIA requesters and federal agencies as a non-exclusive alternative to litigation. You may contact OGIS in any of the following ways:

U.S. National Archives and Records Administration	Phone: 202-741-5770
Office of Government Information Services	Toll-Free: 1-877-684-6448
8601 Adelphi Road - OGIS	Fax: 202-741-5769
College Park, MD 20740-6001	Email: ogis@nara.gov

If you have any questions regarding this response, contact the Freedom of Information and Privacy office at 724-794-5612 ext. 7000 and reference tracking number DCSA-B 2021-05589.

Sincerely,



Thomas E. Rice, III
Chief, Records Dissemination
DCSA Freedom of Information and
Privacy Office for Investigations

Enclosures

OFI FORM 79 August 1991		U.S. Office of Personnel Management NOTICE OF PERSONNEL INVESTIGATION		OPM-FIPC Use Only	
Part A: Personnel Investigation Information (To be completed by investigating agency)					
1. SUBJECT'S FULL NAME (LAST) (FIRST) (MIDDLE) (JR., SR., II, etc.)				2. SSN (b)(6) (b)(7)(C)	
3. DOB 6/1/45		4. PLACE OF BIRTH (CITY, COUNTY, STATE, COUNTRY)			
5. OTHER NAME(S) USED (Nee, AKA, FMN)					
6. DATE INITIATED		7. AGENCY FILE NUMBER		8. SUBMITTING SOI	
				9. INVESTIGATING SOI 10/10/02	
10. POSITION SENSITIVITY/RISK: (CHECK ONE)					
1. <input type="checkbox"/> Non-Sensitive/Low Risk (SF 85) 3. <input type="checkbox"/> Critical Sensitive (SF 86) 5. <input type="checkbox"/> Moderate Risk (SF 85P)					
2. <input type="checkbox"/> Non-Critical Sensitive (SF 86) 4. <input type="checkbox"/> Special Sensitive (SF 86) 6. <input type="checkbox"/> High Risk (SF 85P)					
11. TYPE OF INVESTIGATION: (CHECK ONE)					
<input type="checkbox"/> BI (5 YEARS) <input type="checkbox"/> SBI (15 YEARS) <input type="checkbox"/> LBI (3 YEARS) <input type="checkbox"/> PERIODIC REINVESTIGATION (PRI)					
<input type="checkbox"/> OTHER (SPECIFY)					
12. OPM ACTION REQUESTED:					
<input type="checkbox"/> Notice of Investigation Only <input type="checkbox"/> Notice of Investigation/Conduct SII Search <input type="checkbox"/> Copy of OPM File(s) not required					
Part A completed by: Signature <u>5610</u> Date <u>10/10/02</u> Completing Official's FTS: Telephone Number Comm.: ()					
Part B: OPM Record Information (To be completed by OPM-FIPC)					
1. <input type="checkbox"/> No Record Found					
2. <input checked="" type="checkbox"/> OPM File Attached					
3. <input type="checkbox"/> OPM Record Information Attached					
4. <input type="checkbox"/> A _____ investigation is currently being conducted by OPM for _____ You may resubmit a request to OPM for results on or around _____					
5. <input type="checkbox"/> Notice of Investigation Only recorded.					
6. <input type="checkbox"/> OPM file previously furnished to your agency on _____ No additional pertinent information.					
7. <input type="checkbox"/> No pertinent information.					
8. <input type="checkbox"/> Other (Specify): _____					
Part B completed by: Signature <u>MON 08 345215</u> Date _____					
Part C: Agency Information (To be completed by adjudicating agency)					
Return OPM copy upon final adjudication of the complete case to: OPM-FIPC, Boyers, PA 16018					
1. <input type="checkbox"/> Subject not contacted: Favorable determination					
2. <input type="checkbox"/> Subject contacted: Favorable determination					
3. <input type="checkbox"/> Subject terminated/resigned/withdrew prior to determination					
4. <input type="checkbox"/> Subject not appointed due to security/suitability issues					
5. <input type="checkbox"/> Subject removed due to security/suitability issues					
6. <input type="checkbox"/> Other: (Specify) _____					
7. <input type="checkbox"/> Letter of warning or advisement issued					
8. <input type="checkbox"/> Letter of reprimand issued					
9. <input type="checkbox"/> Suspension of 14 days or less					
TYPE OF CLEARANCE GRANTED:					
0. <input type="checkbox"/> Not required					
1. <input type="checkbox"/> Confidential (E.O. 12356)					
2. <input type="checkbox"/> Secret (E.O. 12356)					
3. <input type="checkbox"/> Top Secret (E.O. 12356)					
4. <input type="checkbox"/> Sensitive Compartmented Information (DCID 1/14)					
5. <input type="checkbox"/> Q-Sensitive (AEA)					
6. <input type="checkbox"/> Q-Non-Sensitive (AEA)					
7. <input type="checkbox"/> L (AEA)					
8. <input type="checkbox"/> Other: (Specify) _____					
Part C completed by: Signature _____ Date _____ Completing Official's FTS: Telephone Number Comm.: ()					

Purpose of OFI Form 79

Only agencies that conduct background investigations under delegated authority of Executive Order 10450 should use this form to:

- (a) notify OPM of the initiation of a background investigation, or
- (b) notify OPM of the initiation of a background investigation and request a search of OPM's Security/Suitability Investigations Index (SII). If no background investigation is being conducted, but an SII search is needed, use OFI Form 79B, Request for Search of OPM Records.
- (c) record the agency action taken on the background investigation.

Instructions for Completing OFI Form 79

All items in Part A must be complete. Incomplete forms will be returned, causing a delay in processing the request.

- (1) Complete and sign Part A. Type all items.
- (2) Send Copy 1, 3, and 4 to: OPM-FIPC, Boyers, PA 16018.
- (3) Keep Copy 2 for your records.
- (4) The OPM-FIPC will complete Part B and return copies 1, 3, and 4 of the form.
- (5) Keep Copy 3 and send Copy 1 and Copy 4 to the adjudicating agency.
- (6) The adjudicating agency completes Part C to report its final adjudicative action and clearance granted based on the entire completed case, including the results of the SII search and keeps Copy 1.
- (7) The adjudicating agency sends Copy 4 to the OPM-FIPC, Boyers, PA 16018.

Custody of Investigative Reports and Records: The investigative material attached is the property of the Office of Personnel Management (OPM) and may be recalled by OPM at any time. Its transfer to another agency is not permitted without prior consent of OPM. Release of this material to the subject of the investigation may be made only by OPM. Should your agency receive a request for such access, it is to be immediately referred to OPM. While this file is retained by your agency, it must be kept separate from the Official Personnel Folder. This material must be safeguarded in a manner to prohibit its unauthorized disclosure. Access is to be limited to those persons whose official duties require it, and only to those persons on whom a background investigation has been completed.

Due Process Procedures: A person being considered for a position should have, whenever appropriate, an opportunity to explain or refute actionable suitability or security information developed in an investigation before being rejected or non-selected on security, qualifications, or suitability grounds. An agency should follow the guidelines provided in FPM Chapters 731 (Personnel Suitability), 732 (National Security Positions), and 736 (Personnel Investigations).

Report Content: Each knowledgeable source appearing in OPM background reports was interviewed in depth covering the personal attributes of character, habits, reputation, honesty, mental health, conduct, use of intoxicants, and/or illegal drugs, finances, associates or affiliations, and loyalty as appropriate. The absence of specific references to these attributes means that the particular source did not furnish any adverse information about the subject concerning those attributes. Although an abbreviated reporting format is used, full coverage, including resolution of issues, has been afforded.

Use of Investigation Information: The information developed in the investigation may be discussed with the person investigated, but the identities of the investigating agencies and investigators reporting the information and of all sources shown in the attached reports of investigation as requesting confidentiality or as having been previously granted a pledge of confidentiality must not be disclosed nor should information be discussed in a manner which would identify such an agency or source. Sources identified in the reports of those investigations conducted prior to September 27, 1975, are to be treated as confidential. These restrictions do not apply to (1) information of public record; and (2) information from Federal personnel records which could be obtained on request by the employee. Neither do these considerations prohibit your disclosing a source of the information if it is obtained independently by your agency, such as by interviewing the employee, by contracting other sources, or by obtaining permission from confidential sources named in the attached reports to use the information and to identify the source. Information from law enforcement records, including place of arrest and conviction, may be used provided the arresting officer or witnesses are not specifically named as the source.

--ATTENTION--

The attached file contains information which was obtained under a pledge of confidentiality. The information must not be discussed in such a manner that would disclose the identity of the Protected Source or the Confidential Source(s). Questions about the handling or possible use of protected source information should be directed to FIPC's Freedom of Information and Privacy Act Section (FOI/P) at 412-794-5612. Written requests to have protected sources recontacted to determine their willingness to become open sources should also be directed to FIPC. If the source was developed in a NACI case, the request should be forwarded to FIPC's Suitability Adjudication Branch (SAB). If the source was developed in a Background Investigation (BI), the request should be forwarded to FIPC's Background Processing Branch (BPB).

--ATTENTION--

FIPC-506
March 1994

UNITED STATES
OFFICE OF PERSONNEL MANAGEMENT
OFFICE OF FEDERAL INVESTIGATIONS
P.O. BOX 886
WASHINGTON, DC 20044

AGENCY INFORMATION FOR OPM BACKGROUND INVESTIGATIONS
(FOR USE WITH THE CASE CLOSING TRANSMITTAL)

Investigation Coverage - Knowledgeable personal sources are questioned in depth in significant areas, which may include the nature and extent of association with the subject's background and history; any special factors requested; subjects character, habits, reputation, honesty, integrity, conduct, mental health, financial responsibility, use of intoxicants, use of illegal drugs, arrests, associates, affiliations, and loyalty, as appropriate; and a recommendation of the subject. The absence of specific references to these attributes in a testimony means that the particular source did not furnish any potentially actionable information.

Report Format - Reports of investigation are prepared using a source-by-source format under a single heading, "TESTIMONIES." The presence or absence of issues, discrepancies, special factors, or matters in need of explanation, determines the extent of each testimony. A testimony in a report can range from a briefly reported association/recommendation to fully described information. Reports of investigation are organized around item numbers assigned at the time of scheduling. Items are grouped generally by coverage matter in reverse chronological order. All sources covering an item have the same item number. Developed items or situations requiring special reporting follow originally scheduled items.

Source Identification - Each source is fully identified by name, position title, and address where the interview took place.

Testimony - Information from a source contacted to cover an item becomes a testimony attributed to that source in the report. The first line of any testimony, whether personal or record, will show either "Acceptable" or "Issue(s)."

Issue Codes - A numerical code identifying the general nature of an issue raised in the investigation:

- | | |
|------------------------------|---------------------------|
| 1 - Intoxicants | 8 - Firearms/Weapons |
| 2 - Drug use | 9 - Miscellaneous |
| 3 - Financial responsibility | 10 - Statutory debarment |
| 4 - Moral conduct | 11 - Loyalty and security |
| 5 - Honesty | 12 - Qualifications |
| 6 - Violent behavior | 13 - Associates |
| 7 - Employment | 14 - Relatives |

Issue Seriousness - An alpha code identifying the seriousness of an issue raised in the investigation. With respect for suitability for any position:

"A" issues are **minor** and the conduct or issue, standing alone, would not be disqualifying.

"B" issues are **moderate** and the conduct or issue, standing alone, would probably not be disqualifying.

"C" issues are **substantial** and the conduct or issue, standing alone, may probably be disqualifying.

"D" issues are **major** and the conduct or issue, standing alone, would be disqualifying.

"E" issues are **other matters**, such as qualifications, medical issues, or inconclusive results, that may affect your determination.

OPI Form 79A - Report of Agency Adjudicative Action on OPM Personnel Investigations accompanies the Case Closing Transmittal (CCT) in certain sensitive and non-sensitive cases that are Closed-Complete or Closed-Personal Interview. The OPM adjudication section of the CCT will indicate when the form is attached. The form contains OPM's Basic Suitability Adjudication Determinations for the case, based on procedures found in FPM Chapter 731, Personnel Suitability.

The level of seriousness of any issues in the case is shown on the form, and the agency is given appropriate instructions to follow depending on the seriousness of those issues. It is the agency's responsibility to return the OFI Form 79A to OPM-FIPC when the agency has completed its final adjudicative action.

Certification of Investigation - This notice certifies that a background investigation on the person identified has been completed. The results of the investigation will be sent to the security office for a security/suitability determination. Agency certifies the results of the investigation have been reviewed, and a final determination was made. This notice should be filed on the permanent side of the person's official personnel folder after the final agency determination is made.

Case Closing Transmittal (CCT) - The results of investigations conducted by OPM are transmitted to the requesting agency with a Case Closing Transmittal (CCT). Each CCT contains the OPM case number; type/service of the case and extra coverage; subject's name, social security number, and date of birth; position; the agency's Submitting Office Number (SON) and Security Office Identifier (SOI); the agency's accounting and/or case number, if any; information about attachments; OPM adjudication information; and the applicable closing notice. The CCT also contains an "Item Information" section which lists every item scheduled for coverage and developed during the investigation. Each item (ITM) has a unique number and includes: a description of its type (e.g., NAC search, employment, residence); the name, location and other identifying information concerning the item; the coverage method (CM); and the investigation results.

The CCT may also contain one or more Case Closing Comments, used to convey information about item status or results and identify the reason a particular case does not meet OPM standards.

Item Numbers - NAC items are always identified by a letter followed by two numbers. Coverage items are identified by numbers only. When the personal interview is scheduled, it is typically identified as Item 001. Subsequent numbered items represent activities (e.g., residence, education, employment, law enforcement) scheduled for investigation.

Coverage Items

- PRSI =Personal Interview
SUBS =Substance Involvement (alcohol/drugs)
RESI =Residence
EDUC =Education
MEDI =Medical (mental/physical health)
EMPL =Employment
REFE =Reference
FINL =Financial (bankruptcy/bad debts)
LAWF =Law Enforcement
GENL =General (miscellaneous)

Item Types - NAC

- A SII =Security/Suitability Investigations Index
SII =Spouse SII
SIIF =SII File
SIIF =Spouse SII File
B FBIF =FBI FP Classification
FBFN =FBI Fingerprint Name
SFPN =Spouse FBI Fingerprint Name
C FBIN =FBI Name
SFBN =Spouse FBI Name
FBNF =FBI Name File
SFNF =Spouse FBI Name File
D DCII =Defense Clearance and Investigations Index
SDCI =Spouse DCII
DCIF =DCII File
SDCF =Spouse DCII File
E CRED =Credit
F SESE =Selective Service
G MILR =Military Record
H OPF =Official Personnel Folder
I INS =Immigration and Naturalization Service
SINS =Spouse INS
INSF =INS File

Item Types cont.

SINF =Spouse INS File
 J INVA =Investigative Agency
 K SECF =Security File
 L CIAS =CIA Security Office
 SCIS =Spouse CIA Security Office
 CIAO =CIA Operations
 SCIO =Spouse CIA Operations
 M DISC =Defense Industrial Security Clearance Office
 N BVS =Bureau of Vital Statistics (birth or death)
 O OUTS =Outside USA, coverage of foreign activity by another agency
 P NATG =National Guard
 Q CGIN =Coast Guard Intelligence
 R PUBH =Public Health
 S STPA =State Department Passport
 U PERI =Periodicals
 V LICE =Licenses
 W STSC =State Department Security
 X NCIC =National Crime Information Center

Coverage Method (CM)

P (Personal) Item was scheduled for coverage by an investigator from personal source(s).
 R (Record) Item was scheduled for coverage by an investigator from record(s).
 I (Inquiry) Item was scheduled for coverage by an inquiry form mailed to a source.
 T (Telephone) Item was scheduled for coverage by telephone after initial attempt by inquiry was unsuccessful.
 L (Linkage) Item was scheduled for coverage by automated link with a NAC source.
 C (Correspondence) Item was scheduled for coverage by letter(s).

Results - The results show the outcome of the investigation for each item. Results of items are reported as follows:

Acceptable All information provided about an item is free of any issues or discrepancies which may be construed as an issue.
Accept-Attach The inquiry or record is acceptable and is attached since it may contain additional pertinent information.
Accept-Conf The inquiry is acceptable, but the source completing it requested confidentiality.
Discrepancy Record information is discrepant from that furnished by the subject but does not constitute an issue or significant matter.
Fee Required Information cannot be obtained without paying a fee. Cost of case does not provide for fee payment by OPM.
Issue(s) An issue or discrepancy which may indicate an issue has been raised either directly or indirectly by a source or sources covering an item.
Issue(s)-Conf The inquiry has issue(s), and the source completing it requested confidentiality.
No Pertinent The record contains no pertinent information.
No Record The search was negative.
Not Available Information is not available for review.
Not Completed The item is controlled by another agency, and OPM follow-up has not been successful. Results will be transmitted when/if they are received.
Not Contacted Coverage was not obtained because the source could not be contacted.
Not Located Coverage was not obtained because the source could not be located.
Prev Furnished The file was previously furnished to your agency. If it is no longer available for review, but deemed necessary, contact OPM-FIPC for a copy.
Subj-Unknown Inquiry source has no knowledge of the subject.
Not Received Coverage of the item was scheduled by inquiry; however, it has not been returned.
Record A record exists, and is either attached or referred.
Referred Coverage of the item is contained elsewhere.
Release-Req Inquiry coverage could not be obtained without a specific release.

Unclassifiable

Unclassifiable fingerprint charts returned by the FBI.

Undeliverable

The address of the source was incorrect or insufficient and the inquiry is undeliverable.

Unclassifiable Fingerprint - It is OPM's policy to Close-Complete a case (meeting OPM standards) when fingerprints are unclassifiable after second submission to the FBI. The first set of unclassifiable fingerprints is returned to the agency, which must supply OPM with another set of prints within 30 days. For NACI's, if OPM does not receive another set of prints within 30 days, the case is Closed-Incomplete. Other cases are Closed-Complete, **not meeting OPM standards**, if OPM does not receive another set of prints.

Custody of Investigative Reports and Cases - The attached investigative material is the property of the Office of Personnel Management and may be recalled by OPM at any time. Its transfer to another agency is not permitted without prior approval of OPM. Release of this material to the subject of the investigation may be made only by OPM or with OPM approval, and any request for release of it should be immediately referred to OPM. While this material is retained by your agency, it **must be safeguarded in a manner that will prohibit its unauthorized disclosure**. Review will be limited to those persons whose official duties require it and who have been subjected to a favorable determination based on a background investigation.

Due Process Procedures - A person should have, whenever appropriate, an opportunity to explain or refute unfavorable information developed in an investigation before an adverse adjudication is made.

Use of Investigative Information - The information developed in the attached investigation may be discussed with the subject of investigation. However, the identifiers of the investigating agencies, the investigators, any Protected Source or Confidential Inquiry Source **must not be disclosed, and the information must not be discussed in such a manner that would disclose their identities**. Before releasing, discussing, or taking any action based on information provided by a source who has requested Confidentiality, the agency processing official must obtain express OPM approval. All sources identified in the reports of investigations conducted prior to September 27, 1975, are to be considered Protected Sources, except when the source is a public record or a Federal personnel record available to the subject on request. An agency is not prohibited from disclosing any sources of information obtained independently by the agency, such as through an interview of the subject or contact with other sources. Information from law enforcement records may be used, providing the arresting officer or witnesses are not specifically named as the source(s).

Agency Guidelines - Agencies should follow the guidelines in FPM Chapters 731, Personnel Suitability; 732, National Security Positions; and 736, Personnel Investigations.

IF YOU NEED FURTHER EXPLANATION OF THE ABOVE INFORMATION, YOU MAY CALL AN OPM-FIPC LIAISON ASSISTANT AT 412-794-5228.

DC11 NAC ONLY

NAC reg.

WA159/31

DOE Form DP-1 (Part I)
(5-78)
Exception to Standard Form 86
Approved by O.M.B. 7-73

U.S. DEPARTMENT OF ENERGY
Washington, D.C. 20545

Form Approved
O.M.B. 38-R0185

PERSONNEL SECURITY QUESTIONNAIRE

(Previous Editions are Obsolete)

INSTRUCTIONS-DOE Form DP-1 HAS TWO PARTS. ALL SECTIONS OF BOTH PARTS MUST BE COMPLETED. UNLESS BOTH PARTS ARE COMPLETELY AND PROPERLY EXECUTED, THE FORM WILL NOT BE ACCEPTED. Before any entries are made, familiarize yourself with all questions. Do not sign without first reading the instructions and certification at the end of the form. TYPE OR PRINT ALL ANSWERS. If more space is required, enter in item 17 on the back of this form or attach additional sheets and indicate the item numbers to which the answers apply. Questions which do not apply should be marked "None."

1. NAME (Last, First, Middle) Garfinkel, Steven (NMN)		4. DESCRIPTION			
		Male or Female M	Height 5'11"	Weight 235	Color Eyes Brown
				Color Hair Brown	
2. ALL OTHER NAMES (Include maiden name, names by former marriages, and dates that names were used) Steve - common nickname		5. SOCIAL SECURITY NO. (b)(6) (b)(7)(C)		6. BIRTH DATE 6 18 45	
3. PRESENT RESIDENCE (Street and Number, City and State) (b)(6) (b)(7)(C) Silver Spring, Md.		7. BIRTHPLACE (City, County, State, and Country) Washington, DC			
9. ALL OTHER RESIDENCES FOR PAST 15 YEARS DATES (b)(6) (b)(7)(C) Hillcrest Hts., 7/67-11/73 MD. (b)(6) (b)(7)(C) Wash., DC 10/47-7/67 (b)(6) (b)(7)(C) DC Birth - 10/47		8. Put an "X" in Appropriate Box: Single <input type="checkbox"/> Married <input checked="" type="checkbox"/> Widow(er) <input type="checkbox"/> Divorced <input type="checkbox"/> (Date & Place of Divorce(s))			
		10. CITIZENSHIP: U.S. <input checked="" type="checkbox"/> Alien <input type="checkbox"/> A. If U.S. Citizen, indicate whether: (1) By Birth <input checked="" type="checkbox"/> (3) By Naturalization <input type="checkbox"/> (2) Derivative <input type="checkbox"/> Alien Registration No. Date FURNISH CSO COPY OF REPORT OF INVESTIGATION CONDUCTED BY FBI IN THIS CASE Certification No. Place B. If alien, indicate: (1) Alien Registration No. (2) Present Citizenship (3) Date of Entry (4) Port of Entry			
		11. MILITARY SERVICE (Past or Present) Serial Nos. Branch From (Yr.) To (Yr.)			

12. EMPLOYMENT (List ALL employment dates including present employment and ALL dates and addresses when unemployed. If any employments required DOE security clearance or access authorization, indicate this fact after the name of the company or organization which requested the clearance. Give name or names under which employed if different from name now used.)

Date (From-To)	Name of Employer (Company or Organization)	Address (Where employed)	Type of Work	Supervisor's Name
5/80 - pre.	InterSec. Over. of Sec. Dir.	18th & F Sts., NW, DC	Dir.	(b)(6) (b)(7)(C)
12/70-5/80	General Services Adminis	18th & F Sts., NW, DC	Legal	
Summer-1963	Hillcreat Hts. Pharmacy,	Hillcrest Hts.	Clerk	
Summers + Part-time 1963-70	A. Garfinkel, Inc.	Check of FBI Headquarters investigative files based upon data submitted and limited pursuant to established policy located no identifying information pertinent to your inquiry. This is not to be considered as a clearance. A request to check arrest records must be submitted to FBI Identification Division. Fingerprints are necessary for a positive check.	Clerk	Arnold Garfinkel

13. FOREIGN COUNTRIES VISITED (Exclusive of Military Service and U.S. Government Official Travel)

Country	Purpose	Date Left USA	Date Returned USA
Bermuda (U.K.)	Vacation	5/30/77	6/5/77

Martinique (France)	Vietnam	1/21/80	1/28/80
---------------------	---------	---------	---------

14. EDUCATION (All Schools Above Elementary)

Name of School	Address	From	To	Degree
Kramer Jr. High	DC	1957	1960	Jr. High
Anacostia High	DC	1960	1963	High School
George Washington U.	DC	1963	1967	BA
Geo. Wash. U. Law	DC	1967	1970	JD

15. RELATIVES (Parents, stepparents, foster parents, spouse, divorced spouse or spouses, children, stepchildren, brothers, sisters, stepbrothers, stepsisters, half brothers, half sisters, father-in-law, and mother-in-law, living or dead. Name of spouse should include maiden name and any other names by previous marriage. If spouse is an alien or if you marry subsequent to the execution of this questionnaire, it will be necessary that data concerning your spouse be furnished on DOE Form DP-154.)

Relation	Name in Full	Age	Address	Country of Birth	Present Citizenship
Wife	(b)(6) (b)(7)(C)				
Father	Arnold Garfinkel	78	Silver Spring, MD (b)(6) (b)(7)(C)	Ger.	US
Mother	Esther Garfinkel	68	SE, Wash., DC	Rus.	US
Son	(b)(6) (b)(7)(C)				
Daughter	(b)(6) (b)(7)(C)		Silver Spring, MD	(b)(6) (b)(7)(C)	
Sister	(b)(6) (b)(7)(C)				
Brother	(b)(6) (b)(7)(C)		MD		
Father-in-law	Seymour Itscoitz	72	Hillside, MD or Rockville	US	US
Mother-in-law	Pearl Ruth Itscoitz	70	(b)(6) (b)(7)(C) Rockville	US	US

16. REFERENCES (Name Three Persons, Not Relatives or Employers, Who Are Well Acquainted With You)

Name in Full	Business and Home Address	Years Known
(b)(6) (b)(7)(C)	GSA, 18th + F NW Chilton Ct, McLean, Va	11
(b)(6) (b)(7)(C)	Silver Sp. MD Potomac, MD	18
Goddard (NASA)	1912 Cradock ST Silver Springs, MD	8

CERTIFICATION

I have read all of the above questions. I certify that the information furnished in answer to these questions is correct and complete to the best of my knowledge and belief and I understand that it is of great importance in the consideration of my eligibility for security clearance or access authorization. I make this statement to the U.S. Department of Energy with the understanding that it will be used by the Department in carrying out its duty to protect the security of the energy R&D project, and with knowledge that any false statement or omission of material fact may be sufficient cause for rejection of my application or dismissal after employment; further, that any false statement herein may be punished as a felony under Section 1001, Title 18, U.S. Code.

Steven Hapfel

(Usual Signature of Person Filling Out Questionnaire)

(Sign Original Only)

4/1/81 Wash. D.C.

(Date and Place Where Signed)

TO BE FILLED OUT BY AGENCY OR FIRM EMPLOYING

BRIEF DESCRIPTION OF DUTIES (Description should not Reveal Classified Information)

Will require access to in the performance of his official duties.

(b)(6) (b)(7)(C)

NAME OF AGENCY OR FIRM

General Services Administration

18th & F Sts., NW
Washington, DC 20405

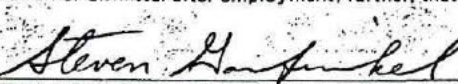
WILL PERSON HAVE ACCESS TO:

RESTRICTED DATA Yes ☐ No ☐

XX

EXCLUSION AREA Yes ☐ No ☐

(Signature and Title of Official Authorizing Branch)

DOE Form DP-1 (Part II) (5-78) Exception to Standard Form 86 Approved by O.M.B. 7-73		U.S. DEPARTMENT OF ENERGY Washington, D.C. 20545 PERSONNEL SECURITY QUESTIONNAIRE		FORM APPROVED O.M.B. 38-R0185 (Previous Editions are Obsolete)	
1. NAME (Last, First, Middle) Garfinkel, Steven (NMN)		2. SOCIAL SEC. NO. (b)(6) (b)(7)(C)		3. NAME OF AGENCY OR FIRM EMPLOYING Information Security Oversight Office (GSA)	
INSTRUCTIONS - COMPLETE THIS FORM IN PRIVATE. ALL SECTIONS MUST BE COMPLETED. FORM WILL NOT BE ACCEPTED UNLESS COMPLETELY AND PROPERLY EXECUTED. The answers or statements on this form are privileged information between you and the Government. TYPE OR PRINT ALL ANSWERS. Write "None" when applicable. Enter in item 11 any additional information which may have a bearing on your security clearance. If more space is required, attach additional sheets and indicate the item numbers to which the answers apply.					
4. Are you now a member of the Communist Party, U.S.A., or any subdivision of the Communist Party, U.S.A.?					YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>
5A. Are you now, or within the last 10 years have you been a member of any organization or group of persons including but not limited to the Communist Party, U.S.A., which, during the period of your membership or association you knew was advocating or teaching that the Government of the United States, or any State or any political subdivision thereof should be overthrown or overturned by force, violence, or any unlawful means?					YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>
B. If your answer to A is "Yes", did you, during the period of such membership or association, have the specific intent to further the aims of such organization or group of persons to overthrow or overturn the Government of the United States or any State or any political subdivision thereof by force, violence, or unlawful means?					YES <input type="checkbox"/> NO <input type="checkbox"/>
6. If your answer to Questions 4. or 5a. above is "Yes", state below, under item No. 11, or on a separate sheet to be attached to and made a part of this questionnaire, the names of all such organizations and dates of membership. Give complete details of your activities therein and make any explanation you desire regarding your membership or activities.					
NAME		ADDRESS		FROM	TO
D.C. Bar Association				1972	pre.
American Contract Bridge League		Memphis, Tenn.		1970	pre.
Social Fraternity/No. of Hon.					
Fraternalities at GWU during college					
OFFICE HELD					
None					
None					
7. ORGANIZATION MEMBERSHIP (Include all present membership and all past membership during the last 10 years in all organizations (except labor unions and religious organizations) not covered by Questions 5. and 6. above.)					
NAME		ADDRESS		TYPE	FROM
D.C. Bar Association					1972
Amer. Contract Bridge League		Memphis, Tenn			1970
Social Fraternity/No. of Hon.					
Fraternalities at GWU during college					
TO					
pre.					
pre.					
OFFICE HELD					
None					
None					
8. Have you ever been arrested, charged, or held by Federal, State, or other law enforcement authorities for any violation of any Federal law, State law, county or municipal law, regulation, or ordinance? Do not include anything that happened before your 16th birthday. Do not include traffic violations for which a fine of \$30.00 or less was imposed. All other charges must be included even if they were dismissed.					YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>
If your answer is "Yes", complete all entries in item 9. below.					
9. ARRESTS (Complete A,B,C, and D for each case. Include all arrests and fines other than minor traffic violations even if the charges were dismissed.)					
A. DATE	B. CHARGE	C. PLACE WHERE ARRESTED AND NAME OF LAW ENFORCEMENT AUTHORITY		D. ACTION TAKEN	
		N/A			
10. PREVIOUS SECURITY CLEARANCE OR ACCESS AUTHORIZATION:					
A. To your knowledge have you ever been investigated by any branch of the Federal Government?					YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>
B. To your knowledge have you ever been refused clearance or access authorization by any branch of the Federal Government?					YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>
If your answer to either A or B is "Yes", please furnish details in item 11.					
CERTIFICATION					
I have read all of the above questions. I certify that the information furnished in answer to these questions is correct and complete to the best of my knowledge and belief and I understand that it is of great importance in the consideration of my eligibility for security clearance or access authorization. I make this statement to the U.S. Department of Energy with the understanding that it will be used by the Department in carrying out its duty to protect the security of the (energy R&D) project, and with knowledge that any false statement or omission of material fact may be sufficient cause for rejection of my application or dismissal after employment; further, that any false statement herein may be punished as a felony under Section 1001, Title 18, U.S. Code.					
 (USUAL SIGNATURE OF PERSON FILLING OUT QUESTIONNAIRE)		(SIGN ORIGINAL ONLY)		4/1/81 Wash, D.C. (DATE AND PLACE WHERE SIGNED)	
INSTRUCTIONS TO APPLICANT UPON COMPLETION OF ABOVE: Now that the form is signed, place it in the pre-addressed envelope furnished, sign across the envelope flap on the line provided, and affix the date of signature. Deliver the sealed envelope to your employer immediately or mail direct to the addressee.					

FOR UNITED STATES GOVERNMENT USE ONLY

UNITED STATES CIVIL SERVICE COMMISSION

BUREAU OF PERSONNEL INVESTIGATIONS
BOYERS, PA 16018

REPORT OF NATIONAL AGENCY CHECK

NACI CTR:SP:PT:jab

NAME GARFINKEL, Steven (NMN)		DATE OF REPORT JAN 08 1979
		DATE OF BIRTH 6/18/45
AGENCY General Services Administration	AGENCY SERIAL NO.	CSC SERIAL NO. SP 78 032267

A National Agency Check has been conducted in this case and the results are indicated below and in the attachments.

The information developed in the investigation may be discussed with the person investigated, but the identities of all sources shown in the attached reports of investigation as requesting confidentiality or as having been previously granted a pledge of confidentiality must not be disclosed nor should information be discussed in a manner which would identify such an agency or source. Sources identified in the reports of those investigations conducted prior to September 27, 1975, are to be treated as confidential. These restrictions do not apply to (1) information of public record; and (2) information from Federal personnel records which could be obtained on request by the employee. Neither do these considerations prohibit your disclosing a source of the information if it is obtained independently by your agency, such as by interviewing the employee, by contacting other sources, or by obtaining permission from confidential sources named in the attached reports to use the information and to identify the source.

This investigative material must be kept separate from the Official Personnel Folder and safeguarded in a manner to prohibit its unauthorized disclosure. Transfer of this material to another agency is not permitted without prior consent of the Civil Service Commission. The release to the subject of the investigation may be made only by the Civil Service Commission. Should your agency receive a request for such access, it is to be immediately referred to the Commission. You may destroy this investigative material or return it to the Civil Service Commission as soon as it has served your purpose. You may also continue to retain this file at your agency. When returning this report and investigative material, mail it to the U.S. Civil Service Commission, Bureau of Personnel Investigation, Washington, D.C. 20415. Attention: Investigative Files Section

X	FEDERAL BUREAU OF INVESTIGATION	Per the FBI (b)(7)(E)
X	UNITED STATES CIVIL SERVICE COMMISSION <input type="checkbox"/> NO RECORD <input type="checkbox"/> NOTHING DEROGATORY <input type="checkbox"/> SEE REMARKS	Previously Furnished <input checked="" type="checkbox"/> REPORT(S) ATTACHED
	DEFENSE CENTRAL INDEX OF INVESTIGATIONS (Includes Army Investigative Records Repository, Naval Investigative Service, HQ/ONI, and Office of Special Investigations, Air Force) <input type="checkbox"/> NO RECORD <input type="checkbox"/> NOTHING DEROGATORY <input type="checkbox"/> SEE REMARKS <input type="checkbox"/> PENDING <input type="checkbox"/> REPORT(S) ATTACHED	
	OFFICE OF COAST GUARD INTELLIGENCE <input type="checkbox"/> NO RECORDS <input type="checkbox"/> NOTHING DEROGATORY <input type="checkbox"/> REPORT(S) ATTACHED	
	IMMIGRATION AND NATURALIZATION SERVICE <input type="checkbox"/> NO RECORD (SUBJECT) (SPOUSE) <input type="checkbox"/> RECORD ATTACHED (SUBJECT) (SPOUSE) <input type="checkbox"/> PENDING (SUBJECT) (SPOUSE)	
	CENTRAL INTELLIGENCE AGENCY <input type="checkbox"/> NO PERTINENT INFORMATION (SUBJECT) (SPOUSE) <input type="checkbox"/> NO RECORD (SUBJECT) (SPOUSE) <input type="checkbox"/> PENDING (SUBJECT) (SPOUSE) <input type="checkbox"/> RECORD ATTACHED (SUBJECT) (SPOUSE)	

REMARKS: FP: These Fingerprints seem to have been misplaced by the FBI. For a complete check please submit new fingerprints to the NACI CTR with a copy of this 320.

FOR UNITED STATES GOVERNMENT USE ONLY

REQUEST FOR NAC ONLY

BOB approval No. 50-R208

Standard Form 86
AUGUST 1964
U.S. CIVIL SERVICE COMMISSION
(F.P.M. CHAPTER 736)
16-107

SECURITY INVESTIGATION DATA FOR SENSITIVE POSITION

CASE SERIAL NO. (CSC use only)

SP 78 032267

INSTRUCTIONS.—Prepare in triplicate, using a typewriter. Fill in all items. If the answer is "No" or "None," so state. If more space is needed for any item, continue under item 28.

1. FULL NAME (Initials and abridgements of full name are not acceptable. If no middle name, show "(NMN)"; if initials only, show "(no given or middle name)".)		(LAST NAME)	(FIRST NAME)	(MIDDLE NAME)	2. DATE OF BIRTH
		Garfinkel,	Steven	(NMN)	6/18/45
OTHER NAMES USED. (Maiden name, names by former marriages, former names changed legally or otherwise, aliases, nicknames, etc. Specify which, and show dates used.)					3. PLACE OF BIRTH
Steve - common nickname					Wash., D.C.
					<input checked="" type="checkbox"/> MALE <input type="checkbox"/> FEMALE
5. HEIGHT		WEIGHT	COLOR EYES	COLOR HAIR	
6'11"		235	Br	Br	
6. <input type="checkbox"/> SINGLE <input checked="" type="checkbox"/> MARRIED <input type="checkbox"/> WIDOW(ER) <input type="checkbox"/> DIVORCED					
7. IF MARRIED, WIDOWED, OR DIVORCED, GIVE FULL NAME AND DATE AND PLACE OF BIRTH OF SPOUSE OR FORMER SPOUSE. INCLUDE WIFE'S MAIDEN NAME. GIVE DATE AND PLACE OF MARRIAGE OR DIVORCE. (Give same information regarding all previous marriages and divorces.)					
(b)(6) (b)(7)(C) Married, Wash., D. C., 7/2/67					
8. DATES AND PLACES OF RESIDENCE. (If actual places of residence differ from the mailing addresses, furnish and identify both. Begin with present and go back to January 1, 1937. Continue under item 28 on other side if necessary.)					
FROM	TO	NUMBER AND STREET	CITY	STATE	
11/73	Present	(b)(6) (b)(7)(C)	Silver Spring, Md.		
7/67	11/73	(b)(6) (b)(7)(C)	Hillcrest Hts., Md.		
10/47	7/67	(b)(6) (b)(7)(C)	Washington, DC		
Birth	10/47	(b)(6) (b)(7)(C)	Washington, DC		
9. <input checked="" type="checkbox"/> BY BIRTH <input type="checkbox"/> NATURALIZED ALIEN REGISTRATION NO. DATE, PLACE, AND COURT					
<input checked="" type="checkbox"/> U.S. CITIZEN					
CERT. NO. PETITION NO.					
<input type="checkbox"/> DERIVED-PARENTS CERT. NO(S).					
<input type="checkbox"/> ALIEN					
REGISTRATION NO.		NATIVE COUNTRY	DATE AND PORT OF ENTRY		
10. EDUCATION. (All schools above elementary.)					
NAME OF SCHOOL	ADDRESS	FROM (Year)	TO (Year)	DEGREES	
Kramer Jr. High	DC	1957-60		Jr. High	
Anacostia High	DC	1960-63		High School	
George Washington U.	DC	1963-67		BA	
Geo. Wash. U. Law	DC	1967-70		JD	
11. THIS SPACE FOR FBI USE. (See also item 29.)					
12. SOCIAL SECURITY NUMBER (b)(6) (b)(7)(C)					
13. MILITARY SERVICE (Past or present)					
SERIAL NO. (If none, give grade or rating at separation)		BRANCH OF SERVICE (Army, Navy, Air Force, etc.)		FROM (Yr.)	TO (Yr.)
		None			

14. HAVE YOU EVER BEEN DISCHARGED FROM THE ARMED FORCES UNDER OTHER THAN HONORABLE CONDITIONS? ☐ YES ☒ NO.
(If answer is "Yes," give details in item 28.)

15. EMPLOYMENT. (List ALL employment dates starting with your present employment. Give both month and year for all dates. Show ALL dates and addresses when unemployed. Give name under which employed if different from name now used.)

FROM	TO	NAME OF EMPLOYER (Firm or agency) AND SUPERVISOR (Full name, if known)	ADDRESS (Where employed)	TYPE OF WORK	REASON FOR LEAVING
12/70	Present	Gen'l Services Admin.	18th & F NW, DC	Legal	
Part time and summers till 12/70		A. Garfinkel, Inc.,	720 14th ST., NW	Clerk-salesman,	left for permanent employment
Summer, 1963		Hillcrest Hts. Pharmacy,		Clerk-salesman	

16. HAVE YOU EVER BEEN DISCHARGED (FIRED) FROM EMPLOYMENT FOR ANY REASON? ☐ YES ☒ NO.

17. HAVE YOU EVER RESIGNED (QUIT) AFTER BEING INFORMED THAT YOUR EMPLOYER INTENDED TO DISCHARGE (FIRE) YOU FOR ANY REASON? ☐ YES ☒ NO.
(If your answer to 16 or 17 above is "Yes" give details in item 28. Show the name and address of employer, approximate date, and reasons in each case. This information should agree with the statements made in item 15—EMPLOYMENT.)

18. HAVE YOU EVER BEEN ARRESTED, TAKEN INTO CUSTODY, HELD FOR INVESTIGATION OR QUESTIONING, OR CHARGED BY ANY LAW ENFORCEMENT AUTHORITY?
(You may omit: (1) Traffic violations for which you paid a fine of \$30 or less; and (2) anything that happened before your 16th birthday. All other incidents must be included, even though they were dismissed or you merely forfeited collateral.) ☐ YES ☒ NO.

IF YOUR ANSWER IS "YES," GIVE FULL DETAILS BELOW:

DATE	CHARGE	PLACE	LAW ENFORCEMENT AUTHORITY	ACTION TAKEN
------	--------	-------	------------------------------	--------------

(SIGNATURE AND TITLE OF AUTHORITY OFFICIAL)

U.S. GOVERNMENT PRINTING OFFICE: 1968 O-299-784

1050421 F-16 INC OUTA

REQUEST FOR NAC ONLY

BOB approval No. 50-R208

19. HAVE YOU EVER HAD A NERVOUS BREAKDOWN OR HAVE YOU EVER HAD MEDICAL TREATMENT FOR A MENTAL CONDITION? ☐ YES ☒ NO
(If your answer is "Yes," give details in item 28.)

20. FOREIGN COUNTRIES VISITED (SINCE 1930). (Exclusive of military service.)

COUNTRY	DATE LEFT U.S.A.	DATE RETURNED U.S.A.	PURPOSE
Bermuda (U.K.)	5/30/77	6/5/77	Vacation

21. ARE YOU NOW, OR HAVE YOU EVER BEEN, A MEMBER OF THE COMMUNIST PARTY, U.S.A., OR ANY COMMUNIST OR FASCIST ORGANIZATION? ☐ YES ☒ NO

22. ARE YOU NOW OR HAVE YOU EVER BEEN A MEMBER OF ANY FOREIGN OR DOMESTIC ORGANIZATION, ASSOCIATION, MOVEMENT, GROUP, OR COMBINATION OF PERSONS WHICH IS TOTALITARIAN, FASCIST, COMMUNIST, OR SUBVERSIVE, OR WHICH HAS ADOPTED, OR SHOWS A POLICY OF ADVOCATING OR APPROVING THE COMMISSION OF ACTS OF FORCE OR VIOLENCE TO DENY OTHER PERSONS THEIR RIGHTS UNDER THE CONSTITUTION OF THE UNITED STATES, OR WHICH SEEKS TO ALTER THE FORM OF GOVERNMENT OF THE UNITED STATES BY UNCONSTITUTIONAL MEANS? ☐ YES ☒ NO

23. IF YOUR ANSWER TO QUESTION 21 OR 22 ABOVE IS "YES," STATE THE NAMES OF ALL SUCH ORGANIZATIONS, ASSOCIATIONS, MOVEMENTS, GROUPS, OR COMBINATIONS OF PERSONS AND DATES OF MEMBERSHIP. IN ITEM 28 OR ON A SEPARATE SHEET TO BE ATTACHED TO AND MADE A PART OF THIS FORM, GIVE COMPLETE DETAILS OF YOUR ACTIVITIES THEREIN AND MAKE ANY EXPLANATION YOU DESIRE REGARDING YOUR MEMBERSHIP OR ACTIVITIES.

NAME IN FULL	ADDRESS	FROM	TO	OFFICE HELD
D.C. Bar Association		Active 1972	Present	None
American Contract Bridge League Memphis, Tenn.		1970	Present	None
One social fraternity and a number of honorary fraternities at GWU during college.				

24. MEMBERSHIP IN OTHER ORGANIZATIONS. (List all organizations in which you are now a member or have been a member, except those which show religious or political affiliations.) (If none, so state.)

NAME IN FULL	ADDRESS	TYPE	FROM	TO	OFFICE HELD
D.C. Bar Association		Active	1972	Present	None
American Contract Bridge League Memphis, Tenn.			1970	Present	None
One social fraternity and a number of honorary fraternities at GWU during college.					

25. RELATIVES. (Parents, spouse, divorced spouse, children, brothers, and sisters, living or dead. Name of spouse should include maiden name and any other names by previous marriage. If person is dead, state "dead" after relationship and furnish information for other columns as of time of death.)

RELATION	NAME IN FULL	YEAR OF BIRTH	ADDRESS	COUNTRY OF BIRTH	PRESENT CITIZENSHIP
Wife	(b)(6) (b)(7)(C)				
Father	Arnold Garfinkel, 1903,	(b)(6) (b)(7)(C)	Silver Sp. MD		
Mother	Esther Garfinkel, 1913,	Same	DC	Ger.	US
Son	(b)(6) (b)(7)(C)		Russia		US
Daughter	(b)(6) (b)(7)(C)		Sil. Sp. MD	(b)(6) (b)(7)(C)	
Sister	(b)(6) (b)(7)(C)		(b)(6) (b)(7)(C)		
Brother	(b)(6) (b)(7)(C)		Bowie, MD		
			Temple Hills, MD	(b)(6) (b)(7)(C)	

26a. REFERENCES. (Name three persons, not relatives or employers, who are aware of your qualifications and fitness.)

NAME IN FULL	HOME ADDRESS	BUSINESS ADDRESS	YEARS KNOWN
(b)(6) (b)(7)(C)		DC	7
		Arl., VA	12
	Hagerstown, MD		8

26b. CLOSE PERSONAL ASSOCIATES. (Name three persons, such as friends, schoolmates or colleagues, who know you well.)

NAME IN FULL	HOME ADDRESS	BUSINESS ADDRESS	YEARS KNOWN
		McLean Va. GSA	8
	Silver Spring MD		15
	Sil. Sp. MD	NASA-Goddard	5

27. TO YOUR KNOWLEDGE, HAVE YOU EVER BEEN THE SUBJECT OF A FULL FIELD OR BACKGROUND PERSONAL INVESTIGATION BY ANY AGENCY OF THE FEDERAL GOVERNMENT? ☐ YES ☐ NO. (If your answer is "Yes," show in item 28, (1) the name of the investigating agency (2) the approximate date of investigation, and (3) the level of security clearance granted, if known.)

28. SPACE FOR CONTINUING ANSWERS TO OTHER QUESTIONS. (Show item numbers to which answers apply. Attach a separate sheet if there is not enough space here.)

27. Full field, 1973. Top Secret (SI and TK)

29. REPORT OF INFORMATION DEVELOPED. (This space reserved for FBI use.)

DATE:

Before signing this form check back over it to make sure you have answered all questions fully and correctly.

CERTIFICATION

I CERTIFY that the statements made by me on this form are true, complete, and correct to the best of my knowledge and belief, and are made in good faith.

False statement on this form
is punishable by law.

8/4/78
(DATE)

Steven Garfunkel
(SIGNATURE—Sign original and first carbon copy)

INFORMATION TO BE FURNISHED BY AGENCY

INSTRUCTIONS TO AGENCY: See Federal Personnel Manual Chapter 736 and FPM Supplement 296-31, Appendix A, for details on when this form is required and how it is used. If this is a request for investigation before appointment, insert "APPL" in the space for Date of Appointment and show information about the proposed appointment in the other spaces for appointment data. The original and the first carbon copy should be signed by the applicant or appointee. Submit the original and the unsigned carbon copy of the form, Standard Form 87 (Fingerprint Chart), and any investigative information about the person received on voucher forms or otherwise, to the United States Civil Service Commission, Bureau of Personnel Investigations, Washington, D.C. 20415. If this is a request for full field security investigation, submit these forms to the attention of the Division of Reimbursable Investigations; if this is a request for preappointment national agency checks, submit these forms to the attention of the Control Section.

RETAIN THE CARBON COPY OF STANDARD FORM 86 (SIGNED BY THE APPLICANT OR APPOINTEE) FOR YOUR FILES

DATE OF APPOINTMENT	TYPE OF APPOINTMENT <input type="checkbox"/> EXCEPTED <input type="checkbox"/> COMPETITIVE. (Include indefinite and temporary types of competitive appointments.)	CIVIL SERVICE REGULATION NUMBER OR OTHER APPOINTMENT AUTHORITY	TITLE OF POSITION AND GRADE OR SALARY
DEPARTMENT OR AGENCY GSA	DUTY STATION	SEND RESULTS OF PREAPPOINTMENT CHECK TO: Security Officer - FRUITS General Services Administration 18th & F Streets, N.W. Washington, D. C. 20405	
THIS IS A SENSITIVE POSITION			
(SIGNATURE AND TITLE OF AUTHORITY AGENCY OFFICIAL)			

FOR UNITED STATES GOVERNMENT USE ONLY

UNITED STATES CIVIL SERVICE COMMISSION

BUREAU OF PERSONNEL INVESTIGATIONS
WASHINGTON, D.C. 20415

REPORT OF NATIONAL AGENCY CHECK

NAME GARFINKEL, Steven (NMN) AKA: Steve	DATE OF REPORT May 7, 1973 DATE OF BIRTH June 18, 1945 PLACE OF BIRTH Washington, D. C. CSC SERIAL NO. 1.23.73.3793
POSITION Attorney Advisor	
AGENCY General Services Administration	

A National Agency Check has been conducted in this case and the results are indicated below.
 Information in this material has been obtained under a pledge of confidence. The source of information must not be disclosed to the person investigated. The information must not be discussed with him in a manner which would reflect or permit him to deduce the source of the information. However, these restrictions do not apply to (1) information of public record, and (2) information from Federal personnel records which could be obtained on request by the employee. Neither do these restrictions prohibit your disclosing the sources of the information if it is obtained independently by your agency, such as by interviewing the employee, by contacting other sources, or by obtaining permission from sources named in the Commission's reports to use the information and to identify the source. Information from law enforcement records, including place of arrest and conviction, may be used provided the law enforcement office is not specifically named as the source.

This material is the property of the United States Civil Service Commission. Its transfer to another agency is not permitted without prior consent of the Commission. The material must be safeguarded in a manner to prohibit its unauthorized disclosure. Access is to be limited to those persons whose official duties require it.

<input checked="" type="checkbox"/>	FEDERAL BUREAU OF INVESTIGATION	Per the FBI (b)(7)(E)
<input checked="" type="checkbox"/>	UNITED STATES CIVIL SERVICE COMMISSION INVESTIGATIVE FILES	
	<input type="checkbox"/> No Record <input type="checkbox"/> See Remarks <input checked="" type="checkbox"/> Nothing Derogatory <input type="checkbox"/> _____ CSC Report(s) Attached	
<input checked="" type="checkbox"/>	HOUSE COMMITTEE OF INTERNAL SECURITY	
	<input checked="" type="checkbox"/> No Record <input type="checkbox"/> Record Attached	
<input type="checkbox"/>	DEFENSE CENTRAL INDEX OF INVESTIGATIONS (Includes Army Investigative Records Repository, Naval Investigative Service, HQ/ONI, and Office of Special Investigations, Air Force)	
	<input type="checkbox"/> _____ Army Report(s) Attached <input type="checkbox"/> _____ Navy Report(s) Attached <input type="checkbox"/> No Record <input type="checkbox"/> See Remarks <input type="checkbox"/> Nothing Derogatory <input type="checkbox"/> _____ Air Force Report(s) Attached	
<input type="checkbox"/>	OFFICE OF COAST GUARD INTELLIGENCE	
	<input type="checkbox"/> No Record <input type="checkbox"/> See Remarks <input type="checkbox"/> Nothing Derogatory <input type="checkbox"/> _____ Report(s) Attached	

REMARKS:

Reported By: (b)(6) (b)(7)(C) sec

(CASE CONTROL AND REVIEW OFFICER)

FOR UNITED STATES GOVERNMENT USE ONLY

FOR UNITED STATES GOVERNMENT USE ONLY
UNITED STATES CIVIL SERVICE COMMISSION
REPORT OF INVESTIGATION

NAME STEVEN (NMN) GARFINKEL	ADDRESS (b)(6) (b)(7)(C) Hillcrest Heights, Maryland
DATE OF BIRTH June 18, 1945	PLACE OF BIRTH Washington, D.C.
POSITION Attorney Advisor	AGENCY GSA
TYPE OF CASE Full Field	CSC CASE SERIAL NO. 1.23.73.3 793
PLACE(S) OF INVESTIGATION Washington, D.C.	PLACE(S) OF RECORD SEARCH
DATE(S) OF INVESTIGATION April 11, 18, 1973	NAME OF INVESTIGATOR (b)(6) (b)(7)(C)

Information in this report has been obtained under a pledge of confidence. The sources of information must not be disclosed to the person investigated. The information must not be discussed with him in a manner which would reveal or permit him to deduce its source. However, these restrictions do not apply to (1) information of public record, and (2) information from Federal personnel records which could be obtained on request by the employee. Information from law enforcement records, including place of arrest and conviction, may be used provided the law enforcement office is not specifically named as the source.

This report is the property of the United States Civil Service Commission and may be recalled at any time. Transfer of this report to another agency is not permitted without prior consent of the Commission. The report and its contents must be safeguarded in a manner to prohibit unauthorized disclosure. Access is to be limited to those persons whose official duties require it.

The testimony of all witnesses is given in substance unless indicated by quotation marks.

SYNOPSIS

Period Covered: September, 1963 to May, 1967 by record only and September, 1967 to September, 1970. No derogatory information developed. Date, place of birth corroborated.

FOR UNITED STATES GOVERNMENT USE ONLY

WASHINGTON, D.C.

Education

GEORGE WASHINGTON UNIVERSITY, Registrar's Office, 2121 I Street, N.W.
Academic record information furnished by (b)(7)(D) Clerk.

The file shows that Steven (NMN) Garfinkel was born June 18, 1945 in Washington, D.C.

He attended the George Washington University Columbian College of Arts and Sciences from September, 1963 to May, 1967. All attendance was on a full-time basis, with the exception of a summer session of 1965 and 1966, which were part-time. He was awarded a Bachelor of Arts with distinction in Economics on June 4, 1967.

He attended the George Washington University National Law Center from September, 1967 to September, 1970. All attendance was full-time, with the exception of the summer session of 1970. He attended all regular semesters. He was awarded a Juris Doctor with honors on September 30, 1970.

The file does not reflect any previous degrees.

His most recent place of residence is shown as (b)(6) (b)(7)(C)
(b)(6) (b)(7)(C) Hillcrest Heights, Maryland.

INVESTIGATOR'S NOTE: It is the policy of the George Washington University, to include the Office of Campus Security and Police, not to provide information on student disciplinary action without written authorization from the student under investigation.

(b)(7)(D) Associate Dean, George Washington University,
National Law Center, 720 20th Street, N.W.

I was acquainted with Mr. Steven Garfinkel only as a law student from September, 1967 until his graduation in September, 1970. I was not acquainted with him at all socially. I had the opportunity to instruct him in two law courses, one from September, 1967 until January, 1968 and the second from September, 1970 until January, 1971. I am acquainted with nothing concerning his personal life or where he resided.

By his record, he was obviously a very intelligent and well qualified student. I recall now that I had a very high opinion of him. He graduated 8 out of a total of 35. He was a quiet, reserved individual. I counselled him concerning one course in which he was having difficulty.

He was persuaded to drop the course. By his record, with the exception of this one course in law, he experienced no other academic difficulties. He appeared to be emotionally stable and mature. I am certain he would be able to handle situations of pressure and stress. I have no question at all concerning his moral character. His honesty and his integrity were above reproach.

I never observed his drinking habits, he never gave me reason to question his use of alcohol. I received no indications that he might have used or experimented with narcotics or habit forming drugs. He appeared to be in generally good health. I am not aware of his marital status, I do not know what he enjoyed doing during his spare time. He was never involved in any trouble or scandal. I am certain he has never been arrested.

I know of no clubs or organizations in which he might have held membership. He never gave me a reason to question his loyalty to the United States. I highly recommend Mr. Steven Garfinkel for employment in a position of sensitivity which could be critical to the security of the United States.

INVESTIGATOR'S NOTE: The names of seven additional law professors were provided. None of these law professors had any personal recollection of Mr. Garfinkel. Repeated attempts to locate fellow students with personal knowledge of Mr. Garfinkel were unsuccessful.

PH:mals:5/2/73

FOR UNITED STATES GOVERNMENT USE ONLY

UNITED STATES CIVIL SERVICE COMMISSION

REPORT OF INVESTIGATION

NAME STEVEN (NMN) GARFINKEL AKA: STEVE	ADDRESS (b)(6) (b)(7)(C) Hillcrest Heights, Maryland
DATE OF BIRTH June 18, 1945	PLACE OF BIRTH Washington, D.C.
POSITION Attorney Advisor	AGENCY GSA
TYPE OF CASE Full Field	CSC CASE SERIAL NO. 1.23.73.3 791
PLACE(S) OF INVESTIGATION Washington, D.C. Hillcrest Heights, Silver Spring, Maryland Arlington, Virginia	PLACE(S) OF RECORD SEARCH Seat Pleasant, Maryland
DATE(S) OF INVESTIGATION April 9, 10, 12, 1973	NAME OF INVESTIGATOR (b)(6) (b)(7)(C)

Information in this report has been obtained under a pledge of confidence. The sources of information must not be disclosed to the person investigated. The information must not be discussed with him in a manner which would reveal or permit him to deduce its source. However, these restrictions do not apply to (1) information of public record, and (2) information from Federal personnel records which could be obtained on request by the employee. Information from law enforcement records, including place of arrest and conviction, may be used provided the law enforcement office is not specifically named as the source.

This report is the property of the United States Civil Service Commission and may be recalled at any time. Transfer of this report to another agency is not permitted without prior consent of the Commission. The report and its contents must be safeguarded in a manner to prohibit unauthorized disclosure. Access is to be limited to those persons whose official duties require it.

The testimony of all witnesses is given in substance unless indicated by quotation marks.

SYNOPSIS

Period covered: 1963 to date. Discrepancies disclosed in dates of claimed residence. Available local law enforcement agency files, no record. Credit bureau, no record. Date and place of birth corroborated.

FOR UNITED STATES GOVERNMENT USE ONLY

WASHINGTON, D.C.

Employment

GENERAL SERVICES ADMINISTRATION, Central Personnel Office, Room #1110, 18th and F Streets, N.W. Official personnel folder obtained from (b)(7)(D) File Clerk.

The file on Steven (NMN) Garfinkel shows that he was born June 18, 1945, in Washington, D.C.

December 21, 1970, Excepted Appointment Conditional, General Attorney, GS-9, Office of General Counsel, Records and Administration Division, General Services Administration, Washington, D.C.

June 2, 1971, granted Quality Step Increase.

December 26, 1971, Promotion, Attorney, Advisor, GS-11.

April 28, 1972, granted an Outstanding Performance Rating.

December 31, 1972, Promotion, Attorney Advisor (General), GS-12.

All of the other information in the file is found to be consistent with that in Garfinkel's PSQ.

INVESTIGATOR'S NOTE: GSA states its security file contains no pertinent information.

(b)(7)(D) Assistant General Counsel for Administration and Records, General Services Administration, Room #3103, 18th and F Streets, N.W.

I came to this position in June of 1972. I became Steven Garfinkel's (b)(7)(D) at that time, but I had worked with him on a couple of occasions prior to that. I have rated his work as excellent. He is very intelligent, and he also has a good deal of common sense. There are no problem areas in his work performance.

He did come to my home on one occasion, when I gave a party. Except for that one time, my only social contact with him has been at a couple of lunches. He is married, and he has one son. His wife's name is (b)(6) (b)(7)(C). He is a respectable man of good character. He does have good moral standards, and he speaks highly of his wife. He is a moderate social drinker, so I am sure that he does not drink to excess. He does not use any dangerous drugs or illegal drugs. I am sure that he is very judicious in his financial dealings, and that he is financially responsible.

He likes to play basketball, and even though he is quite heavy, he is

in good physical health. He is stable from an emotional standpoint so he is extremely dependable on the job. I am not aware of any organizations to which he belongs. I have never known him to be in any trouble with any law enforcement authorities. He is definitely a loyal American citizen. I will recommend him for a sensitive position with our government without any hesitation.

(b)(7)(D) (Reference), Attorney Advisor, Records and Administration Division, General Services Administration, Room #3103, 18th and F Streets, N.W.

Steven Garfinkel started to work here as a Staff Attorney in December of 1970. (b)(7)(D)

(b)(7)(D) In June of 1972, (b)(7)(D) and we have been co-workers since that time. His abilities as an Attorney are of the highest calibre. He is a very pleasant, easy-going individual. He gets along very well with all of the people in the division.

I am a pretty close social friend of his, so we exchange social visits pretty often. My wife and I have also gone on a couple of weekend trips with he and his wife. His wife's name is (b)(6) (b)(7)(C) and they have one little boy, (b)(6) (b)(7)(C) who is about one and one-half years old. I have visited his apartment in Hillcrest Heights, Maryland on many occasions. He has lived in that apartment ever since I have known him. My wife and I also go out to dinner with he and his wife quite often. He and (b)(6) (b)(7)(C) have a good marital relationship. His character is of the highest order. He is a very bright young man and I think he went through law school on an academic scholarship. He attended law school at George Washington University, in Washington, D.C. He told me that he worked part-time in his father's tobacco shop while he was attending school. I do not think that he drinks at all. He definitely does not use any dangerous drugs. He is a man of financial responsibility, and he plans to move into a house in the near future.

He loves to play bridge, and I think that he may belong to a bridge club. He follows sports activities quite closely, and I think that he may have been a football player in college. He also reads a great deal. He is a big man, but he seems to be in good physical health. He is quite stable emotionally. I think that he is a fairly religious person. I have never known of him to be in any trouble with law enforcement authorities. I definitely feel that he is loyal to our country, and I will recommend him highly for a position of sensitivity in our government.

(b)(7)(D) (Reference), Deputy Assistant General Counsel for Labor Law, General Services Administration, Room #3106, 18th and F Streets, N.W.

Steven Garfinkel started to work as an Attorney in the Records and Administration Division in December of 1970. I was then working in that

division, (b)(7)(D) until I left that division, but I cannot remember exactly when I left there. We are now in offices just across the hall from each other, so we still have contact almost everyday. His performance as an Attorney has been outstanding. He is hard working and easy-going. I cannot think of one problem area in his work performance.

My only social contact with him has been at one office connected party. I did meet his charming wife at that time but I cannot remember her name. I believe that they have one little boy. He is a good family man of excellent character and he does possess good moral standards. I am sure that he does not drink to excess, and I am sure that he does not use any dangerous or illegal drugs. I have had no opportunity to judge his financial responsibility.

He is a big, heavy man. He does play basketball quite often, so I think that he is in pretty good physical shape and his physical health seems to be very good. He is very stable emotionally. I am not aware of any organizations to which he belongs. I do feel that he is loyal to our country and I will recommend him quite highly for a position which is sensitive from the standpoint of our national security.

(b)(7)(D) (Reference), Attorney Advisor, Records and Administration Division, General Services Administration, Room #3103, 18th and F Streets, N.W.

I started to work in this division in June of 1971. Steven Garfinkel was working here as an Attorney Advisor at that time, and I have worked quite closely with him since I came. He handles a lot of the work involving the national archive's records and his work reputation is very good. He is a cooperative, helpful individual. He seems to be very competent as an attorney, and I have not noticed any problem areas concerning his work performance.

Besides being co-workers, we are also good social friends. We play basketball together on a team that was organized here in the office, and we play at least once a week. We also play tennis together, and we exchange visits to each other's homes pretty often. He lives in an apartment (b)(6) (b)(7)(C) in Maryland. He has lived in that apartment since I have known him. Because he keeps active physically, he seems to be in good physical health. He is very stable from an emotional standpoint. He and his wife, (b)(6) (b)(7)(C) have a good marriage. I think that they have been married at least five years and they have one little boy, (b)(6) (b)(7)(C) who is about 18 or 19 months old. Steven is a very moderate drinker, and he does not use any dangerous drugs. He is a financially responsible individual.

I am not aware of any organizations that he belongs to, other than religious ones. I do not think that he has ever been in any trouble with law enforcement authorities. I feel that he is quite loyal to our country and I will not hesitate to recommend him for a sensitive position with our

government.

(b)(7)(D) Assistant General Counsel for Labor Law, General Services Administration, Room #3104, 18th and F Streets, N.W.

Steven Garfinkel started to work as an Attorney in the Records and Administration Division in December of 1970. (b)(7)(D) for Labor Relations at that time, and even though I did not supervise him directly, I did give him guidance in his work. Our offices are now right across the hall, and I still have daily contact with him. He is a great attorney. He has a "profound" legal ability. He is a good worker, and he does get along well with everyone.

My only social contact with him has been at one party. I did meet his wife, but I cannot remember her name. I do believe that he is a man of excellent character and that he does possess good moral standards. I do not think that he drinks to excess, nor that he uses any drugs of a dangerous or illegal nature.

He plays basketball quite often, and he does seem to be in good physical health. He is very stable from an emotional standpoint. I am not aware of any organizations that he belongs to. I do know that he is quite loyal to our country and I will recommend him for a position of sensitivity with our government.

(b)(7)(D) Federal Women's Program Coordinator, Equal Employment Opportunity Office, General Services Administration, Room #4103, 18th and F Streets, N.W.

I came to this position in May of 1971. Steven Garfinkel was working as an Attorney in the Records and Administration Division at that time, so I met him when I came to work here. He does quite a bit of work for the National Archives, so I do have frequent contact with him in the office. We, also, usually eat lunch with the same group of people. He is an excellent lawyer, and he gets along well with everyone, as far as I know.

My only social contact with him other than lunch has been at one party. I did meet his wife, (b)(6) (b)(7)(C) and I do know that they have one child. He is "one of my favorite people". He is a man of high integrity, and he has a great deal of common sense. He does not drink in any excessive amount, and he does not use any drugs.

He plays volleyball and basketball frequently, so he seems to remain in very good physical health. He is very considerate and careful and he is quite emotionally stable. I am not aware of what organizations that he belongs to. I do feel that he is loyal to our country and I will recommend without hesitation for a sensitive position with our government.

(b)(7)(D) A. Garfinkel Tobacco Store, 720 14th Street, N.W.

Steven Garfinkel (b)(6) (b)(7)(C) worked here in my tobacco store on a part-time basis, while he was attending law school at George Washington University. I believe he worked here from some time in 1967 to some time in 1970, but I do not have a record of his employment available at this time.

(b)(7)(D) Salesman, A. Garfinkel Tobacco Store, 720 14th Street, N.W.

I have worked in this store for seven and one-half years. I have known Steven Garfinkel since I came to work here, because he is the boss's son. He worked here from approximately 1967 to 1970, while he was attending law school at George Washington University. He worked part-time during the school year, and full-time during the summers. He put forth good effort while working in the store.

I still see him almost every day, since he stops in to see his parents. I do know his wife, (b)(6) (b)(7)(C) and they do have one little boy, (b)(6) (b)(7)(C). He seems to have a solid marriage, and I do not question his moral standards. He is an honest, dependable, conscientious individual. I do not mistrust him in any way. He is a very bright young man. I do think that he drinks socially, but I do not think that he drinks to excess. I do not think that he uses any dangerous or illegal drugs.

He is pretty heavy, but he is in good physical health. He is a very mild individual, so I would judge him to be quite emotionally stable. I know that he is a sports fan, and that he likes to play bridge. I am not aware of any organizations that he belongs to, outside of religious ones. I feel that he is loyal to our country. I think that he can be trusted in any instance, and I will recommend him for a position which is sensitive from the standpoint of the national security.

INVESTIGATOR'S NOTE: All of the other employees of the A. Garfinkel Tobacco Store are close relatives of the subject's. Therefore, no additional witnesses were available at this employment.

Law Enforcement and Credit Searches

METROPOLITAN POLICE DEPARTMENT.

INVESTIGATOR'S NOTE: Metropolitan Police Department of the District of Columbia refuses to conduct record searches without a signed release from the individual under investigation.

CREDIT BUREAU, INCORPORATED, 425 13th Street, N.W. (Covers the Washington Metropolitan Area).

No record.

HILLCREST HEIGHTS, MARYLAND

Residence

CARRIAGE HILL APARTMENTS, Rental Office, (b)(6) (b)(7)(C) Record information furnished by (b)(7)(D) Resident Manager.

The record on Steven Garfinkel shows that he moved into Apartment (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) on May 15, 1967. He is listed as being married, but his wife's name is not shown. He is an excellent tenant, and he has always paid his rent on time.

The record contains no additional pertinent information.

(b)(7)(D) Resident Manager, (b)(6) (b)(7)(C)
(b)(6) (b)(7)(C)

I have known Steven Garfinkel since he and his wife moved into (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) in 1967. My main contact with him has been here in the Rental Office, and I do not visit his apartment. I think that he is a fine gentleman and a very respectable individual. He moved to this apartment about the same time that he and his wife were married. I have never seen any evidence that he drinks excessively nor that he uses any dangerous drugs. He is definitely financially responsible.

His physical and emotional health seem to be adequate. He has never done nor said anything that would cause me to question his loyalty to our country. I do not know of any reason he should not be given a sensitive position in our government.

(b)(7)(D) Retired, Program Analyst, Small Business Administration,
(b)(7)(D)

I met Steven Garfinkel soon after he and his wife moved into (b)(6) (b)(7)(C) directly above mine in about 1967. I have not had a great deal of social contact with the Garfinkel family, but we are quite friendly, and we often carry on conversations in the hallway. He and his wife are both quiet, respectable people. They do have one son, (b)(6) (b)(7)(C) who is approximately 16 months old. Mr. Garfinkel does not drink to any excess, and he does not use any dangerous drugs.

He seems to be in good physical health, and he is emotionally stable. I do not know of any organizations to which he belongs. I have absolutely no reason to question his loyalty to our country, and I do not know of any reason why he should not be employed in a sensitive position with our government.

(b)(7)(D) Housewife, (b)(7)(D)

Steven Garfinkel, ^{and} his wife have lived in (b)(6) (b)(7)(C) on the Second Floor

of this building for, at least, four or five years. I know them in the building as nice people, but we do not exchange social visits between our apartments because of a large difference in our ages. I have talked to Mr. Garfinkel on many occasions, and I consider him to be a respectable young man. They do have one child at the present time. I do think that Mr. Garfinkel is a man of good character. I do not have any reason to question his moral standards. I do not think that he drinks excessively, and I am sure that he does not use any dangerous drugs.

He is in good physical and emotional health. I do not have any reason to question his loyalty to our country, nor do I know of any reason why he should not be placed in a position of sensitivity with the government.

(b)(7)(D) Flight Steward, United States Air Force, (b)(7)(D)

I have lived in this apartment for about two years. Steven Garfinkel, and his wife were living in (b)(6) (b)(7)(C) on the Second Floor of this building when I moved here. We do not exchange visits between apartments but I have talked to him on a number of occasions in the hallway. They do have one little boy. He seems to be a very respectable, decent man. I have not witnessed any excessive drinking nor any drug usage on his part. I think he is in adequate health, both physically and emotionally. I am not aware of any organizations to which he belongs. I have absolutely no reason to question his loyalty to our country, and I do not know of any reason why he should be denied a position in our government.

Law Enforcement Searches

PRINCE GEORGE'S COUNTY POLICE DEPARTMENT, SEAT PLEASANT, MARYLAND, (Has jurisdiction over Hillcrest Heights).

No adult record.

SILVER SPRING, MARYLAND

Education

(b)(7)(D) (Reference), Physician, (b)(7)(D)

I met Steven Garfinkel when we were both freshman at George Washington University in 1963. We joined the same fraternity, so we have been very close friends ever since I have known him. We did attend our four years of undergraduate study together, but we did not have many classes together. Our main contact was in the fraternity. I then went to medical school, while he went to law school at George Washington University. He graduated from our school in 1970. He has been working for the General Services Administration since that time.

At the present time, I see him approximately once a month. He has been

living in an apartment in Hillcrest Heights, Maryland ever since he got married, about six years ago. His wife's name is (b)(6) (b)(7)(C) and they have one little boy. He and his wife have a good marital relationship. He is a very trustworthy individual, and he has a good sense of justice. He gets along well with everybody. He is a very moderate drinker and he does not use any drugs of a dangerous order or illegal nature. He is quite financially responsible, and I think he plans to buy a home in the future.

He plays basketball and bridge to a great extent. He is overweight, but he does not seem to have any physical health problems. He is an emotionally stable man. He may belong to some legal organizations, but I am not aware of any specific organizations that he belongs except for religious ones. I do not think that he has ever been in trouble with any law enforcement authorities. I feel that he is definitely loyal to our country, and I will recommend him for a sensitive position with our government.

ARLINGTON, VIRGINIA

Miscellaneous

(Reference), Retired,

(b)(7)(D)

I have known Steven Garfinkel for approximately six or seven years. I got to know him when he was dating the daughter of my next door neighbor, when I lived in Washington, D.C. Her name was (b)(6) (b)(7)(C) and she did marry Steven approximately five years ago. I did attend the wedding, but I cannot remember the exact date. Steven was attending George Washington University when I met him and he graduated from the George Washington Law School about three years ago. He has come out here for social visits but I have never visited his home. He lives in an apartment somewhere in Maryland. He and (b)(6) (b)(7)(C) do have one young son, (b)(6) (b)(7)(C).

He is a very personable and intelligent young man. He had very high grades at law school. He is a good family man and a hard worker. He and (b)(6) (b)(7)(C) get along well, and he does possess good moral standards. I do not think that he drinks at all. I am sure that he does not use any dangerous drugs. His dad owns a tobacco store in Washington, D.C. and I think that the whole family is quite financially responsible. I am sure that he does not have any serious financial problems.

He is a little overweight, but he plays basketball quite a bit, so I think that he is in good physical health. He also is an avid bridge player, and he likes to read a lot. I am not aware of any organizations to which he belongs, other than religious ones. He is a well-balanced, stable young man. I do not think that he has ever been in trouble with any law enforcement authorities. I would not question his loyalty to our country in any way, and I will recommend him for a position of a sensitive nature in our government.

PH:plw:4/17/73

10/31/94

14:17

FIPC -

BOYERS, PA

NO. 797

P004/004

b6
b7C

OCTOBER 28, 1994

TO: INVESTIGATION BACKGROUND BRANCH (IBB)
OPM

(b)(6) (b)(7)(C)

FROM: SPECIAL INQUIRY UNIT
FBI HEADQUARTERS

PLEASE SEARCH THE FOLLOWING NAMES THROUGH OPM RECORDS:

NAME

DOB

SSAN

b6
b7C

STEVEN GARFINKEL
NEE STEVE

6/18/45

*Per CM w/ file
4-10-91*

(b)(6) (b)(7)(C)

ANY QUESTIONS, PLEASE CALL [REDACTED] AT (202) 324-2623.
SPIN UNIT FAX NUMBER IS 324-2574.

b6
b7C

10/31/94

OCT 31 1994

412 324 2623

Instructions on the back of Copy 4

COPY 1

OFI FORM 79
August 1991U.S. Office of Personnel Management
NOTICE OF PERSONNEL INVESTIGATION

OPM-FIPC Use Only

Part A: Personnel Investigation Information (To be completed by investigating agency)

1. SUBJECT'S FULL NAME (LAST) (FIRST) (MIDDLE) (JR., SR., II, etc.)

Garlinkel, Steven

2. SSN

(b)(6) (b)(7)(C) (b)(6) (b)(7)(C)

3. DOB

6/18/45

4. PLACE OF BIRTH (CITY, COUNTY, STATE, COUNTRY)

5. OTHER NAME(S) USED (Nee, AKA, FMN)

6. DATE INITIATED

7. AGENCY FILE
NUMBER

8. SUBMITTING SOI

9. INVESTIGATING SOI

DJ102

10. POSITION SENSITIVITY/RISK: (CHECK ONE)

1. ☐ Non-Sensitive/Low Risk (SF 85) 3. ☐ Critical Sensitive (SF 86) 5. ☐ Moderate Risk (SF 85P)
2. ☐ Non-Critical Sensitive (SF 86) 4. ☐ Special Sensitive (SF 86) 6. ☐ High Risk (SF 85P)

11. TYPE OF INVESTIGATION: (CHECK ONE)

- ☐ BI (5 YEARS) ☐ SBI (15 YEARS) ☐ LBI (3 YEARS) ☐ PERIODIC REINVESTIGATION (PRI)
☐ OTHER (SPECIFY)

12. OPM ACTION REQUESTED:

- ☐ Notice of Investigation Only ☐ Notice of Investigation/Conduct SII Search ☐ Copy of OPM File(s) not required

Part A completed by:
Signature

5216

Date 10-28-94

Completing Official's FTS:
Telephone Number Comm.: ()

Part B: OPM Record Information (To be completed by OPM-FIPC)

1. ☐ No Record Found
2. ☒ OPM File Attached
3. ☐ OPM Record Information Attached
4. ☐ A _____ investigation is currently being conducted by OPM for
You may resubmit a request to OPM for results on or around _____
5. ☐ Notice of Investigation Only recorded.
6. ☐ OPM file previously furnished to your agency on _____
7. ☐ No pertinent information.
8. ☐ Other (Specify): 1 OPM FILE ATTACHED, ADDITIONAL
FILE IN STORAGE, WILL BE DELIVERED

INFORMATION IN THIS REPORT OF
INVESTIGATION MAY NOT BE ACTED
UPON UNTIL AN APPROPRIATE UPDATE
HAS BEEN CONDUCTED

Part B completed by: Signature

Date OCT 31 1994

Part C: Agency Information (To be completed by adjudicating agency)

Return OPM copy upon final adjudication of the complete case to: OPM-FIPC, Boyers, PA 16018

1. ☐ Subject not contacted: Favorable determination 6. ☐ Other: (Specify) _____
2. ☐ Subject contacted: Favorable determination
3. ☐ Subject terminated/resigned/withdrew prior to determination 7. ☐ Letter of warning or advisement issued
4. ☐ Subject not appointed due to security/suitability issues 8. ☐ Letter of reprimand issued
5. ☐ Subject removed due to security/suitability issues 9. ☐ Suspension of 14 days or less

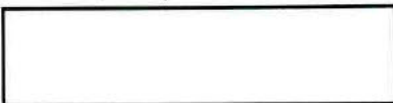
TYPE OF CLEARANCE GRANTED:

0. ☐ Not required 5. ☐ Q-Sensitive (AEA)
1. ☐ Confidential (E.O. 12356) 6. ☐ Q-Non-Sensitive (AEA)
2. ☐ Secret (E.O. 12356) 7. ☐ L (AEA)
3. ☐ Top Secret (E.O. 12356) 8. ☐ Other: (Specify) _____
4. ☐ Sensitive Compartmented Information (DCID 1/14)

Part C completed by:
Signature

Date

Completing Official's FTS:
Telephone Number Comm.: ()



FBI

SELECT TYPE-OF DATA TO BE REVIEWED AND ENTER NUMBER IN FUNCTION
FUNCTION

*** BASIC SUBJECT - DISPLAY ***

SSN (b)(6) (b)(7)(C)

DOB 06/18/1945

LAST GARFINKEL

FIRST STEVEN

MID (MM)

SUF

POB CITY WASHINGTON

COUNTY

POB STATE DC / POB COUNTRY

CITIZENSHIP 1

SEX M

SPECIAL

DEATH INDICATOR

PURGE-DATE (MM/YYYY) 01/2006

(1) BASIC SUBJECT Y (2) BAR (3) ARREST (4) AKA NAME Y 29
(5) CORRECTION Y (6) DISCLOSURE Y (7) INVESTIGATION Y

NO MORE AKA DATA FOR THIS RECORD
FUNCTION

**** AKA NAMES - DISPLAY ****

SSN (b)(6) (b)(7)(C) DOB 06/18/1945
LAST GARFINKEL FIRST STEVEN MID (NMN) SUFF

AKA LAST FIRST MIDDLE SUFFIX FROM M/Y TO M/Y NEE
GARFINKEL STEVE

(1) BASIC SUBJECT Y (2) BAR (3) ARREST (4) AKA NAME Y
(5) COMMENT Y (6) DISCLOSURE Y (7) INVESTIGATION Y

NO MORE COMMENT DATA FOR THIS RECORD
FUNCTION

**** SUBJECT COMMENTS - DISPLAY ****

SSN (b)(6) (b)(7)(C) DOB 06/18/1945
LAST GARFINKEL FIRST STEVEN MID (NMN) SUFF

RECONCILED SEX - M
ON 5-30-91 (b)(6) (b)(7)(C) GSA SECURITY SPECIALIST RELEASED

SPIN FILE REQUEST REFERRED TO FOI/P ON 10/28/1994.

(1) BASIC SUBJECT Y (2) BAR (3) ARREST (4) AKA NAME Y
(5) COMMENT Y (6) DISCLOSURE Y (7) INVESTIGATION Y

MORE DISCLOSURE DATA FOR THIS RECORD
FUNCTION

**** DISCLOSURES - DISPLAY ****

SSN (b)(6) (b)(7)(C) DOB 06/18/1945
LAST GARFINKEL FIRST STEVEN MID (NMN) SUFF

METHOD H / HARDCOPY SOI DOJ2 REQ FEDERAL BUREAU OF INVESTIGATION
PURPOSE I / INVESTIGATION ADDR ROOM 4246 (TLW 114)
DISCLOSED 10/28/1994 CITY WASHINGTON
MAILED CHIEF

ST30C

ZIP 20535-0000

RETURNED ID 5215 SERIOUSNESS AGY ADJ ADJ DATE
METHOD H / HARDCOPY ECOO REQ EXECUTIVE OFFICE OF THE PRESIDENT
PURPOSE I / INVESTIGATION ADDR ROOM 4018 NEOB
DISCLOSED 10/18/1994 CITY WASHINGTON ST DC
MAILED 10/24/1994 CNTRY ZIP 20503-0000
RETURNED 12/24/1994 ID 5052 SERIOUSNESS AGY ADJ ADJ DATE

(1) BASIC SUBJECT Y (2) BAR (3) ARREST (4) AKA NAME Y
(5) COMMENT Y (6) DISCLOSURE Y (7) INVESTIGATION Y

MORE DISCLOSURE DATA FOR THIS RECORD
FUNCTION ***** DISCLOSURES - DISPLAY *****

SSN (b)(6) (b)(7)(C) DOB 06/18/1945
LAST GARFINKEL FIRST STEVEN MID (NMN) SUF

METHOD H / HARDCOPY SOI DN00 REQ US DEPARTMENT OF ENERGY
PURPOSE I / INVESTIGATION ADDR NN-512
DISCLOSED 05/30/1991 CITY WASHINGTON ST DC
MAILED 05/30/1991 CNTRY ZIP 20585-0000
RETURNED ID 5198 SERIOUSNESS AGY ADJ ADJ DATE

(1) BASIC SUBJECT Y (2) BAR (3) ARREST (4) AKA NAME Y
(5) COMMENT Y (6) DISCLOSURE Y (7) INVESTIGATION Y

NO MORE DISCLOSURE DATA FOR THIS RECORD
FUNCTION ***** DISCLOSURES - DISPLAY *****

SSN (b)(6) (b)(7)(C) DOB 06/18/1945
LAST GARFINKEL FIRST STEVEN MID (NMN) SUF

METHOD H / HARDCOPY SOI CIOO REQ CENTRAL INTELLIGENCE AGENCY
PURPOSE I / INVESTIGATION ADDR ROOM 1S05 STAFFORD BUILDING
DISCLOSED 05/30/1991 CITY WASHINGTON ST DC
MAILED 05/30/1991 CNTRY ZIP 20505-0000
RETURNED ID 5198 SERIOUSNESS AGY ADJ ADJ DATE

METHOD H / HARDCOPY SOI NU00 REQ
PURPOSE I / INVESTIGATION ADDR
DISCLOSED 05/30/1991 CITY ST
MAILED 05/30/1991 CNTRY ZIP
RETURNED ID 5198 SERIOUSNESS AGY ADJ ADJ DATE

(1) BASIC SUBJECT Y (2) BAR (3) ARREST (4) AKA NAME Y
(5) COMMENT Y (6) DISCLOSURE Y (7) INVESTIGATION Y

NO MORE INVESTIGATION DATA FOR THIS RECORD
FUNCTION **** SUBJECT INVESTIGATIONS - D ****

SSN (b)(6) (b)(7)(C) DOB 06/18/1945
LAST GARFINKEL FIRST STEVEN MID (NMN) SUF

V	CASE	TYPE	/DAYS	EVENT	DATE	RE	FILE
	91809301	FRI	/ 120	CLOSED	04/10/1991	CM	Y
	35039	BI	/ 75	CLOSED	10/22/1984	RE	Y
	SPN8413535	NAC (NR)	/ 75	CLOSED	04/27/1984	PR	N
	SP8117447	NAC (NR)	/ 75	CLOSED	07/10/1981	RE	Y
	SP78032267	NAC (NR)	/ 75	CLOSED	01/08/1979	PR	N
			/				
			/				
			/				
			/				
			/				

TO VIEW DETAILED INFORMATION, ENTER AN 'X' IN 'V' FOR THE INVESTIGATION

(1) BASIC SUBJECT Y (2) BAR (3) ARREST (4) AKA NAME Y
(5) COMMENT Y (6) DISCLOSURE Y (7) INVESTIGATION Y

FUNCTION **** BASIC INVESTIGATION - DISPLAY **** B/SF86 (10/87)
CASE: 91809301 NAME: GARFINKEL SSN: (b)(6) (b)(7)(C)

TYPE: 11 / PRI SERVICE: C / 120 EXTRA: SPECIAL:
SENSITIVITY: 4 ACCESS: 4 EXPEDITE: EXT LIFE:
STATUS: CLOSED SAC CODES:
EVENT: CLOSED DATE: 04/10/1991 ASSIGNED TO: F50
CLOSING: CM DATE: 04/10/1991 UN: ADMIN: REQ MET:
CURRENT COST: \$ PURGE DATE: 04/2006
SERIOUSNESS: R DISCONTINUED: N SD: 01/15/1991
OPM ADJ: SUSPENDED: N CD: 04/29/1991
AGY ADJ: 01 AD: 05/15/1991
ADJ DATE: 06/12/1991 RELEASE: 01/1993 RETIRED: 08/01/93 AMENDED:

SF-85/86 ISSUES:

OF REPORTS: # OF REPORT PAGES: # OF ATTACHMENTS:
OF SOURCES: 1 P: R: 1 I: # OF NACS: 12 # OF QA:

(1) BASIC Y (2) EVENTS Y (3) COMMENTS (4) MESSAGES (5) CATS Y
(6) AGENCY Y (7) OPM FILES Y (8) OTHER FILES (9) PERSONAL (10) SUBJECT Y

FUNCTION **** BASIC INVESTIGATION - DISPLAY **** B/SF86 (10/87)
CASE: 91809301 NAME: GARFINKEL SSN: (b)(6) (b)(7)(C)

TYPE: 11 / PRI SERVICE: C / 120 EXTRA: SPECIAL:
SENSITIVITY: 4 ACCESS: 4 EXPEDITE: EXT LIFE:
STATUS: CLOSED SAC CODES:
EVENT: CLOSED DATE: 04/10/1991 ASSIGNED TO: F50
CLOSING: CM DATE: 04/10/1991 UN: ADMIN: REQ MET:
CURRENT COST: \$ PURGE DATE: 04/2006
SERIOUSNESS: R DISCONTINUED: N SD: 01/15/1991
OPM ADJ: SUSPENDED: N CD: 04/29/1991
AGY ADJ: 01 AD: 05/15/1991

SF-85/86 ISSUES:

OF REPORTS: # OF REPORT PAGES: # OF ATTACHMENTS:
 # OF SOURCES: 1 F: R: 1 I: # OF NACS: 12 # OF QA:

 (1) BASIC Y (2) EVENTS Y (3) COMMENTS (4) MESSAGES (5) CATS Y
 (6) AGENCY Y (7) OPM FILES Y (8) OTHER FILES (9) PERSONAL (10) SUBJECT Y

FUNCTION **** AGENCY - DISPLAY ****
 CASE 91809301 TYPE 11 SERVICE 120 NAME GARFINKEL SSN (b)(6) (b)(7)(C)

 POS CODE TITLE DIR INFO SEC OVERSIGHT OF ACTION CODE 000 DATE
 GEOGRAPHIC LOC 110010001 DPAC/ALC 47000016 ACCOUNTING 1371A00251107025000536A72
 SON GS00 / AGENCY GENERAL SERVICES ADMINISTRATION
 NAME GENERAL SERVICES ADMINISTRATION WAFID
 ADDR 18TH & F STREETS NW CITY
 CITY WASHINGTON ST DC ZIP 20405-0000
 SOI GS00 / AGENCY GENERAL SERVICES ADMINIST
 NAME GENERAL SERVICES ADMINISTRATION
 ADDR 18TH & F STREETS NW CTRY
 CITY WASHINGTON ST DC ZIP 20405-0000
 APPT DATE PHASE I N
 CASE NAME GARFINKEL ADDTL RE
 INPUT FORM B/SF86 (10/87) FORM DATE MAILER
 SUBMITTING NAME CONDUCTED BY SOI
 ADDR CTRY
 CITY ST ZIP

 (1) BASIC Y (2) EVENTS Y (3) COMMENTS (4) MESSAGES (5) CATS Y
 (6) AGENCY Y (7) OPM FILES Y (8) OTHER FILES (9) PERSONAL (10) SUBJECT Y

NO MORE DATA EXISTS
 FUNCTION **** OPM FILES - DISPLAY ****
 INPUT FORM B/SF86 (10/87)
 CASE 91809301 TYPE 11 SERVICE 120 NAME GARFINKEL SSN (b)(6) (b)(7)(C)

 FILE NUMBER CLASSIFICATION TYPE INDICATOR ID DATE
 91337212 I / REPORT K / KEY VERIFIED 5348 04/16/1991

OCT 31 1946 056

SSN SERIOUSNESS OPM ADJ INDICATOR
 (b)(6) (b)(7)(C) R / RET OFI-79 K / KEY VERIFIED
 AGY ADJ ID ADJ DATE CLEAR GRANTED CLEAR DATE
 01 5192 06/12/1991
 OTHER ACTION

 (1) BASIC Y (2) EVENTS Y (3) COMMENTS (4) MESSAGES (5) CATS Y
 (6) AGENCY Y (7) OPM FILES Y (8) OTHER FILES (9) PERSONAL (10) SUBJECT Y

FUNCTION *** BASIC INVESTIGATION - DISPLAY ***
CASE: 35039 NAME: GARFINKEL SSN: (b)(6) (b)(7)(C)

TYPE: 25 / BI SERVICE: B / 75 EXT SPECIAL:
SENSITIVITY: ACCESS: EXPEDITE: EXT LIFE:
STATUS: CLOSED PHASE I SAC CODES:
EVENT: CLOSED DATE: 10/22/1984 ASSIGNED TO:
CLOSING: RE DATE: 10/22/1984 UN: ADMIN: REQ MET:
CURRENT COST: \$ PURGE DATE: 10/1986
SERIOUSNESS: DISCONTINUED: N SD:
OPM ADJ: SUSPENDED: N CD:
AGY ADJ: AD:
ADJ DATE: RELEASE: 10/1999 RETIRED: AMENDED:
SF-85/86 ISSUES:

OF REPORTS: # OF REPORT PAGES: # OF ATTACHMENTS:
OF SOURCES: P: R: I: # OF NACS: # OF QA:

(1) BASIC Y (2) EVENTS Y (3) COMMENTS (4) MESSAGES (5) CATS
(6) AGENCY Y (7) OPM FILES Y (8) OTHER FILES (9) PERSONAL (10) SUBJECT Y

FUNCTION *** AGENCY - DISPLAY ***
CASE 35039 TYPE 25 SERVICE 75 NAME GARFINKEL SSN (b)(6) (b)(7)(C)

FOS CODE TITLE DIRECTOR ACTION CODE DATE
GEOGRAPHIC LOC OPAC/ALC ACCOUNTING
SON / AGENCY WAFID
NAME
ADDR
CITY ST CTRY
SOI / AGENCY ZIP
NAME
ADDR
CITY ST CTRY
APPT DATE PHASE I Y
CASE NAME GARFINKEL ADDTL RE
INPUT FORM / FORM DATE MAILER
SUBMITTING NAME GSA CONDUCTED BY SOI
ADDR WASHINGTON DC CTRY
CITY ST ZIP

(1) BASIC Y (2) EVENTS Y (3) COMMENTS (4) MESSAGES (5) CATS
(6) AGENCY Y (7) OPM FILES Y (8) OTHER FILES (9) PERSONAL (10) SUBJECT Y

NO MORE DATA EXISTS

FUNCTION *** OPM FILES - DISPLAY ***
INPUT FORM /
CASE 35039 TYPE 25 SERVICE 75 NAME GARFINKEL SSN (b)(6) (b)(7)(C)

FILE NUMBER CLASSIFICATION TYPE INDICATOR ID DATE
85117042

OCT 31 1996 056

AGY ADJ. ID

ADJ

CLEAR GRANTED

CLEAR DATE

OTHER ACTION

 (1) BASIC Y (2) EVENTS Y (3) COMMENTS (4) MESSAGES (5) CATS
 (6) AGENCY Y (7) OPM FILES Y (8) OTHER FILES (9) PERSONAL (10) SUBJECT Y

FUNCTION ***** BASIC INVESTIGATION - DISPLAY ***** /
 CASE: SPN8413535 NAME: GARFINKEL SSN: (b)(6) (b)(7)(C)

 TYPE: 05 / NAC (NR) SERVICE: B / 75 EXTRA: SPECIAL:
 SENSITIVITY: ACCESS: EXPEDITE: EXT LIFE:
 STATUS: CLOSED PHASE I SAC CODES:
 EVENT: CLOSED DATE: 04/27/1984 ASSIGNED TO:
 CLOSING: PR DATE: 04/27/1984 UN: ADMIN: REQ MET:
 CURRENT COST: \$ PURGE DATE: 04/1986
 SERIOUSNESS: DISCONTINUED: N SD:
 OPM ADJ: SUSPENDED: N CD:
 AGY ADJ: AD:
 ADJ DATE: RELEASE: 04/1999 RETIRED: AMENDED:

SF-85/86 ISSUES:

OF REPORTS: # OF REPORT PAGES: # OF ATTACHMENTS:
 # OF SOURCES: P: R: I: # OF NACS: # OF QA:

 (1) BASIC Y (2) EVENTS Y (3) COMMENTS (4) MESSAGES (5) CATS
 (6) AGENCY Y (7) OPM FILES (8) OTHER FILES (9) PERSONAL (10) SUBJECT Y

FUNCTION ***** AGENCY - DISPLAY *****
 CASE SPN8413535 TYPE 05 SERVICE 75 NAME GARFINKEL SSN: (b)(6) (b)(7)(C)

 POS CODE TITLE DIRECTOR ACTION CODE DATE
 GEOGRAPHIC LOC OPAC/ALC ACCOUNTING
 SON / AGENCY
 NAME WAFID
 ADDR
 CITY ST CTRY ZIP
 SOI / AGENCY
 NAME
 ADDR
 CITY ST CTRY ZIP
 APPT DATE PHASE I Y
 CASE NAME GARFINKEL ADDTL RE
 INPUT FORM / FORM DATE MAILER
 SUBMITTING NAME GSA CONDUCTED BY SOI
 ADDR WASH DC CTRY
 CITY ST ZIP

 (1) BASIC Y (2) EVENTS Y (3) COMMENTS (4) MESSAGES (5) CATS
 (6) AGENCY Y (7) OPM FILES (8) OTHER FILES (9) PERSONAL (10) SUBJECT Y

NO DATA EXISTS

FUNCTION 2 ***** AGENCY - DISPLAY *****
 CASE SPN8413535 TYPE 05 SERVICE 75 NAME GARFINKEL SSN: (b)(6) (b)(7)(C)

POS CODE TITLE DIRECTOR ACTION CODE DATE
GEOGRAPHIC LOC PAC/ALC ACCOUNT
SON / AGENCY WAFID
NAME
ADDR CTRY
CITY ST ZIP
SOI / AGENCY
NAME
ADDR CTRY
CITY ST ZIP
APPT DATE
CASE NAME GARFINKEL ADDTL RE PHASE I Y
INPUT FORM / FORM DATE MAILER
SUBMITTING NAME GSA CONDUCTED BY SOI
ADDR WASH DC CTRY
CITY ST ZIP

(1) BASIC Y (2) EVENTS Y (3) COMMENTS (4) MESSAGES (5) CATS
(6) AGENCY Y (7) OPM FILES (8) OTHER FILES (9) PERSONAL (10) SUBJECT Y

FUNCTION *** BASIC INVESTIGATION - DISPLAY *** /
CASE: SP8117447 NAME: GARFINKEL SSN: (b)(6) (b)(7)(C)

TYPE: 05 / NAC (NR) SERVICE: B / 75 EXTRA: SPECIAL:
SENSITIVITY: ACCESS: EXPEDITE: EXT LIFE:
STATUS: CLOSED PHASE I SAC CODES:
EVENT: CLOSED DATE: 07/10/1981 ASSIGNED TO:
CLOSING: RE DATE: 07/10/1981 UN: ADMIN: REQ MET:
CURRENT COST: \$ PURGE DATE: 07/1996
SERIOUSNESS: DISCONTINUED: N SD:
OPM ADJ: SUSPENDED: N CD:
AGY ADJ: AD:
ADJ DATE: RELEASE: 07/1996 RETIRED: AMENDED:
SF-85/86 ISSUES:

OF REPORTS: # OF REPORT PAGES: # OF ATTACHMENTS:
OF SOURCES: P: R: I: # OF NACS: # OF QA:

(1) BASIC Y (2) EVENTS Y (3) COMMENTS (4) MESSAGES (5) CATS
(6) AGENCY Y (7) OPM FILES Y (8) OTHER FILES (9) PERSONAL (10) SUBJECT Y

FUNCTION *** AGENCY - DISPLAY ***
CASE SP8117447 TYPE 05 SERVICE 75 NAME GARFINKEL SSN: (b)(6) (b)(7)(C)

POS CODE TITLE DIRECTOR ACTION CODE DATE
GEOGRAPHIC LOC OPAC/ALC ACCOUNTING
SON / AGENCY WAFID
NAME
ADDR CTRY
CITY ST ZIP
SOI / AGENCY
NAME
ADDR CTRY
CITY ST ZIP
APPT DATE
CASE NAME GARFINKEL ADDTL RE PHASE I Y
THRU DATE / FROM DATE MAILER

SUBMITTING NAME DOE
ADDR WASH DC 20543
CITY

CONDUCTED BY SUI
CTRY
ZIP

(1) BASIC Y (2) EVENTS Y (3) COMMENTS (4) MESSAGES (5) CATS
(6) AGENCY Y (7) OPM FILES Y (8) OTHER FILES (9) PERSONAL (10) SUBJECT Y

NO MORE DATA EXISTS

FUNCTION ***** OPM FILES - DISPLAY *****

INPUT FORM /

CASE SP8117447 TYPE 05 SERVICE 75 NAME GARFINKEL

SSN (b)(6) (b)(7)(C)

FILE NUMBER CLASSIFICATION TYPE INDICATOR ID DATE

1851164

SSN

SERIOUSNESS

OPM ADJ INDICATOR

(b)(6) (b)(7)(C)

AGY ADJ

ID

ADJ DATE

CLEAR GRANTED

CLEAR DATE

OTHER ACTION

(1) BASIC Y (2) EVENTS Y (3) COMMENTS (4) MESSAGES (5) CATS
(6) AGENCY Y (7) OPM FILES Y (8) OTHER FILES (9) PERSONAL (10) SUBJECT Y

UNITED STATES
OFFICE OF PERSONNEL MANAGEMENT
OFFICE OF FEDERAL INVESTIGATIONS
P.O. BOX 886
WASHINGTON, DC 20044

AGENCY INFORMATION FOR OPM BACKGROUND INVESTIGATIONS
(FOR USE WITH THE CASE CLOSING TRANSMITTAL)

Investigation Coverage - Knowledgeable personal sources are questioned in depth in significant areas, which may include the nature and extent of association with the subject's background and history; any special factors requested; subjects character, habits, reputation, honesty, integrity, conduct, mental health, financial responsibility, use of intoxicants, use of illegal drugs, arrests, associates, affiliations, and loyalty, as appropriate; and a recommendation of the subject. The absence of specific references to these attributes in a testimony means that the particular source did not furnish any potentially actionable information.

Report Format - Reports of investigation are prepared using a source-by-source format under a single heading, "TESTIMONIES." The presence or absence of issues, discrepancies, special factors, or matters in need of explanation, determines the extent of each testimony. A testimony in a report can range from a briefly reported association/recommendation to fully described information. Reports of investigation are organized around item numbers assigned at the time of scheduling. Items are grouped generally by coverage matter in reverse chronological order. All sources covering an item have the same item number. Developed items or situations requiring special reporting follow originally scheduled items.

Source Identification - Each source is fully identified by name, position title, and address where the interview took place.

Testimony - Information from a source contacted to cover an item becomes a testimony attributed to that source in the report. The first line of any testimony, whether personal or record, will show either "Acceptable" or "Issue(s)."

Issue Codes - A numerical code identifying the general nature of an issue raised in the investigation:

- | | |
|------------------------------|---------------------------|
| 1 - Intoxicants | 8 - Firearms/Weapons |
| 2 - Drug use | 9 - Miscellaneous |
| 3 - Financial responsibility | 10 - Statutory debarment |
| 4 - Moral conduct | 11 - Loyalty and security |
| 5 - Honesty | 12 - Qualifications |
| 6 - Violent behavior | 13 - Associates |
| 7 - Employment | 14 - Relatives |

Issue Seriousness - An alpha code identifying the seriousness of an issue raised in the investigation. With respect for suitability for any position:

"A" issues are **minor** and the conduct or issue, standing alone, would not be disqualifying.

"B" issues are **moderate** and the conduct or issue, standing alone, would probably not be disqualifying.

"C" issues are **substantial** and the conduct or issue, standing alone, may probably be disqualifying.

"D" issues are **major** and the conduct or issue, standing alone, would be disqualifying.

"E" issues are **other matters**, such as qualifications, medical issues, or inconclusive results, that may affect your determination.

OPI Form 79A - Report of Agency Adjudicative Action on OPM Personnel Investigations accompanies the Case Closing Transmittal (CCT) in certain sensitive and non-sensitive cases that are Closed-Complete or Closed-Personal Interview. The OPM adjudication section of the CCT will indicate when the form is attached. The form contains OPM's Basic Suitability Adjudication Determinations for the case, based on procedures found in FPM Chapter 731, Personnel Suitability.

The level of seriousness of any issues in the case is shown on the form, and the agency is given appropriate instructions to follow depending on the seriousness of those issues. It is the agency's responsibility to return the OPI Form 79A to OPM-FIPC when the agency has completed its final adjudicative action.

Certification of Investigation - This notice certifies that a background investigation on the person identified has been completed. The results of the investigation will be sent to the security office for a security/suitability determination. Agency certifies the results of the investigation have been reviewed, and a final determination was made. This notice should be filed on the permanent side of the person's official personnel folder after the final agency determination is made.

Case Closing Transmittal (CCT) - The results of investigations conducted by OPM are transmitted to the requesting agency with a Case Closing Transmittal (CCT). Each CCT contains the OPM case number; type/service of the case and extra coverage; subject's name, social security number, and date of birth; position; the agency's Submitting Office Number (SON) and Security Office Identifier (SOI); the agency's accounting and/or case number, if any; information about attachments; OPM adjudication information; and the applicable closing notice. The CCT also contains an "Item Information" section which lists every item scheduled for coverage and developed during the investigation. Each item (ITM) has a unique number and includes: a description of its type (e.g., NAC search, employment, residence); the name, location and other identifying information concerning the item; the coverage method (CM); and the investigation results.

The CCT may also contain one or more Case Closing Comments, used to convey information about item status or results and identify the reason a particular case does not meet OPM standards.

Item Numbers - NAC items are always identified by a letter followed by two numbers. Coverage items are identified by numbers only. When the personal interview is scheduled, it is typically identified as Item 001. Subsequent numbered items represent activities (e.g., residence, education, employment, law enforcement) scheduled for investigation.

Coverage Items

- | | |
|------|--|
| PRSI | =Personal Interview |
| SUBS | =Substance Involvement (alcohol/drugs) |
| RESI | =Residence |
| EDUC | =Education |
| MEDI | =Medical (mental/physical health) |
| EMPL | =Employment |
| REFE | =Reference |
| FINL | =Financial (bankruptcy/bad debts) |
| LAWF | =Law Enforcement |
| GENL | =General (miscellaneous) |

Item Types - NAC

- | | | |
|---|------|---|
| A | SII | =Security/Suitability Investigations Index |
| | SSII | =Spouse SII |
| | SIIF | =SII File |
| | SSIF | =Spouse SII File |
| B | FBIF | =FBI FP Classification |
| | FBFN | =FBI Fingerprint Name |
| | SFPN | =Spouse FBI Fingerprint Name |
| C | FBIN | =FBI Name |
| | SFBN | =Spouse FBI Name |
| | FBNF | =FBI Name File |
| | SFNF | =Spouse FBI Name File |
| D | DCII | =Defense Clearance and Investigations Index |
| | SDCI | =Spouse DCII |
| | DCIF | =DCII File |
| | SDCF | =Spouse DCII File |
| E | CRED | =Credit |
| F | SESE | =Selective Service |
| G | MILR | =Military Record |
| H | OPF | =Official Personnel Folder |
| I | INS | =Immigration and Naturalization Service |
| | SINS | =Spouse INS |
| | INSF | =INS File |

Item Types cont.

SINF =Spouse INS File
 J INVA =Investigative Agency
 K SECF =Security File
 L CIAS =CIA Security Office
 SCIS =Spouse CIA Security Office
 CIAO =CIA Operations
 SCIO =Spouse CIA Operations
 M DISC =Defense Industrial Security Clearance Office
 N BVS =Bureau of Vital Statistics (birth or death)
 O OUTS =Outside USA, coverage of foreign activity by another agency
 P NATG =National Guard
 Q CGIN =Coast Guard Intelligence
 R PUBH =Public Health
 S STPA =State Department Passport
 U PERI =Periodicals
 V LICE =Licenses
 W STSC =State Department Security
 X NCIC =National Crime Information Center

Coverage Method (CM)

P (Personal) Item was scheduled for coverage by an investigator from personal source(s).
 R (Record) Item was scheduled for coverage by an investigator from record(s).
 I (Inquiry) Item was scheduled for coverage by an inquiry form mailed to a source.
 T (Telephone) Item was scheduled for coverage by telephone after initial attempt by inquiry was unsuccessful.
 L (Linkage) Item was scheduled for coverage by automated link with a NAC source.
 C (Correspondence) Item was scheduled for coverage by letter(s).

Results - The results show the outcome of the investigation for each item. Results of items are reported as follows:

Acceptable All information provided about an item is free of any issues or discrepancies which may be construed as an issue.
Accept-Attach The inquiry or record is acceptable and is attached since it may contain additional pertinent information.
Accept-Conf The inquiry is acceptable, but the source completing it requested confidentiality.
Discrepancy Record information is discrepant from that furnished by the subject but does not constitute an issue or significant matter.
Fee Required Information cannot be obtained without paying a fee. Cost of case does not provide for fee payment by OPM.
Issue(s) An issue or discrepancy which may indicate an issue has been raised either directly or indirectly by a source or sources covering an item.
Issue(s)-Conf The inquiry has issue(s), and the source completing it requested confidentiality.
No Pertinent The record contains no pertinent information.
No Record The search was negative.
Not Available Information is not available for review.
Not Completed The item is controlled by another agency, and OPM follow-up has not been successful. Results will be transmitted when/if they are received.
Not Contacted Coverage was not obtained because the source could not be contacted.
Not Located Coverage was not obtained because the source could not be located.
Prev Furnished The file was previously furnished to your agency. If it is no longer available for review, but deemed necessary, contact OPM-FIPC for a copy.
Subj-Unknown Inquiry source has no knowledge of the subject.
Not Received Coverage of the item was scheduled by inquiry; however, it has not been returned.
Record A record exists, and is either attached or referred.
Referred Coverage of the item is contained elsewhere.
Release-Req Inquiry coverage could not be obtained without a specific release.

Unclassifiable Unclassifiable fingerprint charts returned by the FBI.

Undeliverable The address of the source was incorrect or insufficient and the inquiry is undeliverable.

Unclassifiable Fingerprint - It is OPM's policy to Close-Complete a case (meeting OPM standards) when fingerprints are unclassifiable after second submission to the FBI. The first set of unclassifiable fingerprints is returned to the agency, which must supply OPM with another set of prints within 30 days. For NACI's, if OPM does not receive another set of prints within 30 days, the case is Closed-Incomplete. Other cases are Closed-Complete, **not meeting OPM standards**, if OPM does not receive another set of prints.

Custody of Investigative Reports and Cases - The attached investigative material is the property of the Office of Personnel Management and may be recalled by OPM at any time. Its transfer to another agency is not permitted without prior approval of OPM. Release of this material to the subject of the investigation may be made only by OPM or with OPM approval, and any request for release of it should be immediately referred to OPM. While this material is retained by your agency, it must be **safeguarded in a manner that will prohibit its unauthorized disclosure**. Review will be limited to those persons whose official duties require it and who have been subjected to a favorable determination based on a background investigation.

Due Process Procedures - A person should have, whenever appropriate, an opportunity to explain or refute unfavorable information developed in an investigation before an adverse adjudication is made.

Use of Investigative Information - The information developed in the attached investigation may be discussed with the subject of investigation. However, the identifiers of the investigating agencies, the investigators, any Protected Source or Confidential Inquiry Source **must not be disclosed, and the information must not be discussed in such a manner that would disclose their identities**. Before releasing, discussing, or taking any action based on information provided by a source who has requested Confidentiality, the agency processing official must obtain express OPM approval. All sources identified in the reports of investigations conducted prior to September 27, 1975, are to be considered Protected Sources, except when the source is a public record or a Federal personnel record available to the subject **on request**. An agency is not prohibited from disclosing any sources of information obtained independently by the agency, such as through an interview of the subject or contact with other sources. Information from law enforcement records may be used, providing the arresting officer or witnesses are not specifically named as the source(s).

Agency Guidelines - Agencies should follow the guidelines in FPM Chapters 731, Personnel Suitability; 732, National Security Positions; and 736, Personnel Investigations.

IF YOU NEED FURTHER EXPLANATION OF THE ABOVE INFORMATION, YOU MAY CALL AN OPM-FIPC LIAISON ASSISTANT AT 412-794-5228.

DATE: 04/11/94

UNITED STATES OFFICE OF PERSONNEL MANAGEMENT
OFFICE OF FEDERAL INVESTIGATIONS

PAGE: 1

***** CASE CLOSING TRANSMITTAL *****

CASE #: 91809301 TYPE/SERVICE: PRI - L20

EXTRA COVERAGE:

NAME: GARFINKEL, STEVEN (NMN)

SSN: (b)(6) (b)(7)(C) DOR: 06/18/1945 POSITION: DIR INFO SEC OVERSIGHT OF

SON: GS00

SOT: GS00

GENERAL SERVICES ADMINISTRATION

GENERAL SERVICES ADMINISTRATION

GENERAL SERVICES ADMINISTRATION

GENERAL SERVICES ADMINISTRATION

18TH & F STREETS, N. W.

18TH & F STREETS, N. W.

ROOM 5111 ATTN: SECURITY DIVISION

ROOM 5111 ATTN: SECURITY DIVISION

WASHINGTON, DC 20405

WASHINGTON, DC 20405

AGENCY DATA: 1371A00251107025000536A72

OPM ADJUDICATION: NO ACTIONABLE ISSUES - SEE ATTACHED OFI FORM 79A

THE ITEM INFORMATION SUMMARIZED BELOW, AND ANY REPORTS OF INVESTIGATION, INQUIRY FORMS AND/OR OTHER ATTACHMENTS WITH THIS TRANSMITTAL, COMPLETE THE INVESTIGATION REQUESTED ON THE PERSON IDENTIFIED ABOVE. SEE THE OFI-50 WITH THIS TRANSMITTAL FOR ADDITIONAL INFORMATION.

***** ITEM INFORMATION *****

ITM	TYPE	ITEM IDENTIFICATION/LOCATION	CM	RESULTS
001	PRST	(b)(6) (b)(7)(C) SILVER SPRING, MD	P	ACCEPTABLE
002	REFE	(b)(6) (b)(7)(C) ALEXAND, VA	I	ACCEPTABLE
003	REFE	(b)(6) (b)(7)(C) BETHESDA, MD	I	ACCEPTABLE
004	REFE	(b)(6) (b)(7)(C) HAGERSTOWN, MD	I	ACCEPTABLE
005	PFFE	(b)(6) (b)(7)(C) SILVER SPRING, MD	I	ACCEPTABLE
006	LAWE	BALTIMORE, MD	R	NO RECORD
007	LAWE	WASHINGTON, DC	R	NO RECORD
A01	SII		L	RECORD
A02	SSII		L	NO RECORD
A03	SIIF		I	ACCEPTABLE
A04	SIIF		I	ISSUE(S)
B01	FBIF		I	NO RECORD
B02	FBFN		I	NO RECORD
B03	SFPN		I	NO RECORD
C01	FBIN		L	
C02	SFPN		L	(b)(2) (b)(7)(E) per FBI
D01	DCII		I	NO RECORD
002	SDCI		I	NO RECORD
E01	CRED	CBM EQUIFAX BALTIMORE, MD	L	ACCEPT-ATTACH

b7E

***** END CASE CLOSING TRANSMITTAL *****

***** NO ADDITIONAL INFORMATION *****

102 REFER TO REPORTS FURNISHED YOUR AGENCY 7-10-81
IS ATTACHED.

103 REFER TO REPORTS FURNISHED YOUR AGENCY 10-84
IS ATTACHED.

***** NO ADDITIONAL INFORMATION *****

1001137212

UNITED STATES OFFICE OF PERSONNEL MANAGEMENT
OFFICE OF FEDERAL INVESTIGATIONS
WASHINGTON, DC 20415

CERTIFICATION OF INVESTIGATION

DATE: 04/11/1991

SUBMITTING OFFICE: SON - GS00

SECURITY OFFICE: SOI - GS00

GENERAL SERVICES ADMINISTRATION
GENERAL SERVICES ADMINISTRATION
18TH & F STREETS, N.W.
ROOM 5111 ATTN: SECURITY DIVISION
WASHINGTON, DC 20405

NAME: GARFINKEL, STEVEN (NMN)

SSN: (b)(6) (b)(7)(C)

DOB: 06/18/1945

POSITION: DIR INFO SEC OVERSIG

CASE TYPE: PRI

CLOSING DATE: 04/10/1991

OPM CASE #: 91609301

THIS CERTIFIES THAT A BACKGROUND INVESTIGATION ON THE PERSON IDENTIFIED ABOVE
HAS BEEN COMPLETED. THE RESULTS OF THIS INVESTIGATION WERE SENT TO THE SECURITY
OFFICE FOR A SECURITY/SUITABILITY DETERMINATION.

AGENCY CERTIFICATION: THE RESULTS OF THIS INVESTIGATION HAVE BEEN REVIEWED, AND
A FINAL DETERMINATION HAS BEEN MADE.

AGENCY CERTIFYING OFFICIAL

DATE

FILE THIS CERTIFICATE ON THE PERMANENT SIDE OF THE PERSON'S OFFICIAL PERSONNEL
FOLDER AFTER THE FINAL AGENCY DETERMINATION IS MADE.

QUESTIONNAIRE FOR
SENSITIVE POSITIONS

Approved
OMB No. 3203-0007
Expires 03/31/90
NCH 1543 00 034 0000

Part 1 OFC USE ONLY

13-7-91

Code: 13-7-91

Case Number: 009301

A Type of Investigation: 1.1.0

B Extra Coverage: ☒

C Sensitivity Level: 4

D Access: 4

E Nature of Action Code: 000

F Date of Action: Month: Day: Year:

G Geographic Location: 1.1.00.1.0001

H Position Code: None

I Position Title: Dir. Info. Security Oversight Office

J SON: 650.0

K Location of Official Personnel Folder: None

L SOI: 650.0

M Location of Security Folder: None

N SIBAC Number: 47.0.0001.6

O Accounting Data and/or Agency Case Number: 137.1A0025.118.70.25.000.536 - 8225.00

P Requesting Official: (b)(6) (b)(7)(C)

Q Signature: (b)(6) (b)(7)(C)

R Date: 1-7-91

S Persons Completing this form should be:

1 FULL NAME: Last Name: Garfinkel, First Name: Steven

2 DATE OF BIRTH: 06/18/45

3 PLACE OF BIRTH: City: Washington, County: D.C.

4 SOCIAL SECURITY NUMBER: (b)(6) (b)(7)(C)

5 OTHER NAMES USED: "Steve" - nickname

6 OTHER IDENTIFIED INFORMATION: Height: 5'11", Weight: 270, Hair Color: Dark Brown, Eye Color: Dark Brown, Sex: Male

7 TELEPHONE NUMBERS: Work (include Area Code and extension): (202) 535-7251

8a CITIZENSHIP: Mark the box at the right that applies to you and follow the instructions next to the box you marked.

8b UNITED STATES CITIZENSHIP: If you are a U.S. citizen, but were not born in the U.S., enter your country's name in the box to the right and provide information about one or more of the following parts of your citizenship.

8c DUAL CITIZENSHIP: If you are (or were) a dual citizen of the United States and another country, provide the name of that country in the space to the right.

8d ALIEN: If you are an alien, provide the following information.

Place You Entered the United States: City: State: Country of Citizenship: Country:

9 WHERE YOU HAVE LIVED

Your Address. In this column, give the information requested for every place you have lived for the past 15 years. Begin with where you live now and work backwards. For any address within the past 3 years that consisted of "General Delivery", a Rural Route, or Star Route, with no designated street address, don't give that as your address; give where you actually lived and then provide in the space available on page 6 general directions for locating it.

People Who Know You. Use this column only for those residences you show on the left that you occupied during the last 3 years. Across from each such residence, give the name and address of someone who knows you in that neighborhood; preferably someone who still lives there.

<p>Month/Year Month/Year Street Address (include apartment number, if any)</p> <p>11/73 To Present (b)(6) (b)(7)(C)</p> <p>City Silver Spring MD 20905</p> <p>Country (if outside the United States)</p>	<p>Name (b)(6) (b)(7)(C)</p> <p>Street Address (include apartment number, if any) (b)(6) (b)(7)(C)</p> <p>City Silver Spring MD 20905</p>
<p>Month/Year Month/Year Street Address (include apartment number, if any)</p> <p>P</p> <p>City</p> <p>Country (if outside the United States)</p>	<p>Name (b)(6) (b)(7)(C)</p> <p>Street Address (include apartment number, if any) (b)(6) (b)(7)(C)</p> <p>City Silver Spring MD 20905</p>
<p>Month/Year Month/Year Street Address (include apartment number, if any)</p> <p>P</p> <p>City</p> <p>Country (if outside the United States)</p>	<p>Name</p> <p>Street Address (include apartment number, if any)</p> <p>City</p>
<p>Month/Year Month/Year Street Address (include apartment number, if any)</p> <p>P</p> <p>City</p> <p>Country (if outside the United States)</p>	<p>Name</p> <p>Street Address (include apartment number, if any)</p> <p>City</p>
<p>Month/Year Month/Year Street Address (include apartment number, if any)</p> <p>To</p> <p>City</p> <p>Country (if outside the United States)</p>	<p>Name</p> <p>Street Address (include apartment number, if any)</p> <p>City</p>

10 WHERE YOU WENT TO SCHOOL

Schools You Attended. In this column, give the information requested below for all schools you have attended beyond junior high school. Begin with the most recent school and work backwards. Use the following codes to indicate the type of school you attended.

People Who Knew You. Use this column only for those schools you show on the left that you attended in the last 3 years. Across from each such school, give the name and address of someone (such as an instructor or student) who knows you at the school.

1-High School 2-College/University 3-Vocational/Trade School

<p>Month/Year Month/Year Code Name of School</p> <p>9/63 To 9/70 2 George Washington University</p> <p>Street Address 21st and H Streets, NW</p> <p>City Washington State ZIP Code DC 20037 JD - 1970 BA - 1967</p>	<p>Name (instructor, student, etc.)</p> <p>Street Address (include apartment number, if any)</p> <p>City ZIP Code</p>
<p>Month/Year Month/Year Code Name of School</p> <p>9/60 To 6/63 1 Anacostia High School</p> <p>Street Address 18th & T Sts., SE</p> <p>City Washington State ZIP Code DC 20020 High School Diploma - 1963</p>	<p>Name (instructor, student, etc.)</p> <p>Street Address (include apartment number, if any)</p> <p>City ZIP Code</p>

Enter your Social Security Number before going to the next page.

Page 2

(b)(6) (b)(7)(C)

12 PEOPLE WHO KNOW YOU WELL. List four people who know you well and live in the United States.
 • Don't list spouse, other relatives, or former spouses • Try not to list anyone mentioned in items 9, 10, or 11

Name All redactions on this page made pursuant to (b)(6) (b)(7)(C) Number of Years Known 19 Home Address City McLean State, ZIP Code VA 22101	Name Number of Years Known Approx. 13 Home Address City Bethesda State, ZIP Code MD 20816
Name Number of Years Known 26 Home Address City Hagerstown State, ZIP Code MD 21740	Name Number of Years Known Approx. 13 Home Address City Silver Spring State, ZIP Code MD 20904

13a YOUR MEMBERSHIP IN ORGANIZATIONS List all U.S.-based organizations, except labor unions, political, or religious organizations you belonged to in the last 15 years.

Membership From Month/Year To Month/Year	Name of Organization	Nature of Activities (Other Held, if Any)	Location of Organization (City, Country)	Code
11/70 - Present	District of Columbia Bar Assn	Active Member	Washington	D C
1968 - 1978	Amer. Contract Bridge League	Member		T N

13b YOUR INVOLVEMENT IN FOREIGN ORGANIZATIONS List any foreign-based political or business organizations of which you have been a member, official, employee, or active participant at any time.

Involvement From Month/Year To Month/Year	Name of Foreign Organization	Nature of Activities (Other Held, if Any)	Location of Organization (City, Country)	Code
None				

14 FOREIGN COUNTRIES YOU HAVE VISITED • Do not include countries covered in items 9, 10, and 11 • Use appropriate number code to show the purpose of your visit:
 1 - Business 2 - Pleasure 3 - Education 4 - Other

In Country From Month/Year To Month/Year	Code	Country	In Country From Month/Year To Month/Year	Code	Country
1/80 - 1/80	2	Martinique (Fr.)			
5/77 - 6/77	2	Bermuda (CB)			

15 PERSONAL CONTACT WITH FOREIGN NATIONALS Have you ever had a personal or continuing contact with a national of a Soviet, Soviet bloc, or communist country? If "YES", provide the information below. **None**

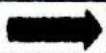
Period of Contact (From/To)	Name of National	Country of National	Nature of Contact

16a MILITARY AND/OR MERCHANT MARINE SERVICE.

Have you served in the United States military? ☒ Yes ☐ No
 Have you served in the United States Merchant Marine? ☒ Yes ☐ No
 (If you served in the United States military, go to 16b and 16c; if you only served in the United States Merchant Marine, go to 16c; if you answered "NO" to both questions, go to question 17.)

16b CURRENT MILITARY STATUS Mark the box that corresponds to your current military status

☒ None ☐ Active Duty ☐ Active Reserve ☐ National Guard ☐ Inactive Reserve ☐ Retired

Enter your Social Security Number before going to the next page. 

16c **ACTIVE SERVICE** Show each type of active military reserve service. Use one of the following in the box for Code. Mark "O" for Officer or "E" for Enlisted

1 - Air Force	4 - Marine Corps	7 - National Guard
2 - Army	5 - Coast Guard	
3 - Navy	6 - Merchant Marine	

Mark your Member's Code Government Certificate Number

To	Month/Year	Month/Year	Code	Service or Certificate Number
----	------------	------------	------	-------------------------------

17 **YOUR RELATIVES** Give full names and enter the correct code for all relatives, living or dead, specified below:

1 - Mother	4 - Stepfather	7 - Stepchild	10 - Stepbrother	13 - Half-sister	16 - Guardian
2 - Father	5 - Foster parent	8 - Brother	11 - Stepsister	14 - Father-in-law	
3 - Stepmother	6 - Child (adopted also)	9 - Sister	12 - Half-brother	15 - Mother-in-law	

Full Name (If deceased, check box on the left before entering name)	Code	Date of Birth Month/Day/Year	Country of Birth	Country of Citizenship	Current Exact Address and City (country) of Living Relative	State
<input type="checkbox"/> Esther Garfinkel	1	(b)(6) (b)(7)(C)			Washington	D.C.
<input checked="" type="checkbox"/> Arnold Garfinkel	2					
<input type="checkbox"/> All redactions on this page made pursuant to	6				Silver Spring	M.D.
<input type="checkbox"/> (b)(6) (b)(7)(C)	6				Silver Spring	M.D.
<input type="checkbox"/> (b)(6) (b)(7)(C)	8				Temple Hills	M.D.
<input type="checkbox"/> (b)(6) (b)(7)(C)	9				Rockville	M.D.
<input type="checkbox"/> Seymour Itscoitz	14				Rd., Rockville	M.D.
<input type="checkbox"/> Pearl R. Itscoitz	15				Rd., Rockville	M.D.

18 **YOUR MARITAL STATUS** Mark one of the following boxes to show your current marital status:

☐ 1 - Never married (go to question 19)
☒ 2 - Married

☐ 3 - Separated
☐ 4 - Legally separated

☐ 5 - Divorced
☐ 6 - Widowed

Current Spouse Complete the following about your current spouse:

Full Name: _____ Date of Birth: _____ Place of Birth (include country if outside the U.S.): _____ Social Security Number: _____

Other Names Used (Specify maiden name, names by other marriages, etc., and check dates used for each name): _____

Maiden: _____ Birth until 7/2/67

Country of Citizenship: US Date Married: 7/2/67 Place Married (include country if outside the U.S.): Washington

If Separated: Date of Separation: Mo Day Year: _____ If Legally Separated: Where is the Record Located? City (Country): _____

Address of Current Spouse (Street, city, and country if outside the U.S.): _____

Silver Spring

State: MD ZIP Code: 20904

Former Spouse(s) Complete the following about your former spouse(s):

Full Name: _____ Date of Birth: _____ Place of Birth (include country if outside the U.S.): _____

Country of Citizenship: _____ Date Married: _____ Place Married (include country if outside the U.S.): _____

Check (only) Then Give Date: _____ Month/Day/Year: _____ If Divorced: Where is the Record Located? City (Country): _____

☐ Divorced ☐ Widowed

Address of Former Spouse (Street, city, and country if outside the U.S.): _____

State: _____ ZIP Code: _____

19 Does the citizen of another country or a United States citizen by other than birth, live at your residence? If "Yes", provide the information required below. If a United States citizen by other than birth lives with you, show both "United States" and prior country of citizenship below. Don't list your spouse or other relatives you provided in question 17.

Name of Person

Country of Citizenship

Relationship

Enter your Social Security Number before going to the next page.

Page 5

CONTINUATION SPACE: Use the continuation sheets(s) (SF 88A) for additional answers to questions 9, 10, and 11. Use the space below to continue answers to all other questions. If more space is needed than what is provided below, go to page 9. Before each answer, identify the number of the question.

Lined area for providing answers to questions 9, 10, and 11.

This concludes Part 1 of this form. If you have used Page 9, continuation sheets, or blank sheets to complete any of the questions in Part 1, give the number for those questions in the space to the right.

Enter your Social Security Number before going to the next page.

→

→

(b)(6) (b)(7)(C)

QUESTIONNAIRE FOR SENSITIVE POSITIONS

Form Approved
(100) 50 500-0017
Exp. 03/91
GSA FPMR 101-11.6

Part 2

OPEN
USE
ONLY

Code

Code Number

20a Are you a male born after December 31, 1959? If "Yes", go to 20b. If "No", go to 21.

Yes No
X

20b Have you registered with the Selective Service System? If "Yes", give your registration number:

20c If you answered "No", to 20b, are you legally exempt? If "Yes", state the reason for the exemption:

21a Have you ever received other than an honorable discharge from the military? If "Yes", provide:

Yes No
X

Date of Discharge (Month and Year):

Type of Discharge:

21b Have you ever been subject to court martial or other disciplinary proceedings under the Uniform Code of Military Justice? If "Yes", list any disciplinary proceedings in the last 15 years and all courts martial

Yes No
X

Date (Month/Year)

Charge or Specification

Place (City and country territory if outside the United States)

22 Has any of the following happened to you in the last 15 years? If "Yes", begin with the most recent occurrence and go backwards, providing date fired, quit, or left, and other information requested

Yes No
X

Use the following codes to explain the reason your employment was ended:

1 - Fired from job

3 - Left a job by mutual agreement following completion of assignment

5 - Left a job for other reasons under
unfavorable circumstances

2 - Quit a job after being told
you'd be fired

4 - Left a job by mutual agreement following completion of assignment
performance

Date (Month/Year) Code

Employer's Name and Address

Date

SP Code

Your Police Record

23 If you answer "Yes", to a, b, c, d, or e below, explain your answer(s) in the space provided. Do not include anything that happened before your 16th birthday

Yes No
X

23a Have you ever been arrested, charged, or convicted of a felony offense?

Yes No
X

23b Have you ever been arrested, charged, or convicted of a traffic or explosives charge?

Yes No
X

23c Are there currently any charges pending against you for any criminal offense?

Yes No
X

23d Have you ever been arrested, charged, or convicted of any offenses related to alcohol or drugs?

Yes No
X

23e Have you ever been arrested, charged, or convicted of any other type of offense? Leave out traffic fines of less than \$100.

Yes No
X

Date (Month/Year)

Offense

Action Taken

Law Enforcement Authority or Court (City and country territory if outside the U.S.)

Date

SP Code

Your Past Involvement With Alcohol and Dangerous Drugs, Including Marijuana and Cocaine

24 This item concerns the use of alcoholic beverages, and the supplying or using, without a prescription, of marijuana, cocaine, heroin, narcotics (opium, morphine, codeine, heroin, etc.), stimulants (amphetamines, cocaine, etc.), depressants (barbiturates, methamphetamines, etc.), hallucinogens (LSD, PCP, etc.), or other dangerous or illegal drugs

24a Do you now use, or within the last 5 years have you used, alcoholic beverages habitually to excess?

Yes No
X

24b Do you now use or supply, or within the last 5 years have you used or supplied, marijuana, cocaine, narcotics, hallucinogens, or other dangerous or illegal drugs?

Yes No
X

24c If you answered "Yes" to question a or b above, provide at the top of page 8 information relating to the types of substance(s) used, the periods and frequency of use for each, and any other details or explanation relating to your use of those substances.

Enter your Social Security Number before going to the next page.

(b)(6) (b)(7)(C)

From (Month/Year)	To (Month/Year)	Type of Substance Used	Explanation (Is your comment to sure to give the frequency of your use during each period you listed, including the period of most recent use.)

Your Medical Record

25 Have you ever had a nervous breakdown or have you ever had medical treatment for a mental condition? If "Yes", provide information below. Give period of treatment under "From/To" starting from the present. Yes No
X

From (Month/Year)	To (Month/Year)	Name/Address of Person, Hospital, or Institution Providing Treatment (Include country if outside the United States)	State	ZIP Code

Your Investigations Record

26 Has the United States Government ever investigated your background? If "Yes", use the codes that follow to provide the requested information below. If "Yes", but you can't recall the investigating agency and/or the security clearance received, enter "Other" agency code or clearance code, as appropriate, and "Don't know" or "Don't recall" under the "Other Agency" heading, below. If your response is "No", or you don't know or can't recall if you were investigated and cleared, check the "No" box. Yes No
X

Codes for Investigating Agency			Codes for Security Clearance Received				
1 - Defense Department	4 - FBI		0 - Not Required	3 - Top Secret	6 - O-Nonresponsive		
2 - State Department	5 - Treasury Department		1 - Confidential	4 - Sensitive Compartmented Information	7 - L		
3 - Office of Personnel Management	6 - Other (Specify)		2 - Secret	5 - O-Sensitive	8 - Other		
Date (Month/Year)	Agency Code	Other Agency	Clearance Code	Date (Month/Year)	Agency Code	Other Agency	Clearance Code
5/8/73	3		3	4/23/81	6	Dept. of Energy	5

27 To your knowledge, have you ever had a clearance or access authorization denied, suspended, or revoked, or have you ever been debarrred from Government employment? If "Yes", give date of action and agency. Yes No
X

Date (Month/Year)	Department or Agency Taking Action	Date (Month/Year)	Department or Agency Taking Action

Your Financial Record

28a Have you, your spouse, or a company effectively controlled by you been declared bankrupt? Yes No
X
28b Have you, your spouse, or a company effectively controlled by you been declared bankrupt? Yes No
X
28c Have you, your spouse, or a company effectively controlled by you been subject to a tax lien or other lien? Yes No
X
28d Have you, your spouse, or a company effectively controlled by you had legal judgment rendered against you for a debt? Yes No
X

If you answered "Yes" to a, b, c, or d above, provide date of initial action and other information requested below.

Date (Month/Year)	Type of Action	Name Action Occurred Under	Name/Address of Court or Agency Handling Case	State	ZIP Code

29 Are you now over ninety (90) days delinquent on any loan or financial obligation? Include delinquent loans or obligations funded or guaranteed by the Federal Government. ("Your answer is "Yes", provide date loan or obligation was made and other information requested below.) Yes No
X

Date (Month/Year)	Type of Loan or Obligation	Name/Address of Creditor or Obligor	Date	ZIP Code

Enter your Social Security Number before going to the next page.

Page 8

(b)(6) (b)(7)(C)

Your Association Record

- 30a** Have you ever been a member, officer, or employee of the Communist Party?
- 30b** Have you ever been a member, officer, or employee of any organization, association, or group which:
1) advocates the overthrow of our Government, 2) advocates or approves of committing acts of force or violence to deny others their constitutional rights, or 3) wants to change our form of Government by unconstitutional means?
- 30c** Have you ever made a financial or other material contribution to any organization of the type described in Questions 30a or 30b?
If you answered "Yes", to 30a, 30b, or 30c, answer 30d, 30e, and 30f.
- 30d** At the time of your membership, participation, or contribution did you know of the unlawful aims of the organization(s)?
- 30e** Did you intend to promote the unlawful aims of the organization(s)?
- 30f** List each organization and provide an explanation of your involvement and activities with each one:

Yes	No
	11
	11
	11

Continuation Space

Use the continuation sheet(s) (SF 85A) for additional answers to questions 9, 10, and 11. Use the space below to continue answers to all other questions and any information you would like to add. If more space is needed than what is provided below, use a third sheet(s) of paper. Start each sheet with your name and Social Security Number. Before each answer, identify the number of the question.

26. 8/12/81 Nuclear Regulatory Commission Clearance Code 5 Agency Code 6
- 2/23/84 General Services Administration Clearance Code 3 Agency Code 6
- 10/22/84 Agency Code 3 Clearance Code 3

Other investigations and clearances involve classified information

After completing Parts 1 and 2 of this form, you should review your answers to all questions to make sure the form is complete and correct, and then sign and date the following certification and sign and date the return on page 10.

Certification That My Answers Are True

I read and understood the instructions explaining the purpose of this form and the Federal Government's authority for asking the questions. I read each question asked of me and understood each question. I understand that if I did not tell the truth on this form or did not list all relevant or material facts or events, the Federal Government may fire me, may not hire me, may deny or revoke my clearance, or may prosecute me. I understand that prosecution may result in my being fined up to \$10,000, imprisoned up to 5 years, or both.

Signature (Sign in ink)

Steven Harfield

DATE JAN 1991

DATE October 30, 1989

Enter your Social Security Number before going to the next page.

(b)(6) (b)(7)(C)

Page 9

30-213 7-12

NOTICE TO SUBJECT OF INVESTIGATION: Director of Central Intelligence Directive No. 1/14, "Minimum Personnel Security Standards and Procedures Governing Eligibility for Access to Sensitive Compartmented Information", establishes specific personnel security and investigative requirements which the Office of Personnel Management (OPM) has adopted as standards for the conduct of its Special Background Investigations (SBIs). Requirements include a check of national agencies on spouse or cohabitant, and a check of Immigration and Naturalization Service records on immediate family members who are United States citizens other than by birth, or who are resident aliens. In order that required coverage may be obtained in the SBI currently being conducted on you by OPM, we ask that you provide the information, as appropriate, requested below.

NAME OF SUBJECT OF INVESTIGATION (last, first, middle) **Garfinkel, Steven (NMN)** OPM USE SERIAL 0: RD: _____

SPOUSE/COHABITANT*	
PRESENT NAME (last, first, middle)	Wife: All redactions on this page made pursuant to [redacted]
OTHER NAMES USED (maiden, former married, etc.)	Maiden name: (b)(6) (b)(7)(C) [redacted]
DATE AND PLACE OF BIRTH	[redacted]
SOCIAL SECURITY NUMBER	(b)(6) (b)(7)(C) [redacted]

CITIZENSHIP DATA ON IMMEDIATE FAMILY MEMBERS WHO ARE U.S. CITIZENS OTHER THAN BY BIRTH**

Relation	Full Name (last, first, middle), at Time of Citizenship***	Certificate Number****	Date	Place
Father	Garfinkel, Arnold (NMN) (Deceased)	6372936	2/17/46	Washington, DC
Mother	Kolker, Esther (NMN)	4537750	10/14/38	Washington, DC

ALIEN DATA ON IMMEDIATE FAMILY MEMBERS WHO ARE NOT U.S. CITIZENS**

(Provide location and file number for each alien file, if known.)

Relation	Full Name at Time of First Recent Entry into U.S.***	Present Citizenship	Alien Registration No.	Date of Entry	Place of Entry

- * Specify in space(s) at right whether spouse or cohabitant; include separated spouse; Cohabitant defined as person other than spouse with whom you live as husband or wife.
- ** Immediate family members include spouse, parents, brothers, sisters and children.
- *** Also include present name, if different, in parentheses directly below that listed.
- **** If citizenship is derivative and person has no certificate number, show certificate number, followed by name in parentheses, of parent(s) from whom citizenship was derived. Then list citizenship data, and date and place of birth, for parent(s). (Continue information on separate sheet of paper when additional --)

UNITED STATES OFFICE OF PERSONNEL MANAGEMENT
PERSONNEL INVESTIGATIONS PROGRAM

Additional Data for Special Background Investigations

☐ To: Security Officer

Director of Central Intelligence Directive No. 1/14 establishes specific personnel security and investigative requirements which govern eligibility for access to Sensitive Compartmented Information (SCI). The Office of Personnel Management (OPM) has adopted these same requirements as standards for the conduct of its Special Background Investigation (SBI), when the SBI is requested for the purpose of determining SCI access. When requesting an SBI for SCI access, have the subject of investigation execute the appropriate sections on the reverse of this document, and submit it along with the request for investigation (OPI Form 1474) and the case papers.

NAME of SUBJECT: _____

AGENCY CASE #: _____

☐ To: Security Officer

A request for an SBI on the above subject was received by OPM without this document attached. If the SBI was initiated in connection with a determination of SCI access, we request that the subject of investigation be asked to provide the additional information specified by the boxes checked below, in order that required coverage may be obtained. Information should be inserted in the appropriate spaces on the reverse of this request. The instructions on the page should be carefully followed.

OPM CASE #: _____

Additional information is required as follows:

- ☐ SPOUSE/COHABITANT DATA
- ☐ CITIZENSHIP DATA ON IMMEDIATE FAMILY MEMBERS WHO ARE U.S. CITIZENS OTHER THAN BY BIRTH
- ☐ ALIEN DATA ON IMMEDIATE FAMILY MEMBERS WHO ARE NOT U.S. CITIZENS

Return requested information to:

OPM-NACI Center
Boyers, PA 16018

OPI-36
July 1985

Name	GARFINKEL, Steven (NMN) NN: "Steve"	Case Number PR1 91 809301	Page 1	Investigation 2/1/91-2/26/91	OPM Uco 0302
Locations of Personal Investigation Washington, D. C.			Locations of Record Sources Washington, D. C.		

TESTIMONIES

001. PERSONAL INTERVIEW.

Personal interview conducted under unsworn declaration on February 25, 1991, at Washington, D. C.

Acceptable.

Subject states he has been employed by the General Services Administration continuously from December 1970 to the present. Since May 1980 he has been assigned to the Information Security Oversight Office and his current offices are located at 750 17th Street, Northwest, Suite 530, Washington, D. C. He is the Director of the Information Security Oversight Office, SES-05.

Subject and his wife, (b)(6) (b)(7)(C) Nee: (b)(6) (b)(7)(C), have resided at (b)(6) (b)(7)(C) Silver Spring, Maryland, from November 1973 to the present. Their two children, Kenneth and Laura, resided with them.

Subject states all of his foreign travel is reflected on his PSQ except for a one week vacation he and his family took to Cancun, Mexico in December 1989.

Subject states he currently holds a top secret clearance with GSA and he holds "Q" clearances with the Department of Energy and the Nuclear Regulatory Commission.

Department of Defense, and Federal Emergency Management Agency.

Subject states he has never been arrested; he has never used illegal drugs, including marijuana; and he has no bad debts or outstanding student loans. His consumption of alcoholic beverages is extremely moderate, perhaps one drink per week, when he and his wife go out to dinner, and never to excess. He has never suffered a nervous breakdown and he has never received counseling or therapy from a mental health professional.

007. LAW ENFORCEMENT, Washington, D. C.

The following law enforcement agencies report no criminal record: The U. S. District Court for the District of Columbia; District of Columbia Superior Court. Metropolitan D. C. Police records are unavailable.

REPORT OF INVESTIGATION

OWFIS:5506:3/11/91

Property of U.S. Office of Personnel Management
P.O. Box 886, Washington, D.C. 20044

OPM Form 366 (Rev. 10-81)

Background Investigation Case Transmittal
(See reverse for important information)

Investigator: AMSTER, Steven R	Date: 5-1-60	<input checked="" type="checkbox"/> Advance NAC Data Sent:
Office: CSA, Washington, DC	Case Number: 100-441141	<input checked="" type="checkbox"/> Case Closed <input type="checkbox"/> Case Closed Pending Data Sent: 10-22-64
Subject: AMSTER, Steven R		Remarks:

1. FEDERAL BUREAU OF INVESTIGATION

Per the FBI (b)(7)(E)

10. OFFICE OF PERSONNEL MANAGEMENT <input type="checkbox"/> No record <input type="checkbox"/> No info <input type="checkbox"/> No pertinent information <input type="checkbox"/> Report(s) attached	X
11. OVERSEAS CENTRAL BUREAU OF INVESTIGATION <input checked="" type="checkbox"/> No record <input type="checkbox"/> No pertinent information <input type="checkbox"/> Report(s) attached	
12. CRIMINAL BUREAU SECTION <input checked="" type="checkbox"/> No record <input type="checkbox"/> Record favorable <input type="checkbox"/> Record adverse	
13. LAW ENFORCEMENT SECTION <input checked="" type="checkbox"/> No record <input type="checkbox"/> Maryland State Police Etc.	
14. CENTRAL INTELLIGENCE AGENCY <input type="checkbox"/> No record <input type="checkbox"/> No pertinent information <input type="checkbox"/> Summary attached	
15. EDUCATION <input checked="" type="checkbox"/> () Voucher(s) attached	
16. OFFICE OF COAST GUARD INTELLIGENCE <input type="checkbox"/> No record <input type="checkbox"/> Report(s) attached	
17. SECURITY OFFICE AND/OR INSPECTOR GENERAL SEARCH <input type="checkbox"/> No record at	
18. IMMIGRATION AND NATURALIZATION SERVICE <input type="checkbox"/> No record <input type="checkbox"/> Report(s) attached	
19. CASE CLOSED PENDING WITH THE FOLLOWING STILL TO BE COMPLETED:	
<input type="checkbox"/> FBI Fingerprint <input type="checkbox"/> CIA Search <input type="checkbox"/> IGNS Search	
<input type="checkbox"/> FBI Memo Check <input type="checkbox"/> Coast Guard Search <input type="checkbox"/> Overseas Investigation	
<input type="checkbox"/> DCII Search <input type="checkbox"/> Security/IG Search <input type="checkbox"/> Referred to FBI	

Additional Investigations: ☐ Employment ☐ Education ☐ Residence
☐ Low Enforcement ☐ Reference ☐ Credit

REMARKS:

#10. Refer to reports previously furnished your agency on 5-5-73 and NAC results on 4-27-64.

Charge adjusted to \$1375

20. COMPLETION OF CLOSED PENDING INVESTIGATION
☐ Refer to item 19 above. The attached material completes this investigation.

Date:

SEE REVERSE

CPH 50 4-64

Washington, D.C.: Silver Spring, Maryland

Records of Records
Germantown, Maryland

EMPLOYMENT

GENERAL SERVICES ADMINISTRATION, Washington, D.C.

These papers show dates of employment from December, 1970 until the present.

GENERAL SERVICES ADMINISTRATION, Personnel Office, Room 6244, 18th and F Streets, Northwest, Washington, D.C. Official Personnel Folder obtained from (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) Clerk-Typist.

OFFICIAL PERSONNEL FOLDER.

The Official Personnel Folder for Steven (ID#) Gorfinkel, with Social Security Number (b)(6) (b)(7)(C) and born June 13, 1945 in Washington, D.C., reflected the following information: Subject received an excepted conditional appointment on December 21, 1970 as a General Attorney, Grade 9, in the Records and Administration Division, Office of the General Counsel, General Services Administration, Washington, D.C. Subject received a Quality Step Increase on April 16, 1971 as an Attorney, Grade 9, Step 2. Subject was recommended for the award by (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) Assistant General Counsel.

On December 26, 1971, subject was promoted to an Attorney Advisor (General), Grade 11, in the same division and office. Subject received a Quality Step Increase on June 25, 1972 as an Attorney Advisor (General), Grade 11, Step 2. Subject recommended by (b)(6) (b)(7)(C) Assistant General Counsel.

On June 24, 1973, subject received a Quality Step Increase as an Attorney Advisor (General), Grade 12. Subject recommended by (b)(6) (b)(7)(C) Assistant General Counsel.

Subject was converted to an excepted appointment on December 21, 1973. On June 22, 1974, subject received a Quality Step Increase as an Attorney Advisor (General), Grade 13, Step 2. Subject recommended by (b)(6) (b)(7)(C) Assistant General Counsel.

On January 6, 1975, subject was promoted to an Attorney Adviser--Chief Counsel for the National Archives and Records Service, Grade 14 in the Administration and Records Division, Office of the General Counsel, General Services Administration. Subject received a Quality Step Increase on August 31, 1975 as an Attorney Advisor, Grade 14, Step 2. Subject recommended by (b)(6) (b)(7)(C) Assistant General Counsel.

On March 20, 1979, subject was detailed for a period not to exceed July 17, 1979 as a Supervisory, Attorney Advisor (General), Acting Assistant General Counsel, Grade 15, in the Administration and Records Division, Office of the General Counsel, General Services Administration. Subject's detail was extended On July 18, 1979 for a period not to exceed November 14, 1979. Subject's detail

REPORT OF INVESTIGATION

Property of U.S. Office of Personnel Management
P.O. Box 605, Washington, D.C. 20044

OPM Form 366 (Rev. 10-51)

was extended on July 18, 1979 for a period not to exceed November 14, 1979. Subject's detail was terminated on November 14, 1979 and the subject returned to duty as an Attorney Advisor--Chief Counsel for the National Archives and Records Service.

On May 4, 1980, subject received a Senior Executive Service Career Appointment by Conversion as the Director, Information Security Oversight Office, ES-03 in the Information Security Oversight Office, Office of Administration, General Services Administration, Washington, D.C. A memo dated December 2, 1981 and signed by the Administrator of General Services Administration, (b)(6) (b)(7)(C) indicates that the subject will receive an SES bonus for outstanding performance.

On March 23, 1984, subject received a Letter of Commendation from President Ronald W. Reagan, for the subject's outstanding performance in preparation of Executive Order 12336.

All other information contained in the Official Personnel Folder is consistent with that in the case papers.

GENERAL SERVICES ADMINISTRATION, Security Office, Room 5110, 18th and F Streets, Northwest, Washington, D.C. Security record obtained from (b)(6) (b)(7)(C) Security Specialist.

Security record for Steven (NMN) Garfinkel, with Social Security Number (b)(6) (b)(7)(C) and born June 18, 1945, with place of birth not shown and the Security File Record Number as 1-63335 contained the following information: A Background Investigation was completed by the United States Civil Service Commission on May 8, 1973. Subject was granted a Top Secret Clearance on May 17, 1973. Subject was granted an SI/TK Clearance on November 2, 1977. Subject's Top Secret Clearance was revalidated on January 19, 1979.

Subject's Top Secret Clearance was reissued on June 23, 1980. Subject received ICS Approval on July 31, 1980.

Subject received an "Q" Clearance by the United States Department of Energy on May 23, 1981. Subject received a "Q" Clearance by the United States Nuclear Regulatory Commission on August 12, 1981.

On February 23, 1984, subject was granted a Top Secret Clearance. A National Agency Check was completed by the United States Office of Personnel Management on April 30, 1984.

No further pertinent information contained in the security record.

GENERAL SERVICES ADMINISTRATION, Office of the Inspector General, Investigations Office, Room 5317, 18th and F Streets, Northwest, Washington, D.C. Investigative Report obtained from (b)(6) (b)(7)(C) Special Agent.

REPORT OF INVESTIGATION

Property of U.S. Office of Personnel Management
P.O. Box 886, Washington, D.C. 20044

OPM Form 366 (Rev. 10-81)

Investigative Report for Steve (MAY) Garfinkel, with date and place of birth and Social Security Number not reflected and the File Number shown as M-66-237 contained the following information: A written complaint filed on November 23, 1963 at 3:55 p.m. and received by Peterson alleges the following complaint: For the past six months, six analysts (names unknown) assigned to the Information Security Oversight Office, have been abusing their duty hours. Specifically, arriving to the General Services Building at 8 a.m. each day, then leaving for one hour to eat breakfast. Later in the day, the same analysts leave together for a two and a half hour lunch. Complainant contends that this activity is condoned by the Office Director, Steven Garfinkel. Complainant then hung up.

On November 30, 1963, a letter signed and dated from the Inspector General (name not shown) to the Deputy Administrator for General Services Administration (name not shown), referred the complaint for review and action to the Deputy Administrator requesting a response in thirty days from the date of the complaint.

In a letter signed and dated January 10, 1964 from (b)(6) (b)(7)(C) Associate Administrator, response to the allegation was as follows: An attached letter signed and dated by the subject, Steven Garfinkel on December 19, 1963 addressing the allegations: Subject indicates that only three or four analysts arrive at the General Services Building at 7:30 a.m. each morning. These analysts leave the building at approximately 8:10 to go to the Organization of American States Cafeteria across the street for breakfast and return at 8:30 a.m. and no later than 8:40 a.m. Subject states that all employees in the Information Security Oversight Office work beyond the regular hours of duty without compensation and that they frequently eat lunch at their desks and remain on duty.

Subject indicates that all employees of the office are aware of the General Services Administration's time and attendance requirements and that he, Garfinkel, issued a supplemental memorandum to this effect about the office's regulations.

In reference to the second allegation, subject states that only a few of the employees of the Information Security Oversight Office actually leave the building for lunch. Subject indicates that most of the employees eat at their desks and when they do go out, it is generally around 1:30 p.m. or later in the afternoon, and they return much later than the regular General Services Administration employees who leave for lunch approximately 11:30 a.m. or noon each day.

In reference to the third allegation, subject states that he did condone the activities conducted by the employees in the morning, but that the allegations were distorted. Subject stated that the activity presented no problem in the office nor did the subject have any complaints about the activities for the following reasons: Information Security Oversight Office employees knew they were expected to make up this time, because they have worked regularly extra time without compensation; analysts are assigned to different agencies for oversight purposes and are frequently on inspections or liaison visits and/or reporting on these visits to the subject. Because of these inspections and visits, the

REPORT OF INVESTIGATION

Property of U.S. Office of Personnel Management
P.O. Box 886, Washington, D.C. 20044

OPM Form 366 (Rev. 10-81)

Garfinkel

51090

Director's Office of Personnel Management

Director's Office of Record Keeping

analysts rarely have an opportunity to gather outside of formal meetings and this provides an opportunity for the staff to get together on an informal basis and share ideas and experiences. Subject stated that he condoned and encouraged this to produce an office atmosphere which is generally not found in any office within any Federal agency.

Subject further stated that he felt the complaint "had a great deal of irony and is a petty vindictiveness on the part of some misguided or disgruntled individual." Subject stated in the memorandum that the morning activity had stopped entirely because of the complaint and that subsequently, this has created a negative impact on the employee morale in the Information Security Oversight Office.

Record contained a memorandum dated May 12, 1980 from Steven Garfinkel to all employees of the Information Security Oversight Office restoring all General Services Administration time and attendance policies and the subject's requirements regarding time and attendance in the office, solutions to any possible problems which could and can occur, and suggestions for new ideas to help ensure good time and attendance in addition to keeping with high employee morale.

Investigative Report indicates that no further action was taken on the complaint.

Investigative File Number W-18-41-1020, indicates that the subject, Steven Garfinkel, is the complainant in an action against an employee of the General Services Administration.

It is against the policy of the Office of the Inspector General of the General Services Administration to allow records of a complainant to be reviewed by any outside Government employee, only those employed with the Office of the Inspector General. This policy was referred to the Investigator by (b)(6) (b)(7)(C) Special Agent.

UNITED STATES NUCLEAR REGULATORY COMMISSION, Security Office, Room 262, 7915 Eastern Avenue, Silver Spring, Maryland. Security record information furnished by (b)(6) (b)(7)(C) Personnel Security Specialist.

Security record information for Steven (NMN) Garfinkel, with Social Security Number (b)(6) (b)(7)(C) and born June 18, 1945, with place of birth not shown and the File Number shown as HQ-11654 reflected the following information: Subject was granted a "Q" Sensitive Clearance on August 12, 1981. Clearance based on Background Investigation completed for the General Services Administration in May of 1973.

No further pertinent information contained in the security record.

REPORT OF INVESTIGATION

Property of U.S. Office of Personnel Management
P.O. Box 886, Washington, D.C. 20044

OPM Form 366 (Rev. 10-81)

UNITED STATES DEPARTMENT OF ENERGY, Security Office, Room SEC01, Main Building, Germantown, Maryland. Security record information furnished by (b)(6) (b)(7)(C) Security Assistant.

Security record for Steven (NIN) Garfinkel, with Social Security Number (b)(6) (b)(7)(C) and born June 18, 1945 with place of birth not reflected, and the Security Record Number shown as WA-15912, reflected the following information: Subject was granted a "Q" Clearance for a non-sensitive position in April of 1981. Clearance based on an Office of Personnel Management Background Investigation completed for the General Services Administration in May of 1973.

No further pertinent information contained in the security record.

(b)(6) (b)(7)(C) Acting Administrator, General Services Administration, Room 6137, 10th and F Streets, Northwest, Washington, D.C.

(b)(6) (b)(7)(C) stated that he first met and became acquainted with Steven Garfinkel in approximately late 1979, when Garfinkel was working as the Chief Counsel for the National Archives and Records Service of the General Services Administration in Washington, D.C. (b)(6) (b)(7)(C) stated that at the time, he had no supervision nor any direct working relationship with the subject, but did review reports which the subject had compiled and was transmitted through the subject's immediate supervisor, the General Counsel of the General Services Administration.

(b)(6) (b)(7)(C) stated that in approximately the spring of 1980, Garfinkel was promoted to the Director of the Information Security Oversight Office and became the subject's immediate supervisor at this point. (b)(6) (b)(7)(C) stated that Garfinkel is responsible for handling the classification and declassification of Federal Government records in the Executive Branch of the Government as they pertain to national security interest. Source stated that he sees the subject at least once a week for staff meetings and once a month to discuss the subject's work performance. Source and subject have not had any type of social contact.

(b)(6) (b)(7)(C) describes Garfinkel as a very intelligent, pleasant, professional and affable man who gets along well with others. Source stated that he considers Garfinkel to be a very competent and knowledgeable employee who shows very good judgement, discretion and initiative in his job performance. (b)(6) (b)(7)(C) stated that he has rated Garfinkel outstanding in all of his performance appraisals since 1980 and that he has nominated the subject for Senior Executive Service bonuses at each performance appraisal.

Source advised that he has no knowledge as to Garfinkel's marital status, residence, background, history or hobbies and interests. As far as (b)(6) (b)(7)(C) knows, Garfinkel worked in the Office of the General Counsel of the General Services Administration prior to becoming the Director of the Information Security Oversight Office.

REPORT OF INVESTIGATION

Property of U.S. Office of Personnel Management
P.O. Box 886, Washington, D.C. 20044

OPM Form 366 (Rev. 12-81)

Garfinkel	35039	6	1000 USA
Location of National Investigation		Location of Record Sources	

(b)(6) (b)(7)(C) stated that Garfinkel is in overall good physical health, but the subject is slightly overweight. Source raised no question pertaining to (b)(6) (b)(7)(C)'s habits, character, conduct or reputation. (b)(6) (b)(7)(C) considers Garfinkel to be a loyal United States citizen and highly recommends Garfinkel for a position of trust essential to the national interest.

(b)(6) (b)(7)(C) (Telephone), (b)(6) (b)(7)(C) Office of Information Policy and Security Review, National Security Council, Executive Office of the President, Room 375, Old Executive Office Building, 1600 Pennsylvania Avenue, Northwest, Washington, D.C. (telephone interview conducted at this source's request).

(b)(6) (b)(7)(C) first became acquainted with Steven Garfinkel in approximately 1973 or 1974, when Garfinkel was working as the Chief Counsel to the National Archives and Records Service of the General Services Administration in Washington, D.C. (b)(6) (b)(7)(C) stated that she was a co-worker to the subject, and they worked on legal issues relative to the National Archives releasing papers from the Nixon Administration, such as tapes from Watergate, office memorandums and correspondence and other artifacts which had become the property of the National Archives after the Nixon Administration had completed its term. Source stated that her contact with the subject at that time averaged approximately once every two or three months. In April of 1980, (b)(6) (b)(7)(C) stated that she and Garfinkel were both promoted and received new assignments and that Garfinkel became the Director of the Information Security Oversight Office. Garfinkel is responsible for ensuring that the classification and declassification of Federal Government information as it relates to National Security Council issues is properly protected and classified through all Executive Branches of the Government, including the United States Department of Defense. Source stated that the subject operates and has this authority through Executive Order 12356. (b)(6) (b)(7)(C) and Garfinkel have continued to maintain a co-worker relationship with phone conversations at least once a week and seeing each other for business meetings at least twice a week. (b)(6) (b)(7)(C) stated that her only contact socially with Garfinkel has been business-related activities, such as luncheons and Christmas parties and receptions for the National Security Council.

Garfinkel is a very nice, pleasant, outgoing and intelligent man who gets along very well with others. Source stated that the subject is an extremely low-key individual who is very discreet in his job performance. Garfinkel uses good judgement, discretion and initiative in his work and is a very hard-working, dedicated and conscientious employee.

Garfinkel is married, has two children, and resides in a house in suburban Maryland. Source stated that she did not know any of Garfinkel's hobbies or interests. (b)(6) (b)(7)(C) stated that the subject is an Attorney by occupation and has a Juris Degree from a local Washington, D.C. Law School. Source stated that the subject previously worked as an Attorney for the General Services Administration at least from 1970 until his new position in 1980.

REPORT OF INVESTIGATION

Property of U.S. Office of Personnel Management
P.O. Box 886, Washington, D.C. 20044

OPM Form 356 (Rev. 10-81)

(b)(6) (b)(7)(C) stated that Garfinkel is in good physical health, but that the subject is slightly overweight. (b)(6) (b)(7)(C) raised no question pertaining to Garfinkel's habits, character, conduct or reputation. (b)(6) (b)(7)(C) considers Garfinkel to be a loyal United States citizen and highly recommends Garfinkel for a position of trust critical to the national interest.

(b)(6) (b)(7)(C) (Telephone), (b)(6) (b)(7)(C) National Security Council, Executive Office of the President, Room 372, Old Executive Office Building, 1600 Pennsylvania Avenue, Northwest, Washington, D.C. (telephone interview conducted at this source's request).

(b)(6) (b)(7)(C) first became acquainted with Steven Garfinkel in approximately the spring of 1963, when this source became the Deputy Executive Secretary of the National Security Council and met Steven Garfinkel at an office meeting. Source stated that Garfinkel was and is still the Director of the Information Security Oversight Office which is an arm of the National Security Council. Source stated that the subject is responsible for ensuring that Executive Order 12333 is properly carried out by all Federal Government agencies and the Department of Defense in the Executive Branch of the Government. This entailed maintaining that the classification and declassification of information and papers regarding to national security is properly classified and stored to safeguard it from possible loss.

(b)(6) (b)(7)(C) stated that he and Garfinkel are co-workers and they maintain contact at least on the phone once or twice a week and in person once or twice a month. There has been no social contact between these parties.

(b)(6) (b)(7)(C) described Garfinkel as a very considerate, intelligent, pleasant and serious man who gets along well with others. Source stated that the subject has a very good perspective of all issues and that Garfinkel is an extremely discreet individual about his job tasks. Garfinkel is resourceful and utilizes excellent judgement, discretion and initiative in his tasks. (b)(6) (b)(7)(C) stated that he considered Garfinkel to be a very competent and knowledgeable person for the position which he holds and that Garfinkel is a dedicated, conscientious and hard-working employee.

(b)(6) (b)(7)(C) stated that he does not have any knowledge as to Garfinkel's marital status, residence location or hobbies and interests. Source stated that the subject has a Juris Doctor Degree and previously served as an Attorney for the General Services Administration prior to his current position as the Director of the Information Security Oversight Office.

(b)(6) (b)(7)(C) advised that Garfinkel is slightly overweight, but in general is overall physically healthy. Source raised no question pertaining to Garfinkel's habits, conduct, character or reputation. (b)(6) (b)(7)(C) considers Garfinkel to be a loyal United States citizen and highly recommends Garfinkel for a position of trust critical to the national interest.

REPORT OF INVESTIGATION

Property of U.S. Office of Personnel Management
P.O. Box 886, Washington, D.C. 20044

OPM Form 366 (Rev. 10-81)

(b)(6) (b)(7)(C) Information Security Program Analyst, Information Security Oversight Office, General Services Administration, Room 6042A, 16th and F Streets, Northwest, Washington, D.C.

(b)(6) (b)(7)(C) first met and became acquainted with Steven Garfinkel in August of 1982, when this source was hired as a Staff Person in the office. (b)(6) (b)(7)(C) stated that the subject is her immediate supervisor and as the Director of the Information Security Oversight Office, Garfinkel is responsible for the monitoring and oversight of all Federal Government agencies within the Executive Branch, including the Department of Defense, to ensure that they properly classify and declassify information as it pertains to the national security. (b)(6) (b)(7)(C) stated that the subject also handles freedom of information and testifies to Congress when needed. Mickey and Garfinkel have maintained continual daily contact since August of 1982, and their only social contacts have been office-related functions.

Garfinkel is a very nice, sensitive, caring, humorous and pleasant man who is very considerate and gets along well with others. Source stated that Garfinkel is an extremely intelligent person and that he is well-liked and respected by his subordinates, peers and superiors. Source considers Garfinkel to be one of the best supervisors which she has ever had, because Garfinkel is very supportive of her job tasks, fair, and allows the source to work independently. Garfinkel is an extremely knowledgeable, dedicated and conscientious employee who demonstrates excellent judgement, discretion and initiative in his work performance.

Garfinkel is married to (b)(6) (b)(7)(C) they have one son named (b)(6) (b)(7)(C) and a daughter named (b)(6) (b)(7)(C) and they reside in a house in Silver Spring, Maryland. Source stated that the subject is an avid Redskins fan, and Garfinkel also likes to spectate the Capitol's ice hockey games and garden in his spare time. (b)(6) (b)(7)(C) stated that the subject has a Juris Doctor Degree from George Washington University in Washington, D.C. and that Garfinkel previously worked as a Chief Counsel in the General Counsel's Office of the General Services Administration prior to his current position as the Director of Information Security Oversight. Source stated that the subject is also a native Washingtonian.

(b)(6) (b)(7)(C) stated that Garfinkel is in good physical health and made no mention of the subject's problem of being overweight. (b)(6) (b)(7)(C) raised no questions pertaining to Garfinkel's reputation, character, conduct or habits. (b)(6) (b)(7)(C) considers Garfinkel to be a loyal United States citizen and highly recommends Garfinkel for a position of trust critical to the national interest.

(b)(6) (b)(7)(C) Information Security Program Analyst, Room 6042A.

(b)(6) (b)(7)(C) first became acquainted with Steven Garfinkel in September of 1980, when the subject interviewed this source for a position in the Information Security Oversight Office. Source stated that he entered on duty in September of 1980, and that Garfinkel has been his second-line supervisor since that time.

REPORT OF INVESTIGATION

Property of U.S. Office of Personnel Management
P.O. Box 886, Washington, D.C. 20044

OPM Form 366 (Rev. 10-81)

As the Director of the Information Security Oversight Office, Garfinkel is responsible for monitoring and overseeing that all Federal agencies, including the Department of Defense classify and declassify all information as it relates to the national security. Source also stated that the subject is responsible for conducting security seminars to senior members of all Federal agencies and to speak at functions related to information security. Waddy advised that this position and the office is an extension of the National Security Council and that it received its policy guidelines from the National Security Council. Source and Garfinkel have maintained daily contact since September of 1980, and their social contact has been office-related functions, such as lunches and Christmas parties.

(b)(6) (b)(7)(C) described Garfinkel as a pleasant, caring and understanding man who is extremely sensitive to the needs and concerns of others. Garfinkel is mild-mannered, easygoing and has a personality that can range from shy to outgoing, depending on the various situations. Source stated that (b)(6) (b)(7)(C) is a very good supervisor, because he continually helps his employees strengthen their weaknesses, provide on-house training for his employees, really listens to them, is supportive of them, in addition to allowing employees to work independently. Source stated that the subject also takes constructive criticism of himself very well and is always willing to listen to new ideas to help the office work efficiently. Waddy considers Garfinkel to be an extremely competent and knowledgeable person for his job who is dedicated, conscientious and hard-working.

(b)(6) (b)(7)(C) stated that the subject is married, has one son and daughter, and resides in a house in Montgomery County, Maryland. Garfinkel likes to spectate football and ice hockey in his spare time, play tennis, and is also a coach of his community little league hockey team. (b)(6) (b)(7)(C) stated that the subject is a graduate of George Washington University in Washington, D.C., where the subject received a Bachelor's Degree and a Juris Degree in Law. Source stated that the subject previously worked as the Chief Counsel for the National Archives and the General Counsel's Office at the General Services Administration in Washington, D.C. prior to his current position as the Director of Information Security Oversight. Source also stated that the subject is a native Washingtonian.

(b)(6) (b)(7)(C) considers Garfinkel to be in very good physical health and made no mention of the subject's overweight problem. Waddy raised no question pertaining to Garfinkel's character, conduct, habits or reputation. (b)(6) (b)(7)(C) considers Garfinkel to be a loyal United States citizen and recommends Garfinkel for a position of trust critical to the national interest.

(b)(6) (b)(7)(C), Senior Program Analyst, Room 6042A.

This stated that she first became acquainted with Steven Garfinkel in April of 1980, when Garfinkel was hired as the Director of Information Security Oversight Office of the General Services Administration in Washington, D.C. Source stated that the subject is her immediate supervisor and that Garfinkel was

REPORT OF INVESTIGATION

Property of U.S. Office of Personnel Management
P.O. Box 886, Washington, D.C. 20044

OPM Form 366 (Rev. 10 81)

responsible for overseeing the Information Security Programs of all Federal Government agencies in the Executive Branch of the Government, including the Department of Defense. Source stated that this includes the classification and declassification of information as it relates to the national security and that Garfinkel is given this authority through Executive Order 12958. Source stated that in addition to this function, Garfinkel is responsible for testifying before Congress about Information Security, conducting security seminars and writing articles on Information Security for Government publications and organizations. (b)(6) (b)(7)(C) and Garfinkel have maintained daily contact since 1980 and their only social activities have been office-related functions.

(b)(6) (b)(7)(C) described Garfinkel as a very understanding, well-balanced, fair and pleasant man who gets along well with others. Source stated that Garfinkel is a supportive supervisor, who allows the source the independence to work freely and exercised his authority well in his job. (b)(6) (b)(7)(C) considers Garfinkel to be an expert in the area of Information Security and stated that the subject showed good initiative and creativity in his work in addition to judgment and discretion. Garfinkel is a dedicated and conscientious employee.

Source stated that the subject is married to (b)(6) (b)(7)(C) they have one son and daughter and live in a house in Silver Spring, Maryland. This stated that Garfinkel is an avid Redskins fan, that the subject likes to garden and spend a great deal of time involving himself in activities related to his children. Source stated that the subject had a Juris Degree from George Washington University in Washington, D.C. and that Garfinkel served as an Attorney in the General Counsel's Office of the General Services Administration prior to his current position. Garfinkel is a native Washingtonian.

(b)(6) (b)(7)(C) considers Garfinkel to be in excellent physical health and made no mention of the subject's problem of overweight. (b)(6) (b)(7)(C) raised no questions pertaining to Garfinkel's habits, character, conduct or reputation. (b)(6) (b)(7)(C) considers Garfinkel to be a loyal United States citizen and recommends Garfinkel for a position of trust critical to the national interest.

(b)(6) (b)(7)(C) General Counsel, Room 4132.

(b)(6) (b)(7)(C) first became acquainted with Steven Garfinkel in the early 1970's, when Garfinkel was hired as a Staff Attorney for the General Counsel's Office of the General Services Administration in Washington, D.C. (b)(6) (b)(7)(C) stated that Garfinkel worked in the Records Administration Division at that time, and as she being an Assistant General Counsel in the office, had no direct working relationship or contact with Garfinkel, because they worked in different sections. (b)(6) (b)(7)(C) stated that she considered Garfinkel to be a colleague until 1977, when she became the General Counsel and Garfinkel was working as a Chief Counsel to the National Archives and Records Service. Source stated that she was the subject's second-line supervisor and that from time to time, Garfinkel acted as an Assistant General Counsel in the agency and she had immediate supervision

REPORT OF INVESTIGATION

Property of U.S. Office of Personnel Management
P.O. Box 886, Washington, D.C. 20044

DPM Form 366 (Rev. 10-81)

at these points. (b)(6)(b)(7)(C) advised that from 1977 until 1980, her contact with the subject averaged two or three times a week. Source stated that in the spring of 1980, Garfinkel was promoted to the Director of the Information Security and Oversight Policy, and that they have been Executive Staff Colleagues since that time. (b)(6)(b)(7)(C) stated that Garfinkel is responsible for ensuring the classification and declassification of Government-wide policy and information throughout all Federal agencies in the Executive Branch of the Government. Garfinkel and Latimer have maintained weekly contact at Executive Staff meetings and their only social contact has been through employment-related activities, such as retirement parties and lunches.

(b)(6)(b)(7)(C) described Garfinkel as a very jovial, even-tempered, dependable, thorough and pleasant man who gets along well with others. Source stated that the subject is very assertive and can be firm when needed. Source stated that the subject is extremely well-liked and respected by his peers, colleagues and superiors of the General Services Administration and the Executive Office of the President. Latimer considers Garfinkel to be a very competent and knowledgeable employee who shows initiative and creativity in his work. Source stated that Latimer was continually rated outstanding in his performance appraisals while he worked in the General Counsel's Office and that she would have no hesitation in rehiring Garfinkel for a position on her immediate staff.

Source stated that the subject is married, has two children and resides in a house in suburban Maryland. (b)(6)(b)(7)(C) stated that Garfinkel likes to read, write and sing in his spare time. (b)(6)(b)(7)(C) stated that the subject earned his Juris Degree from a local Washington, D.C. area Law School, but at this time, source could not remember the school's name. Source also stated that Garfinkel is a native Washingtonian.

(b)(6)(b)(7)(C) stated that Garfinkel is slightly overweight, but is in overall good physical health. Source raised no questions pertaining to Garfinkel's habits, character, conduct or reputation. Source considers Garfinkel to be a loyal United States citizen and highly recommends Garfinkel for a position of trust critical to the national interest.

(b)(6)(b)(7)(C), (Reference), (b)(6)(b)(7)(C) for Administration, Room 4122.

(b)(6)(b)(7)(C) first became acquainted with Steven Garfinkel in 1970, when Garfinkel was hired as a Staff Attorney in the General Counsel's Office of General Services Administration in Washington, D.C. (b)(6)(b)(7)(C) stated that he and the subject were daily co-workers in the office until the subject was promoted in May of 1980. (b)(6)(b)(7)(C) advised that as an Attorney in the office, Garfinkel did tasks, such as legal research, preparation for trial litigation and that Garfinkel served as Chief Counsel for the National Archives and Records Service. (b)(6)(b)(7)(C) also stated that Garfinkel had served in the capacity as the Assistant General Counsel for the Administration and Records Division and that he and the subject would alternate this position from time to time when it was vacant. Garfinkel is

REPORT OF INVESTIGATION

Property of U.S. Office of Personnel Management
P.O. Box 886, Washington, D.C. 20044

OPM Form 366 (Rev. 10-6-81)

Confidential

34030

12

Section of Personnel Investigation

Section of Special Sources

new the Director of the Information Security Oversight Office and is responsible for handling the declassification and classification of information as it relates to the national security. Source stated that he and the subject are new colleagues, but they are also friends. Garfinkel and Fisher maintain contact now at least once a month either in person or on the telephone. Source stated that he has had social contact with Garfinkel outside of office-related functions, and this has included having dinner together, the visiting of each other's homes on an infrequent basis, going to baseball, hockey and football games together.

Garfinkel is a very intelligent, pleasant, calm, outgoing and reasonable man who gets along well with others. Source stated that Garfinkel has excellent analytical skills, demonstrates good judgement and discretion in his work and is also very creative. (b)(6) (b)(7)(C) stated that Garfinkel was continually rated outstanding in his performance appraisals in the General Counsel's Office. Fisher stated that as a supervisor, Garfinkel has an excellent ability to manage people and resources very well and that the subject is a confident person.

Garfinkel is married to (b)(6) (b)(7)(C). they have one son named (b)(6) (b)(7)(C) and a daughter named (b)(6) (b)(7)(C) and live in a house in Silver Spring, Maryland. Source stated that the subject is an avid Redskins football fan and the Capital's ice hockey fan and that Garfinkel likes to garden in his spare time. (b)(6) (b)(7)(C) stated that Garfinkel also spends a great deal of time participating in activities related to his children. Garfinkel is a native Washingtonian and attended Anacostia Senior High School in Washington, D.C. and later attended George Washington University and George Washington University Law School, where the subject received a Bachelor's Degree and a Juris Degree. (b)(6) (b)(7)(C) stated that the subject was on academic scholarship the entire time he attended George Washington University and that Garfinkel's first employment as an Attorney was with the General Services Administration.

(b)(6) (b)(7)(C) stated that Garfinkel is slightly overweight, but that the subject has always had a weight problem, but is in overall excellent physical health. (b)(6) (b)(7)(C) raised no questions pertaining to Garfinkel's habits, character, conduct or reputation. Fisher considers Garfinkel to be a loyal United States citizen and highly recommends Garfinkel for a position of trust critical to the national interest.

RESIDENCE

(b)(6) (b)(7)(C) Silver Spring, Maryland.

Case papers show dates of residence from November, 1973 until the present.

(b)(6) (b)(7)(C), Engineer, Mitre Corporation, (b)(6) (b)(7)(C), Silver Spring, Maryland.

(b)(6) (b)(7)(C) first met and became acquainted with Steven Garfinkel in 1974 or 1975, when this source moved into the house (b)(6) (b)(7)(C) to Garfinkel's house,

REPORT OF INVESTIGATION

Property of U.S. Office of Personnel Management
P.O. Box 886, Washington, D.C. 20044

OPM Form 366 (Rev. 10-81)

Location of Person Investigated

Location of Person Source

which is located at (b)(6) (b)(7)(C). Source stated that he and the subject maintained contact at least once or twice a week and their social contact has included the visiting of each other's home, attending neighborhood parties and playing bridge regularly. (b)(6) (b)(7)(C) stated that the subject is an Attorney for the National Archives in Washington, D.C. and that the subject deals with information disclosure.

Garfinkel is married to (b)(6) (b)(7)(C) and they have two children named (b)(6) (b)(7)(C) and (b)(6) (b)(7)(C). (b)(6) (b)(7)(C) stated that Garfinkel plays tennis in his spare time and likes to garden. (b)(6) (b)(7)(C) also stated that the subject is an avid Redskins fan and the Capitol's ice hockey fan and that the subject likes to spend a great deal of time with his children. (b)(6) (b)(7)(C) described Garfinkel as a very happy, outgoing, friendly and pleasant man who gets along well with his neighbors in the community. As far as (b)(6) (b)(7)(C) knows, Garfinkel has created no problems in the neighborhood. Source stated that he has no knowledge as to the subject's background or history.

(b)(6) (b)(7)(C) stated that the subject is slightly overweight, but that Garfinkel is in general good physical health. Source raised no questions or issues pertaining to Garfinkel's habits, character, conduct or reputation. Source considers Garfinkel to be a loyal United States citizen and highly recommends Garfinkel for a position of trust critical to the national interest.

(b)(6) (b)(7)(C), Housewife, (b)(6) (b)(7)(C).

Bottom first became acquainted with Steven Garfinkel in 1974, when this source moved into the house (b)(6) (b)(7)(C) to the subject. Source stated that she maintained contact with Garfinkel at least once or twice a week and they have had social contact which has included attending neighborhood parties, having dinner together and the visiting of each other's homes. (b)(6) (b)(7)(C) stated that Garfinkel is employed with the General Services Administration, but she could not remember the subject's exact occupation.

Garfinkel is married to (b)(6) (b)(7)(C) and they have one son named (b)(6) (b)(7)(C) and a daughter named (b)(6) (b)(7)(C). Source stated that the subject plays tennis in his spare time, that Garfinkel likes to garden and spends a great deal of time involved in activities related to his children and with the neighborhood children. (b)(6) (b)(7)(C) stated that Garfinkel has a Law Degree from George Washington University in Washington, D.C. and that the subject is a native Washingtonian. Source described Garfinkel as a very easygoing, congenial, likeable and pleasant man who gets along well with his neighbors in the community and has never created any problems. Source considers the subject to be a very good neighbor.

(b)(6) (b)(7)(C) stated that the subject is in good physical health and made no mention of the subject's problem of overweight. (b)(6) (b)(7)(C) raised no question pertaining to Garfinkel's habits, character, conduct or reputation. (b)(6) (b)(7)(C) considers Garfinkel to be a loyal United States citizen and highly recommends Garfinkel for a position of trust critical to the national interest.

REPORT OF INVESTIGATION

Property of U.S. Office of Personnel Management
P.O. Box 886, Washington, D.C. 20044

OPM Form 366 (Rev. 10-21)

Additional knowledgeable sources at this residence could not be developed.

MISCELLANEOUS

(b)(6) (b)(7)(C) (Reference), Assistant Archivist for Public Programs and Exhibits, National Archives, 404 Beaufort Street, Silver Spring, Maryland.

(b)(6) (b)(7)(C) first became acquainted with Steven Garfinkel in 1974, when Garfinkel worked as the Chief Counsel for the National Archives of Washington, D.C. Source stated that he and the subject were co-workers and that as the Chief Counsel, Garfinkel was responsible for handling the classification of records, court cases about the release of public records, and more specifically dealing with the proper handling of the Nixon Administration papers, tapes and Watergate material. (b)(6) (b)(7)(C) stated that he and the subject remained co-workers until approximately 1980, when Garfinkel was promoted to the Director of the Information Security Oversight Office of the General Services Administration. Source stated that the subject is now responsible for handling the classification and declassification of information security as it relates to the national security. Source stated that his contact with Garfinkel from 1974 until 1980 was approximately once a week and that now their contact averages approximately once a month. Source stated that he and the subject have maintained a friendly working relationship, but no longer have direct working contact. Social contact between (b)(6) (b)(7)(C) and Garfinkel has included office-related functions, having dinner together and attending employment-related parties.

(b)(6) (b)(7)(C) described Garfinkel as a brilliant, formidable, reserved, pleasant and honorable man who gets along very well with others. Source stated that the subject is a self-conscious individual about his relationships with other people and that the subject continually strives to maintain good working relationships with his subordinates, colleagues and superiors. (b)(6) (b)(7)(C) considers Garfinkel to be a very dedicated, conscientious and hard-working employee who shows excellent judgement and discretion in his job tasks. (b)(6) (b)(7)(C) stated that he would hire the subject for employment with the National Archives at any given moment.

Garfinkel is married to (b)(6) (b)(7)(C), they have one son and daughter and reside in a house in the (b)(6) (b)(7)(C) area of Silver Spring, Maryland. (b)(6) (b)(7)(C) stated that Garfinkel likes to play tennis, listen to music and sing at his leisure in his spare time. Source stated that the subject has also previously played handball. Garfinkel is a native Washingtonian and the source stated that Garfinkel received a Juris Degree from George Washington University School of Law. As far as Jacobs knows, Garfinkel immediately began working for the General Services Administration after graduation from Law School.

REPORT OF INVESTIGATION

Property of U.S. Office of Personnel Management
P.O. Box 886, Washington, D.C. 20044

OPM Form 366 (Rev. 10-81)

Jacobs stated that Garfinkel is in excellent physical health, but has a slight overweight problem. Source raised no questions pertaining to Garfinkel's habits, conduct, character or reputation. Jacobs considers Garfinkel to be a loyal United States citizen and recommends Garfinkel for a position of trust critical to the national interest.

HONORABLE (b)(6) (b)(7)(C) (Reference), Judge, Chambers, United States Court of Claims, Suite 709, 717 Madison Place, Northwest, Washington, D.C.

(b)(6) (b)(7)(C) first became acquainted with Steven Garfinkel in 1970, when Garfinkel was hired as a Staff Attorney for the Records and Administration Division of the General Counsel's Office at General Services Administration in Washington, D.C. (b)(6) (b)(7)(C) stated that he and the subject were co-workers initially and that Garfinkel primarily performed general legal research. Source stated that in approximately 1973, he became the subject's (b)(6) (b)(7)(C) when Garfinkel was promoted to the Chief Counsel for the National Archives and Records Service. Yoch stated that the subject was responsible for handling litigation reports for the National Archives, disclosing information, Privacy and Freedom of Information Act responsibilities, and any other legal problems that would occur with the National Archives. (b)(6) (b)(7)(C) stated that he was the subject's (b)(6) (b)(7)(C) from 1973 until 1977, and they maintained continual daily contact during that time until this source was promoted to his current position as a Judge. (b)(6) (b)(7)(C) stated that the subject is now the Director of an office responsible for security classification of information in the Government, but he could not remember the subject's exact position title. Source stated that the subject is still employed with the General Services Administration. (b)(6) (b)(7)(C) stated that he and Garfinkel have maintained contact through phone conversations at least once every six months and also having lunch together. Source stated that he has had no other social contact with Garfinkel outside of employment-related activities.

(b)(6) (b)(7)(C) described Garfinkel as an intellectual, pleasant and outgoing man who gets along extremely well with others. (b)(6) (b)(7)(C) stated that he rated Garfinkel outstanding in all of his performance appraisals, when he supervised the subject, and that he considers Garfinkel to be a very dedicated, conscientious and hard-working person. Source stated that he would have no difficulty in hiring the subject for any position with this source's staff.

(b)(6) (b)(7)(C) stated that Garfinkel is married, has two children and lives in a house in Silver Spring, Maryland. (b)(6) (b)(7)(C) stated that the subject likes to garden, read and spectate sports in his spare time. Source stated that the subject earned his Law Degree from George Washington University in Washington, D.C. and that the subject is a native Washingtonian. As far as (b)(6) (b)(7)(C) can remember, the subject's first employment was with the General Services Administration.

(b)(6) (b)(7)(C) stated that Garfinkel is in good physical health, but has a slight problem of being overweight. Source raised no questions pertaining to Garfinkel's habits, reputation, character or conduct. (b)(6) (b)(7)(C) considers Garfinkel to be a loyal United

REPORT OF INVESTIGATION

Property of U.S. Office of Personnel Management
P.O. Box 886, Washington, D.C. 20044

OPM Form 366 (Rev. 10-81)

States citizen and highly recommends Garfinkel for a position of trust critical to the national interest.

LAW ENFORCEMENT

DETROIT POLICE DEPARTMENT, 300 Indiana Avenue, Northwest, Washington, D.C.
Record information furnished by (b)(6) (b)(7)(C) Investigative Records Technician.

No record.

Law enforcement searches covering Silver Spring, Maryland and Montgomery County, Maryland are unavailable. Searches at Maryland State Police Headquarters, covering these areas, will be covered in a separate report.

SUBJECT CONTACT

Subject contacted by the investigator on August 6, 1964 to ascertain from the subject the names of co-workers of the National Security Council in Washington, D.C.

CB:ams:10-2-64

REPORT OF INVESTIGATION

Property of U.S. Office of Personnel Management
P.O. Box 855, Washington, D.C. 20044

OPM Form 366 (Rev. 10-61)

STANDARD FORM NO. 64
OFFICE OF PERSONNEL MANAGEMENT
Washington, D.C.

STANDARD FORM NO. 64
OFFICE OF PERSONNEL MANAGEMENT
Washington, D.C.

RESUME

(b)(6) (b)(7)(C) (Reference), Chief, Program Review, Federal Railroad Administration, United States Department of Transportation, Room 8305, 7th and D Streets, S.W., Washington, D.C.

(b)(6) (b)(7)(C) first became acquainted with Steven Garfinkel in approximately 1975, after this source moved into (b)(6) (b)(7)(C) the street from Garfinkel's, which is located at (b)(6) (b)(7)(C) in Silver Spring, Maryland. Source stated that he and the subject had become good friends and that they maintained continual daily contact. (b)(6) (b)(7)(C) and Garfinkel also engage in social activities which include the visiting of each other's homes, playing cards together, celebrating birthdays together, going out to dinner and engaging in general conversation. Source stated that Subject worked as a director of an office for the General Services Administration, which is responsible for the classification and declassification of national records.

Garfinkel is married to (b)(6) (b)(7)(C) and they have two children named (b)(6) (b)(7)(C) and (b)(6) (b)(7)(C). Source stated that the subject likes to play tennis in his spare time in addition to doing various yard work. (b)(6) (b)(7)(C) described Garfinkel as a nice, friendly, pleasant and intelligent man with a very witty sense of humor, who gets along with his neighbors in the community very well. (b)(6) (b)(7)(C) stated that the subject has always been a helpful and extremely good neighbor. Source stated that the subject is a native Washingtonian and attended George Washington University and George Washington University School of Law, where the subject obtained a Juris Doctor degree. As far as this source knows, Garfinkel has been continuously employed with the General Services Administration in Washington, D.C. since he graduated from George Washington University School of Law.

(b)(6) (b)(7)(C) raised no questions pertaining to Garfinkel's character, conduct, habits or reputation. Source stated that he considers Garfinkel to be an extremely loyal United States citizen and recommends Garfinkel for a position of trust critical to the national interest.

IWD/pao/6/27/84

REPORT OF INVESTIGATION

Property of U.S. Office of Personnel Management
P.O. Box 886, Washington, D.C. 20044

OPM Form 366 (Rev. 10-81)

SECURITY INVESTIGATION DATA FOR SENSITIVE POSITION

C35399

Instructions: Prepare in triplicate, using a typewriter. Fill in all items. If the answer is "No" or "None," so state. If more space is needed for any item, continue onto item 23.

1. FULL NAME (Last, first, and middle initials) Garfinkel, Steven (DAN)	2. DATE OF BIRTH 6/18/45
3. PLACE OF BIRTH Washington, DC	4. HEIGHT AND WEIGHT 5' 11" 270
5. OTHER NAMES (Include name, name by which you have been known, and other names) Common nicknames: "Steve"	6. SEX Male

7. 2. MARITAL STATUS (a) MARRIED (b) SINGLE (c) SEPARATED (d) DIVORCED (e) WIDOWED (f) OTHER (Specify) Married, Washington, DC, 7/2/67	8. 3. EDUCATION (a) GRADE (b) SCHOOL (c) COLLEGE (d) UNIVERSITY (e) OTHER (Specify) Born Pittsburgh, Pennsylvania, 8/17/47
--	--

9. 4. DATE AND PLACE OF EMPLOYMENT (If more than one, list each separately with dates and places) 11/73 Present (b)(6) (b)(7)(C) Silver Spring, Maryland 7/67 11/73 (b)(6) (b)(7)(C) Hillcrest Hco., Maryland 10/47 7/67 (b)(6) (b)(7)(C) Washington, DC 6/45 10/47 (b)(6) (b)(7)(C) Washington, DC

FURNISH ONE COPY OF EACH PART OF INVESTIGATION CONDUCTED BY FBI IN THIS CASE

10. 5. U.S. CITIZENSHIP (a) U.S. BORN (b) NATURALIZED (c) ALIEN REGISTERED (d) OTHER (Specify) U.S. BORN	11. 6. DATE, PLACE AND COURT OF ENTRY (Specify) DATE: 11/73 PLACE: Present COURT: (b)(6) (b)(7)(C)
12. 7. REGISTRATION NO. (Specify) REGISTRATION NO. (b)(6) (b)(7)(C)	13. 8. NATIVE COUNTRY (Specify) NATIVE COUNTRY (b)(6) (b)(7)(C)

NAME OF SCHOOL	ADDRESS	FROM (Year)	TO (Year)	DEGREE
Kramer Jr. High	Washington, DC	9/57	6/60	Jr. High
Anacostia High	Washington, DC	9/60	6/63	High School
Geo. Wash. Univ.	Washington, DC	9/63	6/67	A.B.
Geo. Wash. U. Law School	Wash. DC	9/67	8/70	J.D.

NOTE: If you have been investigated by the FBI, please check the box next to the date of investigation. This is not to be considered as a clearance. A request to check arrest record must be submitted to FBI Identification Division. Fingerprints are necessary for a positive check.

14. 9. THIS SPACE FOR FBI USE (See also item 23.)	15. 10. SOCIAL SECURITY NUMBER (b)(6) (b)(7)(C)
16. 11. MILITARY SERVICE (If any) N/A	17. 12. OTHER SERVICE (Army, Navy, Air Force, etc.) N/A

U.S. GOVERNMENT PRINTING OFFICE: 1962 O - 301-526

DATE	TO	FROM	ADDRESS	POSITION	REASON FOR LEAVING
5/60	Present	Information Security Oversight Office (CSA)	10th & F Sts., NW Wash., DC	Office Director	
12/70	5/60	Office of General Counsel, CSA	16th & F Sts., NW Wash., DC	Attorney	Promotion
6/63	12/70	A. Gorfinkel, Inc. (Summer & part-time during school year)	720 14th St., NW Wash., DC	Clerk	Completion of schooling
6/63	9/63	Millerast Heights Drug Store Dr. Sheekley	Iverson Road Millerast Heights, Md.	Clerk	To return to school

11. HAVE YOU EVER BEEN DISCHARGED FROM EMPLOYMENT FOR ANY REASON? ☐ YES ☒ NO.

12. HAVE YOU EVER BEEN DISCHARGED FROM EMPLOYMENT AFTER BEING INFORMED THAT YOUR EMPLOYER INTENDED TO DISCHARGE YOU FOR ANY REASON? ☐ YES ☒ NO.
(If you answer to 11 or 12 above is "Yes" give details in Item 13. Give the name and address of employer, approximate date, and reasons for each case. This information should agree with the statements made in Item 10—EMPLOYMENT.)

13. HAVE YOU EVER BEEN ARRESTED, TAKEN INTO CUSTODY, HELD FOR INVESTIGATION OR QUESTIONING, OR CHARGED BY ANY LAW ENFORCEMENT AUTHORITY? (You may omit (a) Traffic violations for which you paid a fine of \$50 or less and (b) anything that happened before your 16th birthday. All other incidents must be included, even though they were dismissed or you merely received a citation.) ☐ YES ☒ NO.

If your answer is "YES," GIVE FULL DETAILS BELOW:

DATE	CHARGE	PLACE	LAW ENFORCEMENT AUTHORITY	ACTION TAKEN
------	--------	-------	---------------------------	--------------

(SIGNATURE AND TITLE OF AUTHORIZED OFFICIAL)

U. S. GOVERNMENT PRINTING OFFICE : 1962 O - 301-526

1. HAVE YOU EVER HAD A FOREIGN PASSPORT? OR HAVE YOU EVER HAD FOREIGN TRAVEL FOR A FOREIGN COUNTRY? ☐ YES ☐ NO
(If yes, state in "Yes" column below.)

2. FOREIGN COUNTRIES VISITED (Date of entry and exit)

COUNTRY	DATE LEFT USA	DATE RETURNED USA	REASON
Dominica (U.K.)	app. 5/28/77	app. 6/4/77	Vacation
Martinique (Fr.)	app. 1/24/80	app. 1/31/80	Vacation

3. ARE YOU NOW, OR HAVE YOU EVER BEEN, A MEMBER OF THE COMMUNIST PARTY, U.S.A. OR ANY SUBSIDIARY OR FRONT ORGANIZATION? ☐ YES ☐ NO

4. ARE YOU NOW, OR HAVE YOU EVER BEEN, A MEMBER OF ANY FRONT OR SUBSIDIARY ORGANIZATION, ASSOCIATION, MOVEMENT, GROUP, OR ORGANIZATION OF PERSONS WHOSE PURPOSE OR OBJECTIVE IS TO OBTAIN OR EXERCISE INFLUENCE OR CONTROL OVER THE GOVERNMENT OF THE UNITED STATES, OR WHICH SEeks TO ALTER THE FORM OF GOVERNMENT OF THE UNITED STATES BY UNCONSTITUTIONAL MEANS? ☐ YES ☐ NO

5. IF YOU ANSWER TO QUESTION 4 IS "YES," STATE THE NAME OF ALL SUCH ORGANIZATIONS, ASSOCIATIONS, MOVEMENTS, GROUPS, OR ORGANIZATIONS OF PERSONS WHOSE PURPOSE OR OBJECTIVE IS TO OBTAIN OR EXERCISE INFLUENCE OR CONTROL OVER THE GOVERNMENT OF THE UNITED STATES, OR WHICH SEeks TO ALTER THE FORM OF GOVERNMENT OF THE UNITED STATES BY UNCONSTITUTIONAL MEANS. (If none, so state.)

NAME IN FULL	ADDRESS	TYPE	FROM	TO	STATUS NOW
District of Columbia Bar Association (required for attorneys practicing in D. C.)		Professional	11/70	Present	None

7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65
66
67
68
69
70
71
72
73
74
75
76
77
78
79
80
81
82
83
84
85
86
87
88
89
90
91
92
93
94
95
96
97
98
99
100

6. RELATIVES (Parents, spouse, married spouse, children, brothers, and sisters, living or dead. Name of spouse should include maiden name and any other names by previous marriage. If parent is dead, state "dead" after relationship and furnish information for other columns as of time of death.)

RELATION	NAME IN FULL	YEAR OF BIRTH	ADDRESS	COUNTRY OF BIRTH	PRIORITY CITIZENSHIP
Wife	(b)(6) (b)(7)(C)	(b)(6) (b)(7)(C)	(b)(6) (b)(7)(C)	USA	USA
Son	(b)(6) (b)(7)(C)	(b)(6) (b)(7)(C)	Silver Spring, Md. 20904	USA	USA
Daughter	(b)(6) (b)(7)(C)	(b)(6) (b)(7)(C)	"	USA	USA
Mother	Esther Garfinkel	1913	(b)(6) (b)(7)(C) SE Washington, DC 20020	Poland	USA
Father	Arnold Garfinkel	1905	"	Germany	USA
Sister	(b)(6) (b)(7)(C)	(b)(6) (b)(7)(C)	(b)(6) (b)(7)(C)	USA	USA
Brother	(b)(6) (b)(7)(C)	(b)(6) (b)(7)(C)	(b)(6) (b)(7)(C)	USA	USA

(b)(6) (b)(7)(C)

(b)(6) (b)(7)(C)

26a.	(b)(6) (b)(7)(C)	Annandale, Va.	CSA, Federal Supply	12
------	------------------	----------------	---------------------	----

26b. (b)(6) (b)(7)(C) Hagerstown, MD. Hagerstown, MD. 21.

27. [REDACTED] from Office of National Security, NSA
 [REDACTED] [REDACTED] TOP SECRET plus a number of special access documents

CERTIFICATION

Printed and Published by
J. H. B. B. B. B. B.

JUNE 12, 1964

EXEMPTION TO CS DETERMINED BY NARA:37

RETAIN THE GROUND COPY OF STANDARD FORM 88 (SIGNED BY THE APPLICANT OR ~~ATTORNEY~~) FOR YOUR FILES.

DATE OF APPOINTMENT	TYPE OF APPOINTMENT <input type="checkbox"/> COMBINED <input type="checkbox"/> CONJUNCTIVE (includes <i>terminable</i> and <i>temporary</i> types of conjunctive appointments.)	CIVIL SERVICE REGULATION NUMBER OR OTHER APPOINTMENT AUTHORITY	TITLE OF POSITION AND GRADE OR SALARY
DEPARTMENT OR AGENCY	DUTY STATION	SEND RESULTS OF PREAPPOINTMENT CHECK TO:	
THIS IS A SENSITIVE POSITION _____ (SIGNATURE AND TITLE OF AUTHORIZED AGENCY OFFICIAL)			



United States
**Office of
Personnel Management**

Investigations Service
Federal Investigations Processing Center
Boyers, Pennsylvania 16018-0618

In Reply Refer To:

Your Reference:

September 14, 2000

CASE # 00570214

Executive Office of the President
Room 4018 NEOB
725 17th Street NW
ATTN: Charles Easley, Security Officer
Washington, DC 20503

Dear Mr. Easley:

The National Archives at College Park has requested that the Office of Personnel Management conduct an investigation under the provisions of Executive Order 10450, on **STEVEN (NMN) GARFINKEL**, SSN: (b)(6) (b)(7)(C) born June 18, 1945 in Washington, DC. The subject has been appointed to a Director ISOO position with that agency.

We have been advised by the Federal Bureau of Investigation that the results of an applicant-type investigation, conducted in 1995, concerning **MR. GARFINKEL** were furnished to the White House.

It will be appreciated if you will send me a copy of the investigation for referral to the National Archives at College Park, or let us know if you decide the information should not be released.

(b)(6) (b)(7)(C)

Kathy L. Dillaman
Director

OK to
release
Charles Easley
9-19-00

Depot
12-20-95
per



00-570-214

UNITED STATES OF AMERICA

AUTHORIZATION FOR RELEASE OF INFORMATION

Carefully read this authorization to release information about you, then sign and date it in ink.

I Authorize any investigator, special agent, or other duly accredited representative of the authorized Federal agency conducting my background investigation, to obtain any information relating to my activities from individuals, schools, residential management agents, employers, criminal justice agencies, credit bureaus, consumer reporting agencies, collection agencies, retail business establishments, or other sources of information. This information may include, but is not limited to, my academic, residential, achievement, performance, attendance, disciplinary, employment history, criminal history record information, and financial and credit information. I authorize the Federal agency conducting my investigation to disclose the record of my background investigation to the requesting agency for the purpose of making a determination of suitability or eligibility for a security clearance.

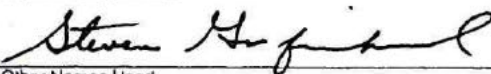
I Understand that, for financial or lending institutions, medical institutions, hospitals, health care professionals, and other sources of information, a separate specific release will be needed, and I may be contacted for such a release at a later date. Where a separate release is requested for information relating to mental health treatment or counseling, the release will contain a list of the specific questions, relevant to the job description, which the doctor or therapist will be asked.

I Further Authorize any investigator, special agent, or other duly accredited representative of the U.S. Office of Personnel Management, the Federal Bureau of Investigation, the Department of Defense, the Defense Investigative Service, and any other authorized Federal agency, to request criminal record information about me from criminal justice agencies for the purpose of determining my eligibility for access to classified information and/or for assignment to, or retention in a sensitive National Security position, in accordance with 5 U.S.C. 9101. I understand that I may request a copy of such records as may be available to me under the law.

I Authorize custodians of records and sources of information pertaining to me to release such information upon request of the investigator, special agent, or other duly accredited representative of any Federal agency authorized above regardless of any previous agreement to the contrary.

I Understand that the information released by records custodians and sources of information is for official use by the Federal Government only for the purposes provided in this Standard Form 86, and that it may be redisclosed by the Government only as authorized by law.

Copies of this authorization that show my signature are as valid as the original release signed by me. This authorization is valid for five (5) years from the date signed or upon the termination of my affiliation with the Federal Government, whichever is sooner. Read, sign and date the release on the next page if you answered "Yes" to question 21.

Signature (Sign in ink) 		Full Name (Type or Print Legibly) Steven Garfinkel		Date Signed June 12, 2000	
Other Names Used				Social Security Number (b)(6) (b)(7)(C)	
Current Address (Street, City) (b)(6) (b)(7)(C) Silver Spring		State MD	ZIP Code 20905	Home Telephone Number (Include Area Code) (b)(6) (b)(7)(C)	